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► **To cite this version:**

Erkan Aydar, H Evren Çubukçu, Çağatay Bal, Nicolas Cluzel, Çağdas Hakan Aladağ, et al.. Volcanic Jets to Commercial Jets: Synopsis and Diagnosis. *Bulletin of Volcanology*, 2024, 86, pp.71. 10.1007/s00445-024-01759-z . hal-04643685

HAL Id: hal-04643685

<https://uca.hal.science/hal-04643685v1>

Submitted on 10 Jul 2024

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1 Volcanic Jets to Commercial Jets: Synopsis and Diagnosis

2

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13 **Abstract**

14 Aircraft encounters with volcanic ash have caused significant damage over the past 40 years, resulting
15 in particular attention being given to the issue. We analyzed the Volcanic Ash-Aircraft Encounter
16 Database published by the USGS. We added new volcanic eruptions and parameters such as eruption
17 types, dry-wet, etc. Then, we applied standard and advanced statistical methods.

18 Over 130 encounters have been documented in the mentioned database, with volcanic ash causing
19 severe abrasions to the windshield, airframe, wings, and engine components. In nine cases, aircraft
20 engines failed. We applied the binary regression analysis and some laboratory melting experiments
21 on volcanic ash. Besides phreatomagmatism, we use the term external water in this work to describe
22 meteoric water that enters volcanic plumes through precipitation or melting ice on ice-capped
23 volcanoes. We demonstrated that engine failure occurs when our regression analyses undergo dry-to-
24 wet conditions. In other words, Statistically, there is a positive correlation between wet ash encounters
25 with aircraft and engine failure incidents. Moreover, experiments conducted at 900°C and under 40
26 bar pressure showed increased sintering in the dry sample, while melting textures were more prevalent

27 in hydrated samples. We concluded that despite the various eruptive dynamics of volcanic ash, the
28 introduction of external water into the volcanic plumes, probably causing instantaneous hydration of
29 volcanic ash, is a common factor in engine failure incidents. Thus, we have identified the reasons
30 behind engine failures during encounters between aircraft and volcanic ash and the specific damage
31 that can occur depending on the type of eruption involved.

32 *Keywords: Ash, Aircraft, Dry-Wet Eruption, Abrasion, Melting, Engine Fail*

33

34 **Statements and Declarations**

35 Competing Interests: The authors declare no competing interests or relationships that could influence
36 the work.

37

38 **Introduction**

39 The era of jet-powered aircraft began in the 1930s and 40s with the invention of the first jet engine
40 for airplanes. Initially, this invention was mainly used in military applications (Boyne, 2020).
41 However, in the 1950s, it began to be used for regular commercial aviation, starting with the first
42 flight of the de Havilland Comet airliner on May 2, 1952, from London to Johannesburg (Budd et al.
43 2012). Civil aviation and air transport have experienced a significant increase in demand, expanding
44 at least tenfold since 1970, compared to the 3-4 fold growth of the world economy (IATA 2013).
45 Technological and economic progress have made commercial jets more comfortable, secure, and
46 making the world smaller and countries closer. Airlines have continued to increase the number of
47 city-pair routes globally, and in 2018, almost 22,000 city pairs were regularly serviced by airlines
48 (IATA 2019).

49 The Catalogues of the Global Volcanism Program by the Smithsonian Institute report that over the
50 past 10,000 years, around 1,500 volcanoes have erupted. Out of these, 550 have had eruptions during
51 recorded history and are considered active, and approximately 55 to 60 volcanoes become active each

52 year. Some of those volcanic eruptions can generate ash clouds that reach high altitudes (Salinas
53 2004) during their explosive and intense fragmentation of either erupted magmas or host rocks. The
54 resulting volcanic ash comprises free minerals, pulverized rock fragments, and vesiculated or non-
55 vesiculated juvenile magma particles. They can be injected into the atmosphere up to 45 km from the
56 surface (Wilson et al. 1978). Plumes from volcanic eruptions may vary in height depending on the
57 type of eruption but are typically visible on air routes above 9 km for an average 20-25 days per year
58 for the North Pacific region and perhaps even worldwide (Miller and Casadevall 2000). In fact, in
59 volcanic regions, the number of days with volcanic plumes can be frequent, and altitude may vary
60 greatly. Even short-lived volcanic plumes can impact the economy by disrupting air traffic, as
61 demonstrated during the Eyjafjallajökull 2010 eruption (Hirtl et al. 2020). The threat volcanic ash
62 poses to jet-powered aircraft became significantly more apparent following the high-profile ash
63 encounters of 1982 due to safety reasons in the skies above Indonesia. In one particular incident, two
64 aircraft that were en route to Australia via Indonesia were affected by ash that was emitted from the
65 Galunggung volcano, leading to engine failures (Gourgaud et al. 2000). The first aircraft had to
66 descend more than 25,000 ft before the engines could be restarted (Casadevall 1994). In the case of
67 the second aircraft, three out of four engines stopped functioning while passing through the eruptive
68 cloud (Guffanti et al. 2010). This volcanic eruption and the resulting ash-aircraft encounter marked a
69 significant milestone in aviation history. Although not the only incident of its kind, the Galunggung
70 eruption was pioneering in bringing attention to aviation safety within the international community
71 about volcanic eruptions. In December 1989, another jumbo jet encountered volcanic ash from the
72 eruption of Mt. Redoubt in Alaska (Bayhurst et al. 1991). In 1987, the International Civil Aviation
73 Organization (ICAO) established the International Airways Volcano Watch (Lechner et al., 2017).
74 This initiative includes nine Volcanic Ash Advisory Centers (VAACs) whose main task is to act as
75 an interface between volcano observatories, meteorological agencies, and air traffic control centers

76 (ICAO 2007). Each VAAC monitors volcanic activity and ash clouds in its designated region using
77 reports from volcano observatories and satellite imagery (ICAO 2007).

78 After Galunggung-1982, the eruption of Eyjafjallajökull in 2010 brought even more attention to the
79 issue of volcanic ash-aircraft encounters. Clarkson et al (2016), after the Eyjafjallajökull-2010
80 eruption, re-examined the Galunggung 1982 and Redoubt 1989 incidents from past encounters and
81 stated that engine problems occurred if the ash concentration was 200 mg/m³ and above and 'and that
82 there was negligible damage for relatively short durations of exposure at concentrations below this
83 value..

84 Volcanic ash is formed due to the fragmentation of magma and/or rock (Zimanowski et al. 2003).
85 This intensive fragmentation makes volcanic ash an angular abrasive material. The abrasiveness of
86 volcanic ash is influenced by its hardness, shape, and density, as well as the impact pressure and
87 velocity exerted on a surface (Stachowiak 2000; Blake et al. 2017). Due to its ability to damage
88 various aircraft parts, such as the airframe, wings, windows, windshields, and engine components,
89 the encounters have a significant impact on flight safety and maintenance costs (Chen and Zhao
90 2015).

91 A previous study by Guffanti et al. (2010) provided a comprehensive compilation of incidents
92 involving aircraft encounters with volcanic ash clouds. The study covered the period between 1953
93 and 2009 and documented 129 incidents, 79 of which resulted in varying degrees of damage to the
94 airframe and/or engines with 9 incidents with engine failure. Moreover, there have been around 113
95 incidents of aircraft-ash encounters since 2010, according to Christmann et al. (2015) and (2017).
96 These encounters were mostly classified as severity index 2 or lower. Although the authors gave 6
97 encounters as Severity Class-3, they used only one engine damage incident in detail in their
98 publications (ID: 2010-82). According to this compilation, out of 113, 92 are related to
99 Eyjafjallajökull-2010 and 17 to Grimsvötn-2011, and they actually stand as two statistically weighted

100 volcanoes in the database. Clarkson and Simpson (2017) have pointed out that the two databases only
101 record exposure events and their outcomes and, however, lack information on all parameters that
102 impact the damage caused by ash. They also add that the two most critical parameters that affect
103 damage are the ash concentration and the duration of time the aircraft and its engines are exposed to
104 the ash cloud (Clarkson and Simpson 2017).

105 In this paper, however, since there were 103 incidents in two separate eruptions of only two
106 volcanoes, those data were not used to avoid creating an unbalanced distribution in the entire data set.
107 Therefore, we have supplemented and modified the dataset of Guffanti et al (2010) by adding data on
108 several recent eruptions (e.g. Eyjafjallajökull), including information on the types and states of
109 eruptions encountered. As per the report, there have been nine incidents where encounters with ash
110 caused engine failure and shutdown during flights.

111 In this paper, we first provide an overview of the damage caused by volcanic eruptions and ash
112 encounters, by way of statistical methods...

113 Secondly, in our statistical study, since we saw that surface water (phreatic, phreatomagmatic, rain,
114 seawater, molten ice, etc.) was involved in the eruption plumes, especially in 9 engine failure
115 incidents, we conducted dry and wet ash melting experiments to observe softening and melting
116 behavior.

