

# Leaching of plasticizers from PVC medical devices: A molecular interpretation of experimental migration data

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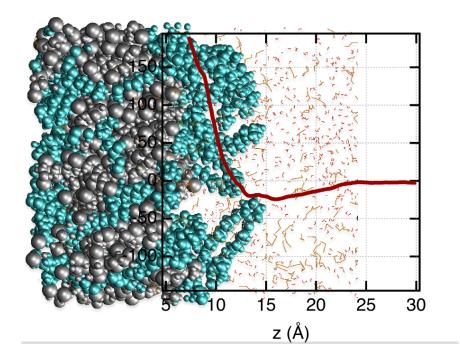
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## Leaching of plasticizers from PVC medical devices : a molecular interpretation of experimental migration data

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#### **Abstract**

High pressure liquid chromatography (HPLC) experiments and molecular simulations were combined to investigate the leaching process of DINCH, DEHT and TOTM plasticizers from PVC materials. Methodologies based on statistical thermodynamics were used to rationalize the migration of the different plasticizers in water and ethanolic solutions from different free energy contributions. We extended this combined approach to the study of the adsorption of a model drug (diazepam) on different plasticized-PVC materials. The drug loss by sorption was investigated in different solutions and rationalized at an energy level. The combination of experiments and theoretical approaches proved to be effective for these sophisticated systems and processes for which various energy contributions are involved.

Keywords: Leaching of plasticizers, molecular simulations, HPLC experiments, molecular interpretation, molecular interactions

#### 1. Introduction

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Poly(vinyl chloride) (PVC) was first used for medical applications during World War II to replace medical devices (MD) armade from glass, metal, ceramics, and rubber, which had the major drawback of being expensive, fragile and difficult to use. Since then, PVC medical devices have become ubiquitous thanks to their light weight, softness, low manufacturing cost, transparency, recyclability, water and chemical resistance and resilience [1, 2]. However, to make PVC soft and flexible, PVC must be mixed with plasticizers. For infusion tubings and transfusion bags, it is used with a high ratio of plasticizers factors.

The problem is that the plasticizers can escape from the <sup>56</sup> polymer matrix, dissolve into the surrounding medium and <sup>57</sup> contaminate it [3, 4, 6, 5, 7, 8, 9, 10, 11]. This raises the <sup>58</sup> question of the interaction of plasticizers with blood and human tissues [12, 13, 14] and of their impact on human health. <sup>60</sup> One plasticizer that used to be frequently used was di (2- <sup>61</sup> Ethylhexyl)phthalate (DEHP), which was categorized in 2008 <sup>62</sup> as CMR 1B (carcinogenic, mutagenic or toxic to reproduction) <sup>63</sup> [15] and as an endocrine disruptor by the European Chemical <sup>64</sup> Agency [16]. The use of DEHP in PVC medical devices is now <sup>65</sup> limited to 0.1% by mass of plasticised material by the European <sup>66</sup> Regulation N° 2017/245 on medical devices [17].

Alternative plasticizers like diisononyl phtalate (DINP), di(2- 88 Ethylhexyl) terephtalate (DEHT/DEHTP), 1,2-cyclohexane di- 69 carboxylic acid diisononyl ester (DINCH) or tris(2-Ethylhexyl) 70

trimellitate (TOTM/TEHTM) have therefore been used in the manufacturing of MDs [18]. Different experiments have been implemented to investigate the migration of these plasticizers from MDs in contact with fluids by using an approach based on a migration methodology comparable to that used in food industry [19, 4, 5]. These studies have shown a migration of all alternative plasticizers from infusion medical devices depending on the composition of the fluids in contact, and the conditions of the experiment as contact duration or temperature.

In order to gain an in-depth understanding of the mechanisms governing plasticizer leaching, high-level knowledge of the plasticization mechanism but also of the interactions that take place at the interface between the plasticized material and the solution in contact is needed [7]. Concerning the plasticization mechanism [20, 21, 22], various theories such as lubricity, gel, mechanistic and free volume theories [20, 21, 22] have been elaborated. Nevertheless, these theories remain phenomenological in nature and neglect the interactions at the molecular level which are essential for the design of new polymers and plasticizers but also for a better understanding of the mechanisms mentioned above.