117 **METHODS**

118 **Statistical Method**

119 The data on volcanic ash-aircraft encounters used in this study is obtained from a USGS report by
120 Guffanti et al (2010). The report is easily accessible and includes a detailed appendix that provides a
121 comprehensive overview of volcanic ash-aircraft encounters from 1953 to 2009. The catalogue is
122 compiled using aircrew statements, published scientific articles, reports (Smithsonian Institution's,
123 NASA), and written and oral explanations. In addition to technical data such as delta distance and
124 height, the catalogue also assesses volcanic eruptions based on their severity index. It is a valuable

125 resource for researchers and others interested in this topic. We have enhanced the database by
126 incorporating eruption types, the dry-wet state of the eruption, external factors and other statistical
127 variables. In order to analyze a database that deals with binary classification problems, we employed
128 the method of Binary Logistic Regression. Our goal was to determine the effects and explanation
129 levels of the variables available- explosion, type, and area (independent variables)- on Damage1
130 (dependent variable), which encompasses the damage caused up to that which led to engine failure.
131 We used regression analysis in SPSS to show the effect of a 1-unit change in the independent variable
132 on the dependent variable. The study excluded observations of missing independent variables,
133 resulting in a total of 99 rows for analysis. In addition to the independent variables, new variables
134 (interactions) were created to measure their effects. The backwards elimination method developed a
135 meaningful model that included these variables. The details of the statistical study are given in
136 supplementary information.

137

138 **Melting Experiments**

139 To better understand how water affects volcanic ash melting incidents, we conducted melting
140 experiments using a sample from the Neschers PDC flow of Massif Central, France. To start the
141 experiment, we first dried the Neschers PDC ashes in an oven at 80°C for 24 hours. We then discarded
142 the larger grains by sieving under 325 microns. Next, we loaded about 38 mg of the resulting powder
143 into gold capsules, with dimensions of 20 mm in length, 3 and 4 mm outer diameter, and wall
144 thicknesses of 0.2 mm for dry and wet experiments, respectively. We conducted two types of tests on
145 the capsules: dry (on two samples) and with the addition of 1.68 wt% water (on one sample). In our
146 initial experiments, when we added more than 2 wt% of water, the capsules couldn't withstand the
147 internal pressure and burst. Therefore, we decided to reduce the amount of added water to 1.68 wt%,
148 and we also increased the capsule size to avoid any mishaps. The experiments were carried out in a
149 pressure vessel heated externally and mounted on a nitrogen pressure line. The vessel has a maximum

150 operating pressure of about 300 MPa. The temperature ranges from 800-850°C at high pressure to
151 900-950°C at low pressure (≤ 100 MPa). Additionally, the autoclave is fitted with a rapid quench
152 extension and an automatic decompression system (Mourtada-Bonnefoi and Laporte 2004; Cluzel et
153 al. 2008). To mimic the natural conditions during ash ingestion by aircraft engines, faster heating is
154 necessary. To achieve this, we have selected a procedure that quickly exposes the sample to the
155 experimental conditions of 900°C and 40 bar. Following the welding process, the capsules were
156 loaded into the pressure vessel at the cooling circuit's level (cold spot). The vessel was then
157 pressurized up to 40 bar and heated to 900°C. Once the temperature reached 900°C, the capsules were
158 rapidly positioned (within a few seconds) at the hot spot of the pressure vessel by lifting the sample
159 holder using an external electromagnet. The experiment was then quenched by lowering the capsules
160 to the cold spot after 30 minutes at 900°C-40 bar. Finally, the samples were studied under SEM and
161 FIB-SEM.

162

163 **Results: Synopsis on the engine failing eruptions**

164 **Mt Galunggung 1982-83 eruption**

165 It was an exceptionally long-lasting eruption of about nine months between April 5 1982-January 8
166 1983 (Gourgaud et al. 1989). Throughout this eruption, the composition of the erupted magma
167 evolved from andesite (58% SiO₂) to Mg-rich basalt (47% SiO₂), while the eruption style underwent
168 significant changes over time (Katili and Sudradjat 1984; Sudradjat and Tilling 1984; Gourgaud et
169 al. 1989). Simultaneously with chemical variation and water consumption, the eruption dynamics also
170 changed and occurred in three distinct eruption phases with different eruptive styles. An initial
171 Vulcanian phase (5 April-13 May), a phreatomagmatic phase (17 May-28 October), and a
172 Strombolian phase (3 November-8 January) have been recognised (Katili and Sudradjat 1984; Ersoy
173 et al. 2007). The transition from the Vulcanian to the phreatomagmatic phase resulted in increased
174 eruption explosivity, characterised by higher plume heights (from 5-10 km to 4-20 km), more

175 extensive deposits, and changes in crater morphology (Gourgaud et al. 2000). Four aircraft
176 encountered volcanic ashes during this eruption. In two of the four encounters, the engines were failed
177 Incident ID: 1982-03 and 1982-06, Guffanti et al 2010). The first engine failed incident occurred on
178 June 24, 1982, at an altitude of approximately 11,2 km (Guffanti et al. 2010). This coincided with the
179 phreatomagmatic eruption phase, with the eruption plume reaching 12-14 km (Katili and Sudradjat
180 1984). The second incident occurred on July 13, 1982, when the eruption plume reached up to 10-16
181 km (Casadevall 1994a), and the encounter happened around 10,1 km altitude (Guffanti et al. 2010).
182 This incident also coincided with the phreatomagmatic phase and corresponded to the formation of
183 the second maar crater. In both incidents, after the aircraft had lost significant altitude, the pilots
184 managed to restart the engines and landed (Miller and Casadeval 2000).

185

186 **Mt St. Helens 25.05.1980 Eruption**

187 On May 25, 1980, at 2:30 a.m., precisely one week after the massive eruption on May 18, Mount St.
188 Helens underwent another eruption phase, marked by the release of dacitic ash. This eruption column
189 reached up to 14 km and was characterized by a Plinian eruption (Lipman and Mullineaux 1980;
190 Harris 1988; Fisher et al. 1998). Before the eruption, there was a sudden surge in earthquake activity
191 while it was raining (Blong 1984; Harris 1988). At that time, an aircraft (L-100/C-130) flew through
192 the clouds filled with ash at an altitude of around 4.5-5 kilometers (Incident ID: 1980-03; Guffanti et
193 al. 2010). Two out of four engines experienced a severe compressor stall, leading the crew to shut
194 them down, and the aircraft had to rely on engines 1 and 3 for the landing (Guffanti et al. 2010)..
195 During subsequent inspections, molten volcanic ash was found in the turbine and abrasion was also
196 found on parts of the engine. Furthermore, the windshield was damaged by abrasion and icing, and
197 the wings showed signs of sandblast damage (Guffanti et al. 2010).

198

199 **Mt Redoubt December 1989–June 1990 Eruption**

200 The ice-covered Redoubt Volcano in Alaska erupted suddenly, causing the melting of ice and snow
201 resulting in mudflows (Miller and Chouet 1994). The eruption commenced primarily with
202 phreatomagmatic vent-clearing explosions on December 14, 1989, following a seismic crisis
203 (Brantley 1990; Miller and Chouet 1994; Miller et al. 1998). The following day, at least four eruptions
204 rich in ash occurred, with the fourth eruption happening at 10:15 a.m. (Alaskan Standard Time),
205 projecting ash up to 12 km (Miller et al. 1994). The incident occurred at 11:46 a.m. when a Boeing
206 747-400 travelling from Amsterdam was flying at an altitude of approximately 7000 meters (approx
207 25000 feet) and encountered Mt Redoubt's ash cloud (Incident ID: 1989-05; Guffanti et al 2010). As
208 a result, all four engines lost power, but the crew restarted them. The plane descended to a height of
209 4,000 meters (13,000 feet) and landed safely in Anchorage. (Przedpelski and Casadevall, 1991;
210 Casadevall 1994b; Miller et al. 1998).

211

212 **Mt Pinatubo June 1991 Eruption**

213 During the pre-climactic eruptions of the Pinatubo 1991, there were at least four phreatomagmatic
214 eruptions, particularly on June 14-15, before the onset of the climactic eruption on June 15 (Hoblitt
215 et al. 1996). The dacitic climactic eruption of Pinatubo commenced with the injection of an ash cloud
216 into the stratosphere, reaching heights of 37-42 km (Rossi et al. 2019). This ash cloud circled the
217 globe within 22 days (Casadevall et al. 1996). The height of the ash cloud was significantly higher
218 than typical aircraft cruising altitudes, which generally range between 30,000 and 40,000 feet.
219 Simultaneously with the volcanic eruption, the Philippines was also affected by Typhoon Yunya, and
220 the falling ash was wet (Oswalt et al. 1996). Volcanic ash plumes burst through the clouds of
221 Typhoon Yunya (Tupper et al. 2005), and all drifted toward SW, affecting some of the world's busiest
222 air traffic corridors (Casadevall et al. 1996). Besides, Guo et al (2004) proposed that ice particles in
223 the Pinatubo cloud form from evaporated and sublimated water transported by Typhoon Yunya,
224 producing significant ice in addition to volcanic ash. Sixteen damaging encounters between aircraft

225 and ash clouds have been confirmed. Among these encounters, two aircraft experienced engine
226 failures (Incident ID: 1991-17 and 1991-18, Guffanti et al 2010), leading to ten other damaged
227 engines requiring replacement (Casadevall et al. 1996).

228 **Mt Unzen June 1991 Eruption**

229 In June 1991, the volcano produced intense pyroclastic density currents (PDCs), particularly during
230 the eruption on June 3, resulting in the tragic loss of 43 lives, including three volcanologists (Maurice
231 and Katia Krafts, Harry Glicken), who were caught under the block and ash flow (Yamamoto et al.
232 1993). Although there is no clear information in the literature regarding the height of the eruption
233 column, it is reported that the ash fallout extended up to 250 km away (GVP 1991). The weather
234 conditions during this period were generally rainy, forming ash clusters, accretionary lapilli, and
235 sporadic mudflows caused by the rain (Watanabe et al. 1999). The eruption, which involved the
236 emplacement of domes and associated pyroclastic flows with co-eruptive ashfall, continued until July
237 1991, occurring amidst rainy weather (Watanabe et al. 1999). According to the Japan Meteorological
238 Agency (JMA), this region receives the highest precipitation in June and July (JMA Website). On
239 June 27, a volcanic ash-aircraft encounter occurred, during which two out of three engines of a DC-
240 10 aircraft stalled at an altitude of approximately 37,000 feet, and the engines were successfully
241 restarted after descending to a lower altitude (Incident ID : 1991-21, Guffanti et al. 2010).

242

243 **Mt Chaiten 2008 Eruption**

244 The volcanic tremors intensified around Chaitén volcano on May 2, 2008, leading to an initial Plinian-
245 style eruption column that reached a height of 21 km and persisted for 6 hours (Lara 2009). Then, the
246 column heights decreased to 11-16 km during subsequent injections the following day (Folch et al.
247 2008) until the lava dome was emplaced several days later (Lara 2009). The eruption, which involved
248 the discharge of 4 km³ of crystal-poor rhyolitic magma, produced very fine volcanic ash particles (<4
249 microns), accounting for approximately 12% of the total emitted volume (Carn et al. 2009). Due to

250 Chaitén's location in a region with high annual precipitation of 2400 mm, the eruption clouds from
251 the volcano inevitably interacted with the humid atmosphere (Forte 2018). Meanwhile, the weather
252 was rainy during the initial phases of the eruption (the first week of) May 2008 (Pierson et al.2013).
253 Five ash-aircraft encounters were reported with engine troubles or the presence of unmelted ash in
254 the engines (Incident ID: 2008-01, 2008-02, 2008-03; Guffanti et al. 2010). Notably, one aircraft
255 encountered the ash cloud at low altitudes during landing but could not take off from Bariloche airport
256 in Argentina, located approximately 225 km from the volcano, due to a lack of engine power (Guffanti
257 et al. 2010).

258

259 **Mt Soufriere Hills, July 2001 Eruption**

260 The Soufriere Hills volcano in Montserrat, which became active for the first time in recorded history
261 in 1995, has since experienced periodic growth of andesitic domes, accompanied by pyroclastic flows
262 and Vulcanian eruptions (Young et al. 1997; Druitt et al. 2002; Herd et al. 2005). The volcano has
263 remained active since 1995. On July 27, 2001, a volcanic ash-aircraft encounter occurred during an
264 andesitic dome emplacement, resulting in a block and ash flow forming an eruption cloud rising to
265 11 km (Wadge et al. 2014). The dome collapse coincided with the heavy rainfall (Matthews et al.
266 2002; Wadge et al. 2014). In addition, an ash-aircraft encounter at 24000 feet has been reported with
267 engine shutdown in flight (Incident ID: 2001-02, Guffanti et al. 2010) with numerous other
268 encounters resulting in abrasion damage (Guffanti et al. 2010).

269

270 **Mt Manam July 2006 Eruption**

271 Manam is an active volcano in Papua New Guinea, known for emitting generally basaltic materials
272 with Strombolian-style eruptions (Tupper et al. 2007a). While the eruption column usually remains
273 low, reaching only a few kilometers in height, there are instances when volcanic products can be
274 propelled over 10 km with subplinian columns (Tupper et al. 2007a). On July 17, 2006, a survey

275 aircraft experienced engine flame-out at a high altitude of approximately 11.9 km over Papua New
276 Guinea, near the vicinity of Manam volcano (Tupper et al. 2007b). Fortunately, the engines were
277 successfully restarted at lower altitudes (Tupper et al. 2007b).

278 In spite of the clear weather and lack of sulfur odor, the plane experienced engine failure while flying
279 over Papua New Guinea near Manam Volcano (Incident ID: 2006-03, Guffanti et al. 2010). The
280 investigations revealed that a part of the fuel system were clogged by the ash (Tupper et al. 2007b).
281 It is also suggested that ice-coated ash would have caused the failure (Tupper et al. 2007b).

282

283 **Mt Eyjafjallajökull April 2010 Eruption**

284 The eruption of Mt. Eyjafjallajökull in April 2010 began with the emission of basaltic lava as a flank
285 eruption on March 20. It was followed by trachyandesite eruptions from the summit on April 14-16,
286 which had a significant impact on European air traffic (Sigmundsson et al. 2011). The volcanic
287 activity originated from the caldera on the summit, beneath 200-300 meters of ice, resulting in
288 mudflows and the release of very fine-grained volcanic ash that reached a height of 10 km (Gislason
289 et al. 2011). The eruption continued for 39 days throughout April and May, with ash reaching heights
290 of 3-10 km due to various explosive events (Gudmundsson et al. 2012). Christmann et al (2015) state
291 that there were 92 volcanic ash-aircraft encounters in the Eyjafjallajökull 2010 eruption and that the
292 severity class in these encounters was generally 0 and 1 and subordinate severity class 2 with less
293 abrasion and physical damage. In addition, Christmann et al. (2015) stated that in Incident ID: 2010-
294 82, a two-engine aircraft was exposed to the smell of sulfur at 6000 ft and that there were fluctuations
295 in engine pressure when it landed, and this situation did not improve and classified this incident as
296 Severity class 3.

297 **Results: Diagnosis**

298 In volcanic ash-aircraft encounters, little attention has been paid to the type of volcanic eruption,
299 magma chemistry, and external factors influencing the eruption. Instead, the focus is on the type,

300 severity and rate of damage caused to the aircraft and the "Severity Index for aircraft encounters with
301 volcanic ash clouds" was established (ICAO 2007). The objective of our study is to relate the
302 encounters and the damage they caused with eruption types and external factors. We analyzed the
303 current situation using a modified database (compiled initially by Guffanti et al. 2010) and applied
304 standard and advanced statistical methods to achieve this. Additionally, we sought to validate our
305 findings by conducting ash-melting experiments.

306

307 **Statistical analysis**

308 The synthesis we made regarding the volcanic eruptions in the database we used is presented in SI-
309 Table. 1. In addition to the phreatomagmatism due to the interaction of magma-surface water, we
310 mean, by the external water, meteoric water introduced into the volcanic plume due to melting of ice-
311 clad on volcanoes, rainy conditions, rainstorms, typhoons, air moisture causing the ice particles, etc.
312 In our comparative evaluations of aircraft encounters with volcanic ash, the introduction of external
313 water during the volcanic eruption phase, in the eruption cloud or the eruptive products, is a key
314 parameter that determines the consequences of the encounter. Accordingly, we regrouped the
315 eruptions in the database (SI-Table 1) under the headings of Plinian-Dry (without external water),
316 Plinian-Wet (with external water), Subplinian-Strombolian, Pelean, Vulcanian-Dry, Vulcanian-Wet
317 and Phreatomagmatic. Note that our nomenclature of Dry vs. Wet refers only to external water: the
318 magmas at the origin of the explosive eruptions studied have high initial water contents (several wt%),
319 but almost all of this magmatic water is lost by degassing during magma ascent and eruption.

320 The statistical distribution of damage due to aircraft encounters with volcanic ash is shown as a
321 function of volcanic eruption types in Fig.1 (SI-Table 2). Accordingly, Plinian-Dry and Vulcanian-
322 Dry eruptions are the ones that have most affected the aircrafts. Plinian-Dry material can cause
323 abrasion in both the airframe (including windows, windshield, and all flight components, etc.), the
324 engine, and some subordinate amount of blockages in the engine parts.

325 On the other hand, Vulcanian-Dry material only abrades the airframe and flight components. Some
326 Strombolian-Subplinian eruptions created a volcanic cloud up to the aircraft's flight altitude. In
327 general, their products cause damage in sandblasting, cracking and abrasion or scratch on
328 windshields, on leading edges of wings, on aircraft fuselage, and sometimes in engine blades.