One way of explaining this migration is to use molecular simulation [23], which relies on molecular interactions to generate microscopic configurations and statistical thermodynamics to calculate macroscopic quantities of interest. With this theoretical approach, it is possible to design a plasticized-PVC surface at the molecular scale and observe the conditions in which some plasticizers can escape from the surface in the time scale of the simulation, *i.e.*, at the microsecond scale. In this work, we completed these simulations by applying advanced methodologies

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that calculate free energy quantities and that informed us about the spontaneous nature of the leaching process.

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Recent applications of molecular simulations to plasticized polymer materials have been successfully developed. For example, the investigation of the performance of common phthalates as plasticizers for PVC [24] was achieved using atomistic models by calculating Young's modulus, glass transition temperature and structural properties at the molecular level. Molecular dynamics simulations of a biopolymer starch/glycerol system [22] were used to capture the transition region in the degree of plasticization. Another recent work [25] has shown that it is possible to rank plasticizers using molecular simulations by examining the depression of the glass transition temperature, tensile strength and elastic modulus. We have also applied molecular simulations and advanced methods of statistical mechanics [26, 27] to study the sorption of drugs onto pure PVC [26] and plasticized PVCs [27, 28] in order to interpret experiments at the molecular level. These simulations have highlighted different interaction strengths between drugs and the PVC surface as126 a function of the nature of the plasticizer and of the drug (di-127 azepam, paracetamol and insulin) in direct connection with the 128 analysis of experiments. These simulations used the concept of 129 potential of mean forces (PMF) [29, 30] to investigate the ad-130 sorption process along the direction normal to the PVC surface.<sub>131</sub>

In this paper, we aim to combine experimental assays and <sup>192</sup> molecular simulations to investigate the migration of three plas-<sup>133</sup> ticizers (DINCH, DEHT and TOTM) from the PVC surface into <sup>134</sup> two different solutions (pure water and 50-50% ethanol-water <sup>135</sup> mixture). We completed this study by the study of the adsorp-<sup>136</sup> tion of diazepam onto platicized-PVC in different media to in-<sup>137</sup> vestigate the impact of the types of interactions involved in the <sup>138</sup> solution on the strength of the adsorption.

The paper is organized as follows: Section 2 contains the description of the experimental and computational procedures. 140 In section 3, we discuss the experimental results in line with the macroscopic properties provided by molecular simulations and we characterize the drug adsorption by the description of local arrangements close to the PVC surface. We conclude in Section 144

#### 2. Experimental and computational procedures

#### 2.1. Experimental studies

The leaching studies were conducted to evaluate the migra- $_{151}$  tion of the plasticizers from the tubings during static contact $_{152}$  with a solution of diazepam diluted to 20  $\mu$ g/mL in two differ- $_{153}$  ent solutions (water and a 50-50% ethanol-water mixture), in $_{154}$  order to analyse the effect of the solution on the leaching. The $_{155}$  ethanol-water (50/50 v/v) solution is known for its ability to $_{156}$  reflect lipophilic drugs [19] based on models proposed by regu- $_{157}$  lation EU 10/2011 on plastic materials and articles intended to $_{158}$  come into contact with food [31, 32, 33]. Adsorption studies $_{159}$  were conducted in the same conditions to study the effect of the $_{160}$  solution and the presence of plasticizers on drug adsorption.

Table 1: Description of the tubings

Tubing	$M_{plast}$	Length	Inner Ø	
	(%)	(cm)	(mm)	
PVC-DINCH	31	55	4	
PVC-DEHT	30	55	4	
PVC-TOTM	37	55	4	

Table 2: Limits of quantification and detection of the plasticizers

Plasticizers	LOQ	LOD	
	$(\mu g m L^{-1})$	$(\mu g  m L^{-1})$	
DINCH	10	5	
DEHT	1	0.1	
TOTM	0.5	0.1	

#### 2.2. Materials

Three types of plasticized PVC tubings were studied, all provided by Cair LGL (Lissieu, France). The tubings were made with different plasticizers: DINCH (CAS 166412-78-8), DEHT (CAS 6422-86-2), and TOTM (CAS 3319-31-1). The manufacturer targeted the same shore hardness for all tubings, so the mass fraction of the plasticizer depends on the plasticizer as summarized in Table 1. These results were obtained after analysis by GC-MS following the method published by Bourdeaux et al.[34]

For the initial filling of the tubings with the tested solutions, we used 50 mL polypropylene syringes (BD Plastipak, Spain, ref 300865), and an electric syringe pump at a flow rate of 1200 mL/h.