329 Pelean eruptions are mainly characterized by the growth and collapse of lava domes and the formation
330 of pyroclastic flows. In the database of ash-aircraft encounters, there are only a few instances of
331 Pelean eruptions, but the abrasive effect is still noticeable. We did not differentiate between dry and
332 wet cases for the Pelean type, as there were only a few incidents. However, it is important to
333 remember the impact of rain during the Soufriere Hills-Montserrat eruptions in 2001 and the Unzen
334 eruptions in 1991. We want to emphasize that engine failures were only observed during eruptions
335 when external water was present. Such eruptions include Plinian-Wet, Pelean eruptions during rainy
336 conditions (examples: Soufriere Hills and Unzen), Phreatomagmatic eruptions, and Vulcanian-Wet
337 eruptions. Additionally, it should be noted that ice-coated basaltic ash, as shown in the 2006 Manam
338 eruption (Tupper et al. 2007b), should also be added to this list. Moreover, we performed statistical
339 regression analysis to evaluate together variables that affected the eruptions and caused damage to
340 aircraft. The detailed analysis and the results are given in SI-Statistic. We defined the following
341 variables: Area (values: Tropic, Subtropic, etc.), Type (values: Wet, Dry), Explosion (values:
342 Strombolian – 1, Vulcanian-Dry – 2, Pelean – 3, Plinian-Dry – 4, Plinian-Wet – 5, Vulcanian-Wet –
343 6, Phreatomagmatic – 7, with the explosivity increasing from value 1 to 7), Damage 1 (values: Engine
344 fail, other), and Damage 2 (values: Engine fail, Engine problem, Windshield problem, Other damage,
345 No damage). Applied Binary Logistic Regression uses regression analysis to determine the effects
346 and explanation levels of the independent variables on Damage 1 (the dependent variable), which
347 indicates the damage status of the aircraft. Regression analysis measures the effect of a one-unit
348 change in the independent variable on the dependent variable. The variables related to the model, in

349 which the contribution of each independent variable in the model is significant, are the variables that
350 include the combined effect of the explosion, type, and explosion-type variables.

351 The effect of the Explosion variable on the Damage 1 variable is statistically significant but with the
352 lowest score: Damage 1 varies by approximately 1.3 unit in the negative direction for a one-unit
353 change of Explosion. In other words, as the explosion type increases, the engine fail state emerges.

354 Besides, the relationship between variables Type (Wet vs. Dry) and Damage 1 is statistically
355 significant, with an effect of approximately 5.2 units on Damage 1 in the negative direction. In other
356 words, as the introduction of external water increases, the probability that the engine fails also
357 increases statistically significantly. Moreover, the independent variable formed by the variables
358 Explosion and Type taken together (interaction) positively affects the variable Damage 1 and has an
359 odds ratio of 2.4. Therefore, if the Explosion and Type variables increase by 1 unit, the Damage 1
360 variable is 2.4 times more likely to appear with the " other " value.

361 Besides, after getting significant results of logistic regression with binary response variable
362 `Damage1` with categories `Engine fail` and `Others`, it is found that the `type` variable, which
363 indicates dryness-wetness, has the most potent effect on the engine fail. The odds of the occurrence
364 of the `Engine fail` rising by wetness can also be seen in Fig2. Additionally, a more in-depth analysis
365 was intended by investigating the response variable `Damage 2` by categorizing it into five classes
366 as: `Engine fail`, `Engine Problem`, `Windshield problem`, `Other damage` and `No damage`.

367 Regrettably, due to insufficient data, the observed data points were not distributed uniformly into five
368 categories, rendering the results insignificant. However, individual categories such as `Engine
369 problem` and `Windshield problem` with substantial data points have shown promising results
370 compared to the reference category `No Damage`.

371 To put it simply, the transition from a "dry" state to a "wet" state has a statistically significant
372 correlation with the occurrence of "Engine Fail".

373

374 **Melting Experiments**

375 To understand the relationship between the introduction of external water and the damage due to
376 volcanic ash-aircraft encounters, we performed experiments to test the effect of water on volcanic ash
377 melting. Ashes from Neschers pyroclastic density current (PDC), Monts Dore stratovolcano, French
378 Massif Central, were used as starting material. It has a trachytic composition (63.0 wt% SiO₂ and
379 10,8 wt% Na₂O + K₂O on a volatile-free basis). It is principally composed of green clinopyroxene
380 and feldspars, fragments of pumice, glass shards and xenoliths (Fig3). It is dated at 0.58 ± 0.02 Ma
381 (³⁹Ar/⁴⁰Ar; Lo Bello et al. 1987).

382 SEM images of the starting material reveal that the pumices are tubular, generally unaltered and
383 appear very clean (Fig3). Glass shards also do not exhibit alteration but show minute particles
384 adhering to their surfaces. Some glass shards also display vesiculated textures. Apart from adhering
385 particles, no basic alteration was observed on feldspars or clinopyroxenes. All fragments are angular,
386 and no evidence of melting was observed on the components (Fig3 and SI-Fig1).

387 Neschers volcanic ash was loaded in gold capsules, either dry or along with 1.7 wt% water, and
388 subjected to a temperature of 900 °C and a pressure of 40 bar for half an hour in an externally heated
389 pressure vessel specifically modified to closely reproduce the natural conditions during ash ingestion
390 by aircraft engines. At the end of the experiment, both the anhydrous (Nash-AnH) and hydrous
391 samples (Nash-H) display very different textural features in comparison to the starting material
392 (Fig4, SI-Fig2 and SI-Fig3). The samples have become coherent compared to their initial ashy state.

393 The specimens comprise mainly alkaline mineraloids (mineral gel), prismatic minerals, acicular
394 minerals, relict minerals, and relict pumices components (Fig5; SI-Fig3). First, the volcanic ash
395 powder has become more cohesive due to the effect of sintering, and the starting grains are welded
396 together. Moreover, in addition to relicts of the original minerals and fragments that commonly show
397 traces of incipient melting, we observe ubiquitous surface encrustation by newly grown acicular or
398 prismatic crystals (Fig5; SI-Fig3).

399

400 Description of the anhydrous sample

401 It is dominated by microspheres with diameters of up to 50 microns but generally between 10-20
402 microns. The background consists of rounded, spherical, ellipsoidal, bulbous, and globular material,
403 and their surface is extremely ragged; overall, they constitute a botryoidal cluster (Fig.4A-B).
404 Moreover, the matrix bears some droplets (Fig. 6A).

405 Some tubular pumice fragments and most prismatic minerals are still conserved, although some
406 pumice exhibits incipient melting starting from the rim (Fig.6.B). Newly grown acicular, prismatic,
407 and tabular crystals with grain sizes smaller than 10 microns are ubiquitous in the sample, adhering
408 to the pumice fragments, the glass shards and the larger minerals. The fibrous, acicular crystals are in
409 bundles and sometimes grow outward from the prismatic minerals.

410

411 Description of the hydrous sample

412 The textural properties of the hydrous sample are quite similar to those of the anhydrous sample,
413 except that the evidence of melting is more systematically developed in the former than in the latter.
414 Melting is visible on the edges of the pumice fragments, on the glass shards and mineral surfaces, and
415 is responsible for forming microspheres, droplets, and other rounded shapes (Fig.4C &Fig. 6C-D).
416 Moreover, in the encrustations which cover the surface of the original grains, the small euhedral
417 crystals rest on a glassy material in which they are more or less immersed (Fig. 5). In particular, laser
418 engraving of the wet sample exhibits rounded, stepped structures. In contrast, the anhydrous sample
419 shows a much more homogeneous internal structure (Fig.7). In particular, the bulgeous chaotic
420 surface and step-like glassy texture are more evident in the melting progression (Fig.7). The surface
421 dissolution and acicular minerals are ubiquitous in the wet sample (Fig.7). Similar aspects were not
422 found in the anhydrous sample engraving (Fig.7).

423 Finally, our experiments show that adding water increases the degree of fusion and, therefore, the
424 “stickiness” of the volcanic ash and its ability to adhere to the walls of the jet engine turbines.

425

426 **Discussion**

427 Volcanological information is crucial to understand better the damage caused by ash in volcanic ash-
428 aircraft encounters (Davison and Rutke 2014). Plinian-type eruptions significantly impact aviation
429 operations due to their wide regional dispersion, while Vulcanian and Surtseyan-type
430 (Phreatomagmatic) explosions are more circumvented and can be avoided (Davison and Rutke 2014).
431 Although Plinian eruptions can have a global impact, with eruption clouds reaching heights of 45 km
432 (Wilson et al. 1978), the eruption of Eyjafjallajökull in 2010 had a worldwide effect despite the plume
433 not exceeding 10 km. (Gudmundsson et al. 2012). Magma supply rate, sustainable eruption
434 conditions, and wind directions influence this variation.

435 Volcanic ash is highly variable in both chemical composition and physical characteristics, and its
436 particle morphology can change during an eruption (Cioni et al. 2014). It is natural to anticipate that
437 the abrasiveness of volcanic ash will differ based on various factors, such as the dynamics of
438 eruption/fragmentation, chemical composition, viscosity, volatile contents, ejection rates, and internal
439 and external factors. This means that the abrasiveness can vary significantly between eruptions or
440 even within a single eruption. However, despite its importance, this question remains poorly
441 understood at present. The main damage caused by volcanic ash is the wear effect of ash on the
442 aircraft airframe, windshields, electronic parts and engine fuel systems.

443 Statistically, Plinian-type eruptions cause the most damage to the aircraft body and engine (Fig.1).
444 Besides, Vulcanian-dry eruptions also have a significant impact on sandblasting, particularly
445 affecting the windshield, wings and airframe. According to the damage-eruption type diagram (Fig1),
446 volcanic ashes of dry eruptions cause damage mostly through physical effects. These are generally
447 damage such as abrasion, cracking, sandblasting, and engine problems.

448 Our regression analysis shows a meaningful correlation between engine failure incidents and external
449 water contributions to eruptive sequences. It reveals a significant increase in engine failure rates
450 during the transition from dry to wet conditions. Consequently, Plinian-wet eruptions stand out as the
451 type of volcanic activity that causes the most engine failures. Besides, the engine failure incident
452 related to the Pelean style eruption in Fig1 is related to the Soufriere Hills and Unzen eruptions, where
453 heavy rain conditions have been reported. This article shows that external water is involved in an
454 eruptive sequence in engine fail incidents with molten glass inside the engine. However, of course,
455 these meltdowns cause technical malfunctions in the engines. Is it possible that this is a coincidence?
456 Numerous studies have focused on ash melting, particularly following the Eyjafjallajökull eruption
457 in 2010 (Kueppers et al. 2014; Song et al. 2016; Song et al. 2017; Giehl et al. 2017; Müller et al.
458 2020; Pearson and Brooker 2020). The softening temperatures of glassy volcanic ash can be as low
459 as 600°C, and complete sintering occurs at temperatures as low as 1050°C (Kueppers et al. 2014). Jet
460 engines' high temperatures (1200-2000°C) worsen the impact of volcanic ash by melting and sticking
461 to turbine parts (Song et al. 2016). While most melting experiments involved heating specimens from
462 room temperature in an autoclave, gradually reaching the melting point, our study employed a faster
463 heating technique in which the cold ash (at room temperature) was rapidly exposed to a hot
464 environment of 900°C under 40 bar pressure, mimicking the conditions proposed for a Rolls Royce
465 turbofan engine (Giehl et al. 2017). In real-life scenarios, it would be more practical to expedite the
466 melting process by freezing the ash material at an air temperature consistent with the flight altitude.
467 This should be done before subjecting it to the autoclave. This is because the tops of Plinian eruption
468 clouds are exposed to extremely cold temperatures, reaching as low as -50°C (Williams and McNutt
469 2004) or -88°C during the Pinatubo 1991 eruption (Lynch and Stephens 1996).

470 In recent years, studies have begun to be carried out on the rehydration of volcanic ashes during
471 subaerial, submarine eruptions with external water contributions (Hudak et al 2021; Hudak et al 2022;
472 Mitchell et al 2021). It is known that the rehydration of volcanic glass develops over time, starting

473 from atmospheric water as post-eruptive/post-depositional (Seligman et al 2016). Besides, volcanic
474 glasses hydrate faster if they encounter water under high-temperature conditions during the eruption,
475 transport and deposition (Hudak et al 2022). Song et al (2016) simulated the behavior of volcanic ash
476 when ingested in jet engines. They tested different heating rates ranging from 10 to 40°C per minute
477 and observed that water was mostly released during heating at temperatures between 600-700°C. T_g
478 (glass transition temperature) is significantly affected by the water content in the material upon
479 heating, as the water content increases, T_g tends to decrease (Giordano et al 2005). Moreover, Hudak
480 et al. (2021) proposed, based on their hydrogen isotope analysis of the volcanic ashes of the Redoubt
481 2009 eruption, that syn-eruptive hydration occurred in the margins of the eruptive column/plume
482 where glacial meltwater entrained. In this study, we classified eruptions as either dry or wet. Our
483 definition of a dry eruption is relative, as explosive magmas can naturally contain around 6-7% water
484 (Williams and McNutt 2004). On the other hand, wet eruptions refer to cases where external water
485 contributes to volcanic activity.

486 In the classical petrological definition, when water or other volatiles are added to the solid during
487 magma generation processes, the melting temperature decreases, and the amount of the melts
488 increases. This type of melting, known as flux melting, was also tested in our experiment, and we
489 observed its contribution to sintering and melting. Our starting material was also trachyte with
490 approximately 10% alkaline content, facilitating flux melting. While the textural properties of dry
491 and wet samples are similar, melting textures are more prevalent in wet samples. It should also be
492 emphasized that the minerals formed after melting are due to the low-temperature crystallization from
493 the mineral gel.

494 The source of external water within the volcanic plume may be related to phreatomagmatism,
495 atmospheric moisture, or environmental water over which pyroclastic density currents (PDCs) flow
496 and seawater (Joshi and Jones 2009). Any convective cloud, especially in the humid tropics, will
497 consequently drag in moist air whether it rains or not. In this case, atmospheric water can also be

498 considered regardless of physical state (gas, liquid, solid), as an external water source. Besides the
499 syn-eruptive water involvement, the high relative air humidity in tropical areas may form ice particles.
500 The jet engines can ingest atmospheric ice during flights, and more than 150 related damage have
501 been reported (Haggerty et al. 2019). The presence of ice particles, including ice-coated ash particles
502 in volcanic plumes, is also recognized (Rose et al. 1995; Prata and Rose 2015). While atmospheric
503 ice is a threat to aviation on its own, ice-coated ash grains pose a significant hazard (Prata and Rose
504 2015). The incident involving an aircraft following the Manam Strombolian-Subplinian eruption is
505 proposed to be related to this phenomenon (Tupper et al. 2007b). Furthermore, external water input
506 to volcanic clouds can occur through heavy rain during the eruption. The Yunya Typhoon, for
507 example, significantly affected the region during the Pinatubo eruption in 1991, contributing a
508 substantial amount of water to the eruption cloud and facilitating the formation of sufficient ice (Guo
509 et al. 2004). Similar heavy rainfall during the Soufriere Hill, Unzen, and Chaiten eruptions also led
510 to the incorporation of water into volcanic clouds.

511 In addition, apart from water coming into aircraft engines from outside, burning kerosene in the
512 combustor produces a substantial amount of H₂O, around an order of magnitude more than is likely
513 to be ingested into the engine inlet from atmospheric water or ice (Rory Clarkson pers comm). The
514 influence of the H₂O produced from burning kerosene than when engines ingest water with volcanic
515 ash needs further research as part of future work.

516 **Conclusion**

517 1-We statistically examined the distribution of damage types in Volcanic ash-aircraft encounters
518 according to volcanic eruption types.

519 2- We defined eruptions as wet when external water is included in the eruptive sequence, plume or
520 column. Others were defined as dry eruptions.

521 3- The dry eruptive plumes primarily cause abrasion on aircraft. In contrast, our binary regression
522 analysis shows that wet clouds and melting of volcanic ash in the jet engine are positively correlated
523 with engine failure events during these encounters.

524 4- When we carried out the dry and wet melting experiments by dropping the samples directly into
525 the pressurized hot environment under 900°C and 40 bar pressure to achieve faster heating. Faster
526 heating and water availability facilitate the softening and adhesion of the volcanic ash to hot engine
527 parts. We observed that sintering started in the dry and wet samples, and even melting started, and
528 droplets and spheres were formed, especially in wet conditions. This information may help aviation
529 experts make informed decisions to ensure aircraft safety during volcanic eruptions.

530 5- While our study is not conclusive, we hope it will encourage further scientific and engineering
531 investigations into the effects of hydrous volcanic ash on aircraft, particularly its various species.

532

533

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850 **Figure Caption**

851 Fig.1. Statistical distribution of damage due to volcanic ash-aircraft encounters as a function of
852 volcanic eruption types. Three types of damage are distinguished. Strombolian-Subplinian eruptions
853 are shown together on this diagram. This is because in basaltic eruptions, too, the eruption cloud can
854 sometimes reach heights of several kilometers. It is also worth mentioning that an eruption can cause
855 different damage simultaneously, such as abrasion and engine problems, which are shown as separate
856 damage.

857

858 Fig.2. Results of the statistical analysis applied to the database. The probability of engine failure
859 increases in wet conditions. The Strombolian-Subplinian class eruption in the bottom left corner with
860 the wet line is due to the rainfall condition during the strombolian-type eruption of Pacaya-Guatemala
861 in 1989. The wet graphic line, which also appears in the Pelean eruptions, is due to the heavy rain
862 conditions of the Soufriere Hills and Unzen eruptions. After Eruption-type 4, wet conditions were
863 already in place due to external water.

864

865 Fig.3. Starting material (Nescher, PDC, France). ABCD: SEM pictures, EFGH: Minerals under a
866 binocular microscope, I: Whole rock chemical analysis

867

868 Fig.4. SEM photomicrographs showing overviews of the A and B from the NAsh-AnH1 and 2
869 samples and C and D from the NAsh-H sample. Rugged, globular, bulbous texture is observed in both
870 states (Anhydrous and Hydrous). In the NAsh-H sample, ellipsoidal formations are more common.

871

872 Fig.5. SEM photomicrograph of the surface of the hydrous sample at high magnification. The
873 small euhedral crystals rest on a glassy material in which they are more or less immersed,

874 indicating incipient melting of the volcanic ash. Corresponding chemical analysis gives
875 mineraloid+crystal analysis values rather than a mineral analysis. Partly Alkali feldspar and
876 partly glass chemistry coexist. In this case, it can be accepted as a result of melting. Note the
877 abundance of acicular crystals.

878

879 Fig.6. Fig6.A. FIB-SEM image of NAsh-AnH. The presence of mineraloid droplets at the base
880 is observed. Spectrum points correspond to the chemical analyses given in the SI. The newly
881 formed crystallites are also generally associated with prismatic minerals.