#### 2.3. Reagents

Two reagents were used, both of HPLC grade: acetonitrile, 99.9% purity (Reidel-de Haën, Germany) and ethanol, 99.8% purity (Riedel-de Haën, Germany). Water was purified using a U12 pack ultrapure water system (VWR international SAS, Fontenay sous Bois, France). For the contact study, the ethanol-water mixture was prepared at 50-50% by volume.

#### 2.4. Instrumental analysis

Plasticizer quantification was performed using a liquid chromatography system (LC2010A HT HPLC) equipped with an UV detector (Shimadzu, Marne la Vallée, France). The stationnary phase used for the separation of plasticizers was a C8 column (Kinetex 100 mm  $\times$  4.6 mm; 2.6 µm) from Phenomenex (Le Pecq, France) combined with a guard cartridge (2 mm  $\times$  4.6 mm; same stationary phase). The mobile phase was a mixture of water and acetonitrile delivered at a flow-rate of 2 mL min $^{-1}$ . The analytical conditions[35] are adapted to our chromatography system, and the limits of quantification (LOQ) and of detection (LOD) are established in Table 2. The exactitude profiles calculated as described by Hubert et al.[36, 37] are presented in Figure S1 (Supplementary Information) for the three plasticizers.

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#### 2.5. Study design

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Each tubing was first washed with the solution, filled and closed manually at both extremities using clamps, and then stored in a climatic chamber (Binder, model KBF240, Gmbh Tuttlingen, Germany) at 25 °C and 60% residual humidity. The plasticizer concentrations were measured right after filling the syringes (T0), and after the following contact times: 5 min,  $10^{222}_{223}$  min, 15 min, 30 min, 1 h, 2 h, 4 h, 6 h and 24 h. Three independent tubings were used (n = 3) for each experimental condition and time-point, thus corresponding to a total of 54 tubings for each plasticizer.

#### 2.6. Computational procedures

The three plasticizers and the PVC chains were modeled by using the all-atom general Amber force field (GAFF2) [38]-231. The atomic partial charges were calculated at the B3LYP/6-232. 31++g(d,p) [39, 40] level using Cioslowki's atomic polar tensor233 (APT) method [41, 42, 43]. These calculations were carried out234 using the Gaussian16 [44] package. The water molecules were235 described by using the TIP4P2005 model [45]. The GAFF2236 model is quite universal and has been applied to many chem-237 ically different systems whereas the TIP4P2005 and TraPPE238 force fields have been shown to successfully reproduce a sig-239 nificant number of thermodynamic properties.

The molecular dynamics simulations were performed with the LAMMPS package [46]. For the integration of the equation of motion we used the standard velocity-Verlet algorithm with at timestep of 2 fs. The SHAKE algorithm [47] was used to con-244 strain all the C-H bonds of all molecules, the O-H bonds and the 245 HOH angle of water molecules. The Lennard-Jones crossing parameters were calculated using the Lorentz-Berthelot rules. The Nose-Hoover thermostat and barostat algorithms [48] were used to maintain the temperature at 300 K and the pressure at 1 atm. For the repulsion-dispersion interactions, the cutoff radius was fixed to 12 Å. The periodic boundary conditions were applied in the three directions. The electrostatic interactions were handled with the Particle-Particle-Particle-Mesh (PPPM) 5D method [49].