882 6.B. An intact piece of pumice with slight melting from the edges is observed in the NAsh-
883 AnH. The melting zone is observed as a brighter-whitish band.

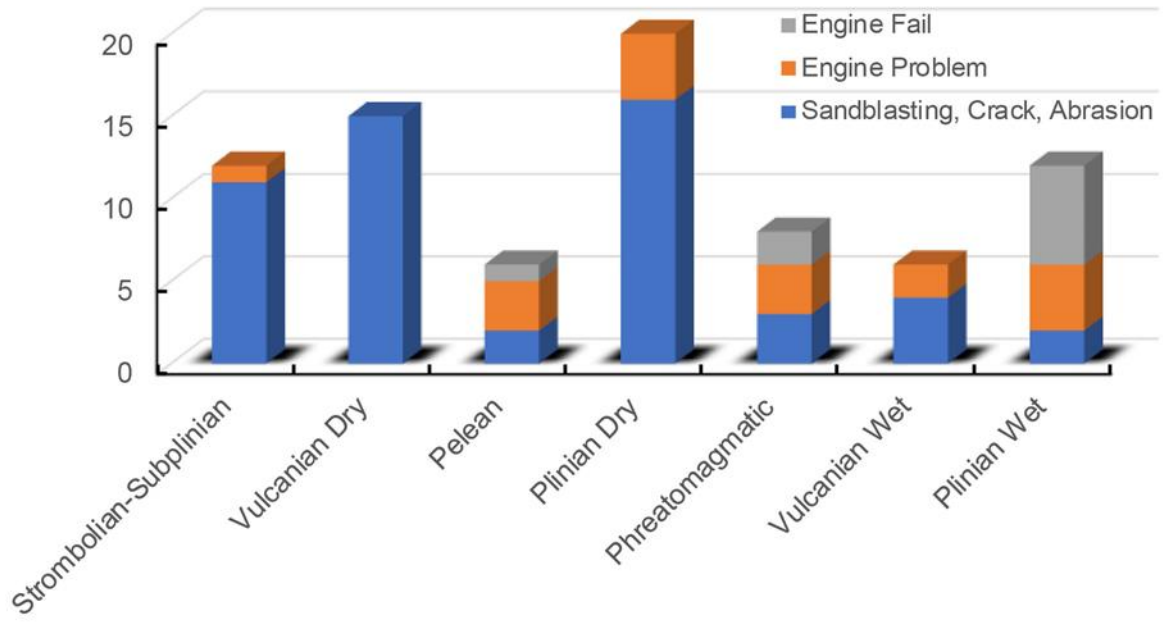
884 6.C. The spherical droplet of mineraloid in NAsh-H and the same invading mineraloid with
885 numerous secondary crystallites.

886 6.D. Pumice fragments in NAsh-H have widely melted and started to disappear.

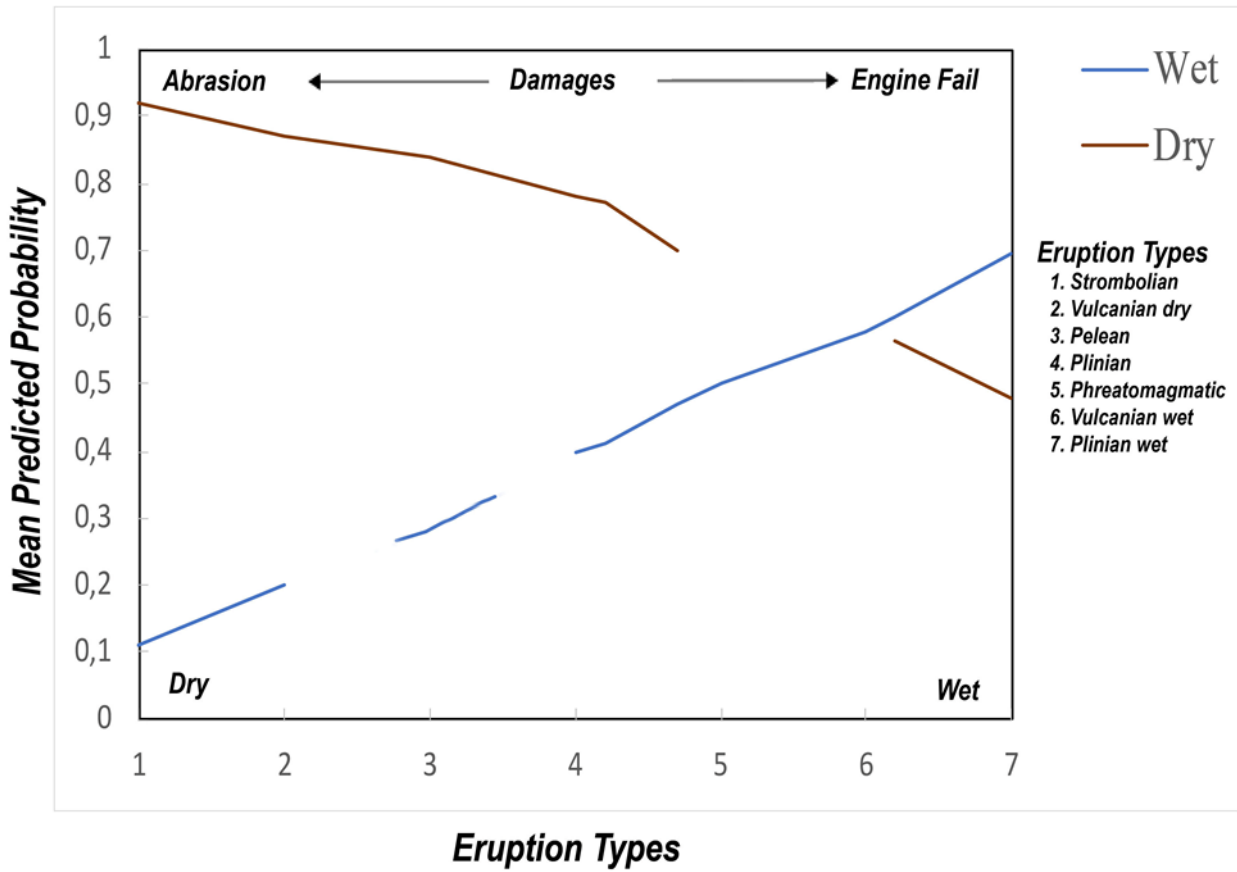
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888 Fig.7. SEM-FIB laser engraving, we see acicular feldspars forming vaguely below the surface
889 as phantom minerals in mineral gel (in hydrous sample). Step-like melting is obvious in the
890 hydrous sample interior.