For the three plasticizers, we modeled a surface plasticized at  $^{252}$  37 % in mass. The design of the plasticized PVC was modeled using 18 short chains of 50 monomers to obtain a good ho- $^{254}$  mogenization of the plasticizers. The number of molecules of plasticizers depended on the mass of the plasticizer used. These properties are given in Table S2 (Supplementary Information) along with the simulated density of each system. To construct the surface, a box of size  $50 \times 50 \times 50$  ų with the plasticizers homogeneously distributed was modeled, and each PVC chain was constructed step by step in order to propose random equilibrium chain configurations. The resulting configuration was relaxed in the NPT ensemble with increasing temperature up to  $^{263}$  600 K, the system was then maintained at this temperature for  $^{264}$  1 ns and then cooled to 300 K with a ramp of 1 ns. The final  $^{266}$  configuration was obtained after NVT runs at 300 K.

The systems were composed of a polymeric surface and  $a^{267}$  solution of :

• Water: 5000 water molecules

#### • Ethanol-water: 3000 water + 930 ethanol molecules

Classical molecular dynamics simulations were performed with an equilibration period of 500 ps. Structural and thermodynamic properties were calculated during the acquisition phase of 500 ns. We plotted in Figure S2 (Supplementary Information) the evolution as a function of time of the temperature, total, kinetic and potential energies over the last 150 ns of the simulation of the PVC-TOTM system to show what we did not observe any drift of these properties over these long NVT-simulations.

To compute the PMF profiles, the harmonic biasing method Umbrella sampling (US) [50, 51] allows an efficient sampling by applying a bias along the reaction path, and is thus an interesting method for values of the reaction coordinates for which the PMF is unfavorable. With the US method, the Hamiltonian is modified by the addition of a weighting function that takes a quadratic form with the spring constant k equal to 0.11 kJ mol<sup>-1</sup> Å<sup>-2</sup>. The Weighted Histogram Analysis Method (WHAM) [52] was used to recover the unbiased free energy profile  $\Delta G(z)$ .

For the PMF calculations, the NVT statistical ensemble was computed over 200 ns with a constant PVC surface  $S = L_x L_y = 50 \times 50 \text{ Å}^2$  at 300 K. The PMF profiles correspond then to the free energy profile  $\Delta F(z)$  along a chosen reaction coordinate. The reaction coordinate was defined as a z-coordinate: the difference between the z-positions of the center of mass of a molecule of plasticizer and the PVC surface. The maximum value of the reaction coordinate was set to 35 Å. The Helmholtz free energy  $\Delta F(z)$  was set to zero for the largest separation distance between the molecule and the polymeric surface.

#### 3. Results and discussion

#### 3.1. Plasticizer migration

#### 3.1.1. *In water*

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The study of the plasticizers' migration was approached by means of long molecular dynamics simulations. These simulations were carried out over a period of 0.5 µs for DINCH, DEHT and TOTM plasticizers in water. Fig. 1 shows the density profiles of the PVC chains, plasticizers and water molecules calculated in the last nanosecond of the simulation. Figure S3 (Supplementary Information) shows the same profiles but only calculated over the first nanosecond. The comparison between these profiles calculated on different time intervals should be able to demonstrate whether certain plasticizers can migrate into the solutions that were tested during the studied timeframe. The profiles of Fig. 1 establish an homogeneous distribution of plasticizers inside the PVC matrix but also that the PVC-water interfacial region is also populated by plasticizer molecules. However, the density profiles of Fig. 1 do not show the presence of plasticizer molecules in the bulk water phases (regions characterized by a constant density profile of about 1000 kg m<sup>-3</sup>), thus suggesting that no migration took place during the simulation timescale. The density profiles of all the individual plasticizer molecules shown in Figure S4 (Supplementary Information) confirm that no plasticizer molecule was located deeper in water than the position of the Gibbs dividing

surface, defined here as the inflection point of the density profiles of solvent molecules. The plasticizers furthest from the PVC surface remain in contact with the PVC, presenting a few parts of the molecule in the interfacial region. The water density profiles exhibit a progressive decay near the PVC surface indicating that the interfacial region which extends over about 10 Å is made up of PVC chains, plasticizers and water molecules and extend over about 10 Å.