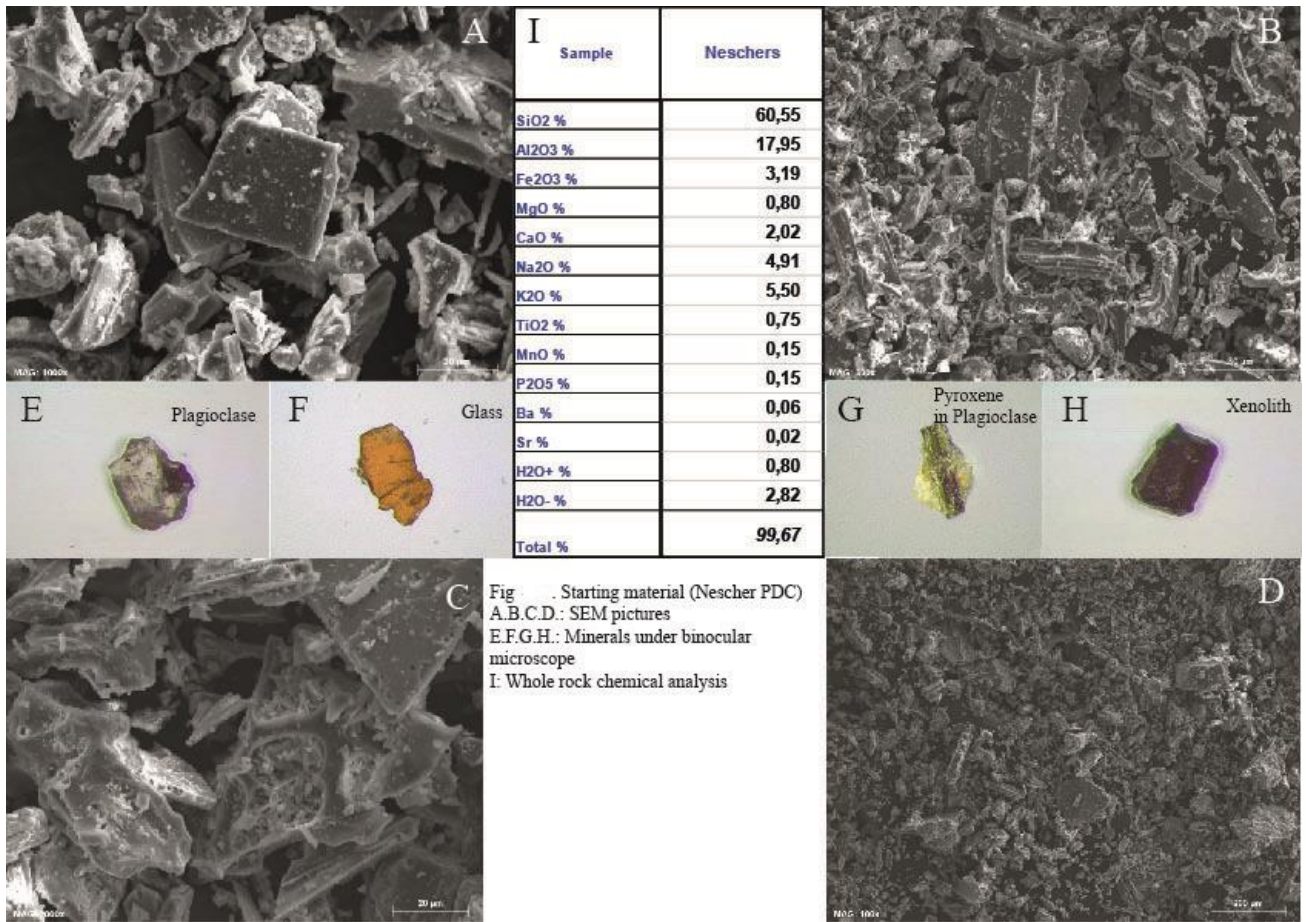
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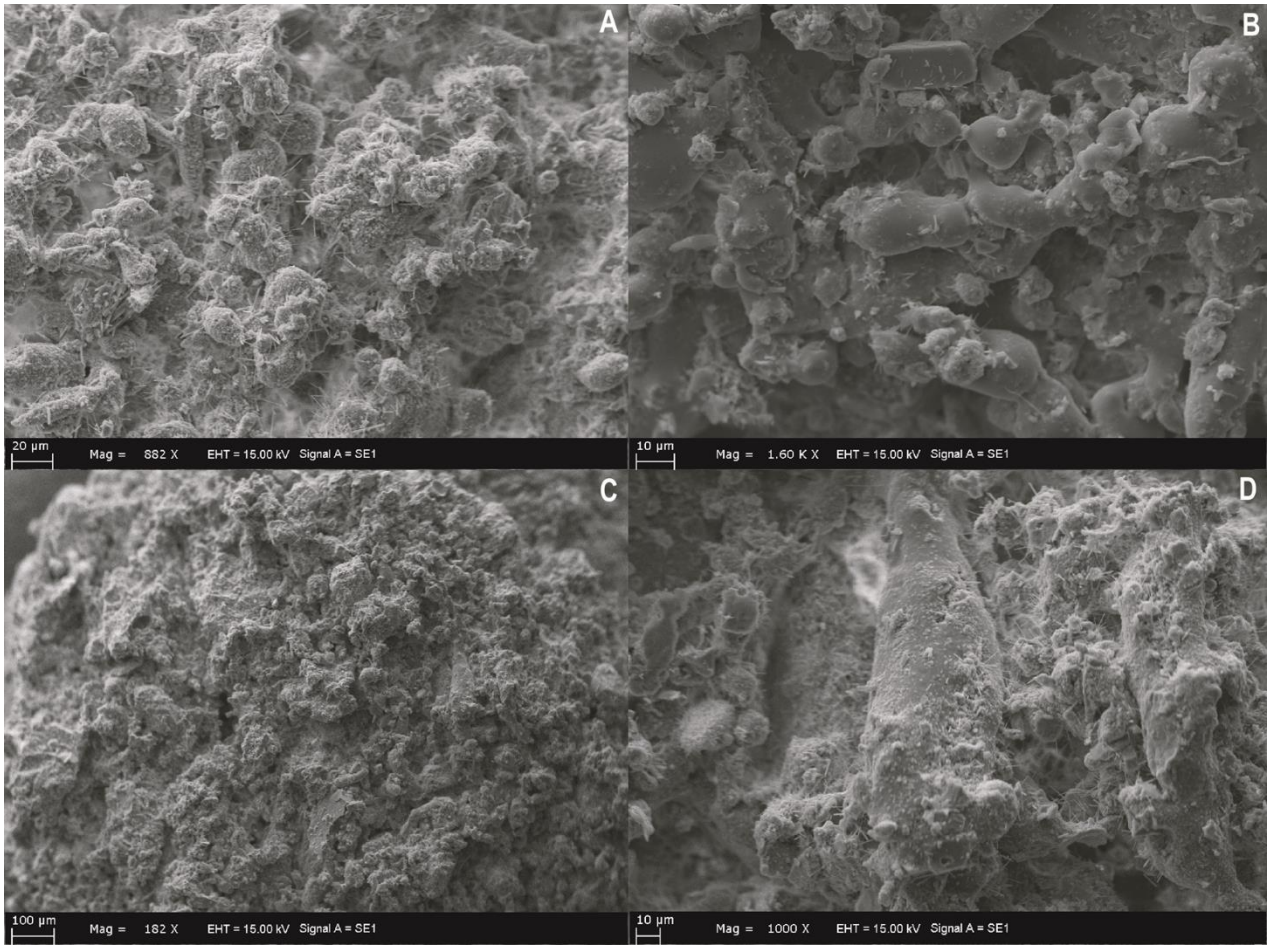
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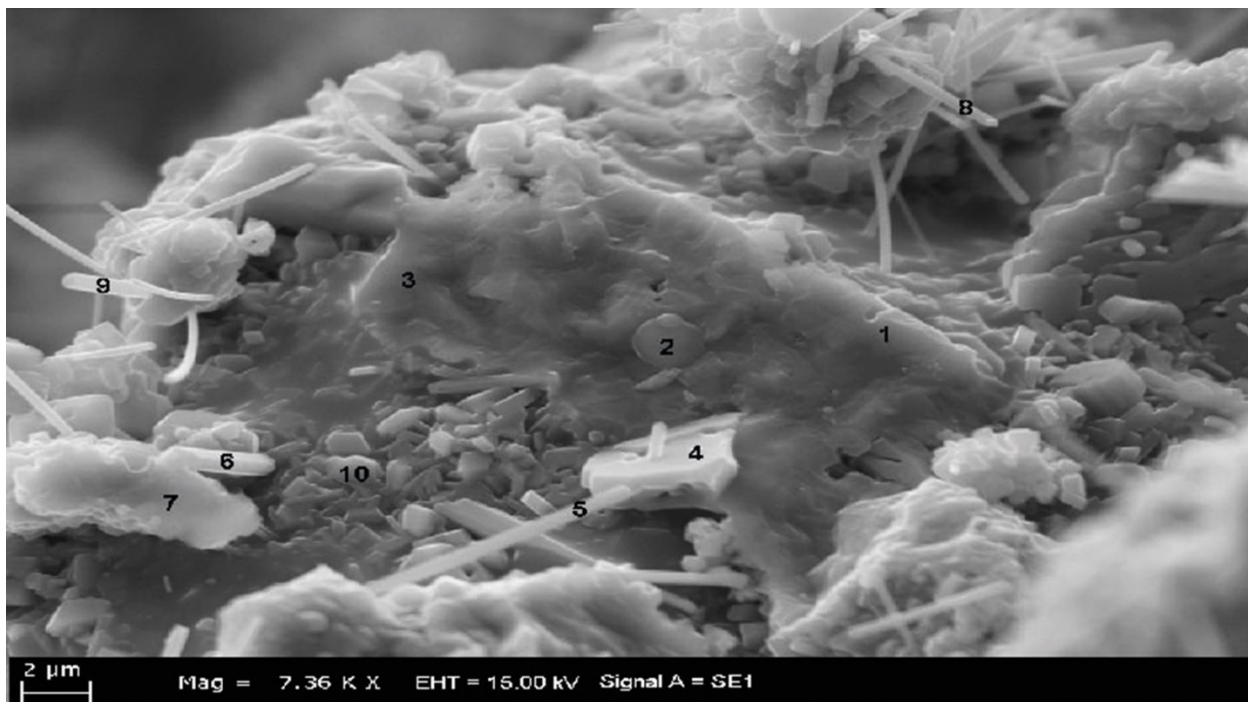
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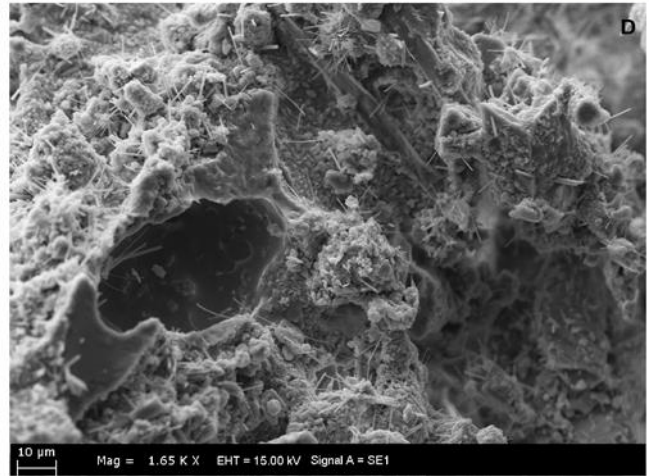
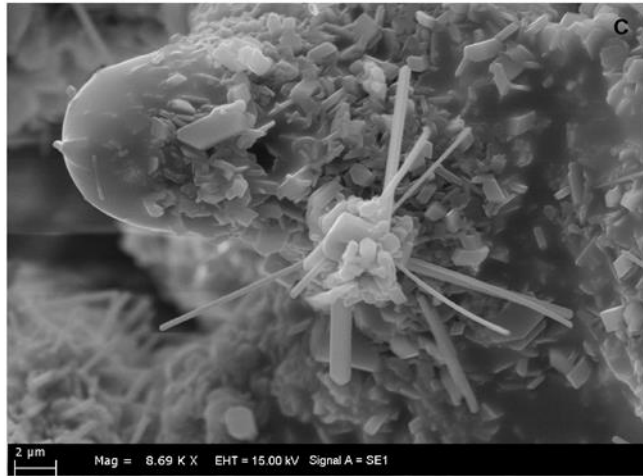
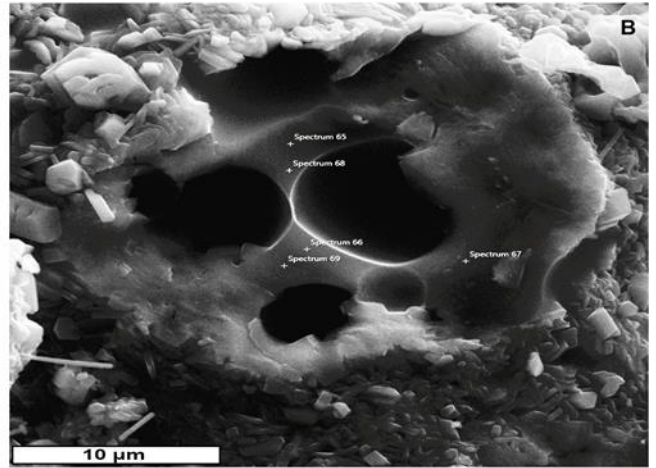
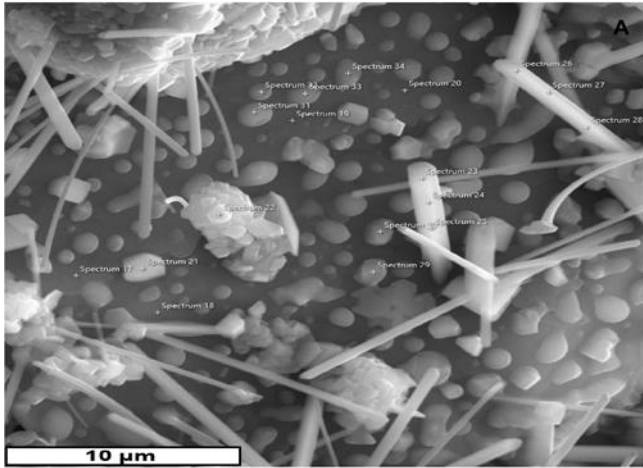


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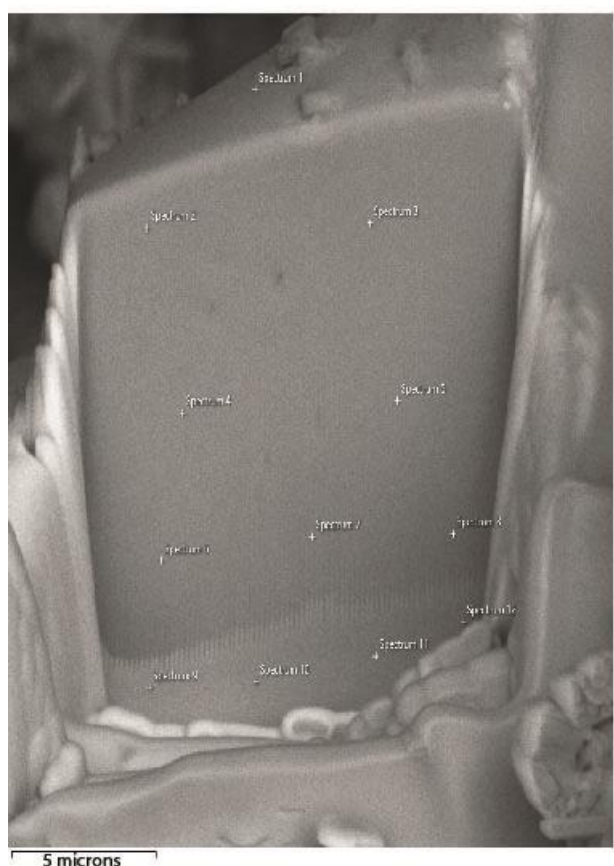
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|-------|-------|-------|-------|--------|-------|-------|-------|-------|-------|-------|
| Na2O | 3,18 | 4,00 | 3,01 | 4,77 | 4,06 | 3,85 | 4,51 | 2,84 | 4,50 | 4,14 |
| MgO | 0,77 | 1,32 | 0,04 | 0,88 | 1,30 | 0,38 | 0,74 | 0,92 | 0,76 | 0,08 |
| Al2O3 | 18,47 | 18,96 | 16,94 | 19,00 | 16,71 | 16,67 | 20,09 | 16,55 | 19,80 | 17,85 |
| SiO2 | 60,83 | 58,13 | 62,48 | 66,46 | 61,35 | 60,85 | 60,14 | 63,29 | 58,30 | 65,58 |
| K2O | 9,03 | 8,88 | 8,65 | 6,49 | 7,05 | 7,30 | 6,39 | 8,03 | 7,26 | 6,71 |
| CaO | 2,89 | 3,20 | 1,73 | 0,94 | 2,01 | 1,81 | 1,14 | 2,01 | 1,51 | 1,40 |
| TiO2 | 1,44 | 0,73 | 0,14 | 0,00 | 1,01 | 1,08 | 0,39 | 1,12 | 0,37 | 0,39 |
| MnO | 0,30 | 0,38 | 0,28 | 0,00 | 0,11 | 0,00 | 0,00 | 0,53 | 0,14 | 0,49 |
| FeO | 3,45 | 2,48 | 2,42 | 2,68 | 4,38 | 3,09 | 2,77 | 3,23 | 2,86 | 2,49 |
| Total | 98,35 | 96,09 | 95,70 | 101,24 | 97,98 | 95,02 | 96,16 | 98,32 | 95,50 | 99,12 |

896

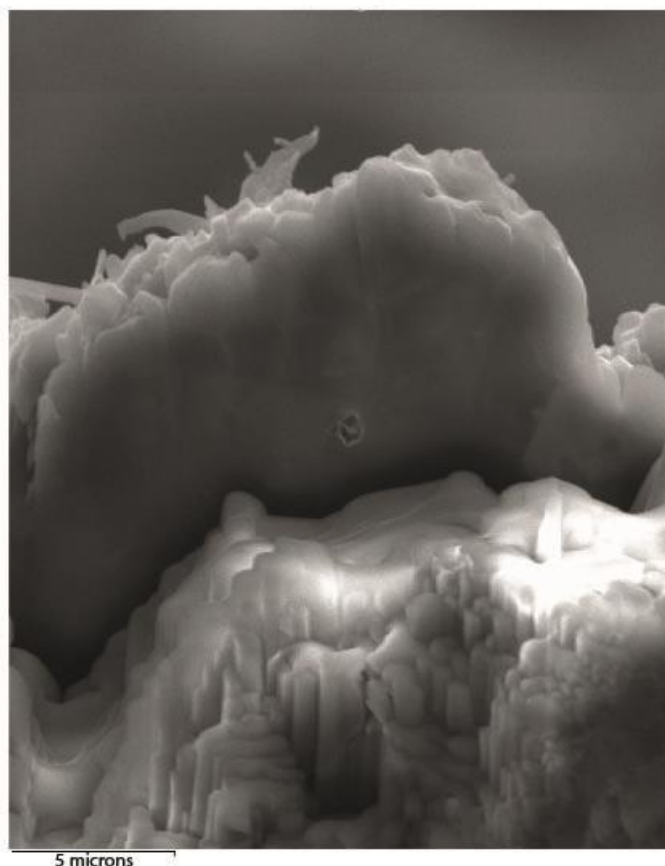


897

Anhydrous



Hydrous



898

899

900 **Supplementary Information**

901 **Supplementary Figures**

902 **SI-Fig1.** SEM images of the starting material, which is very rich in pumice fragments, glass shards
903 and minerals. There are no secondary melting traces.

904 **SI-Fig2.** Anhydrous samples after melting experiments. Botryoidal texture is dominant, but pumices
905 and minerals are mainly conserved. Secondary mineral growths are present.

906 **SI-Fig3.** SEM images of Hydrous sample after melting experiments. Pumices, glass shards and
907 minerals show the melting textures, and the basement represents the mineraloid melt with intensive
908 secondary mineralisations.

909

910 **Supplementary Tables**

911 **SI-Table1.** Compilation of aircraft encounters with volcanic ash clouds, 1953–2009, as a Microsoft
912 Excel 93–2007 spreadsheet file (.xls) (modified from Guffanti et al., 2010, the columns in red have
913 been added).

914 **SI-Table2.** Statistical distribution of the damage

915