In addition, the analysis of the profiles of Figure S3 and S5 (Supplementary Information) over the first nanosecond of simulation shows that the profiles did not change much over half<sup>327</sup> a microsecond confirming the fact that no major migration of<sup>328</sup> plasticizers from the PVC surface occurred in water over this<sup>329</sup> time interval.

We used the HPLC method to detect any release of plasticiz-<sup>331</sup> ers (DINCH, DEHT and TOTM) in the solution in contact with<sup>332</sup> plasticized PVC tubings. During the contact study, no release<sup>333</sup> of plasticizers was detected, even after 24 hours of contact for<sup>334</sup> all plasticizers. The experimental results confirm the hypothe-<sup>335</sup> sis based on molecular simulation that there is no (or beneath<sup>336</sup> the detection threshold of the analytical method that was used)<sup>337</sup> release of plasticizers when PVC tubings are in contact with an<sup>338</sup> aqueous solution.

#### 3.1.2. In ethanol-water solution

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The static contact study was conducted with an ethanol-water  $^{342}$  (50/50 v/v, containing 20  $\mu g/mL$  of diazepam) solution to anal- $^{343}$  yse the release of plasticizers. Fig.2 shows the evolution over  $^{344}$  time (in minutes) of the concentration of plasticizers during  $^{345}$  static contact with different tubings. For the three plasticizers,  $^{346}$  we can see a significant increase in the plasticizer concentration  $^{347}$  during the first 30 minutes of contact after which the concentra-  $^{348}$  tion seems to stabilize around a threshold value:  $58\,\mu g\,mL^{-1}\,_{349}$  for DINCH,  $23\,\mu g\,mL^{-1}$  for DEHT and  $4\,\mu g\,mL^{-1}$  for TOTM.  $^{350}$  The experimental part highlighted the differences in leaching  $^{351}$  between tubings, as PVC DINCH tubings released the biggest  $^{352}$  quantity of plasticizers, PVC DEHT released near 3 times less  $^{355}$  plasticizers, and PVC TOTM showed a very low leaching ca- $^{354}$  pacity compared to the other two.

To interpret these experimental results of plasticizer leach-356 ing, we propose now to analyze the density of plasticizers, PVC<sub>357</sub> and solvent molecules calculated in the ethanol-water solution358 during 500 ns. Fig. 3 displays the profiles of the last nanosec-359 ond of simulation whereas Figures S4 and S5 (Supplementary360 Information) inform us about the density profiles of the first361 nanosecond. The density profiles of each plasticizer molecule362 in the ethanolic solution are given for completeness in Figures<sup>363</sup> S5 and S6. First, Fig. 3 establishes that the interface has be-364 come disorganized over time, revealing density profiles of water365 and alcohol molecules that are much less consistent with a step366 function density profile of a solid-liquid interface. We can even<sup>367</sup> observe that a small fraction of ethanol and water molecules388 were able to penetrate the PVC matrix, indicating favorable in-369 teractions between the PVC chains and ethanol molecules, ir-370 respective of the type of plasticizer used here. Interestingly, by371 analyzing the density profiles of DINCH and DEHT, it can be<sub>372</sub> noticed that they are no longer equal to zero in the bulk ethanol<sub>373</sub>

Table 3: Leaching ratio in ethanol-water solution: molecular simulation versus experiments [19]

Tubing	Leachi		
	Simulation	Experiments	
PVC-DINCH	$0.094 \pm 0.01$	0.12	
<b>PVC-DEHT</b>	$0.041 \pm 0.01$	0.036	
PVC-TOTM	$0.008 \pm 0.01$	0.006	

solution, thus indicating a migration of these two plasticizers. The phenomenon seems to be less pronounced for TOTM. By comparing these observations with the profiles calculated over the first nanosecond (see Figure S6, Supplementary Information), an evolution over time in the shape of these profiles and the structure at the interface can be clearly seen. Indeed, during the first nanosecond, the density profiles of water and alcohol molecules showed a typical solid-liquid interface profile, with a predominance of ethanol molecules occupying this interfacial zone. As for plasticizers, they were homogeneously distributed within the PVC (see Figure S6 and Figure S7, supplementary Information).

The change in the shape of the density profiles over half of microsecond is very impressive, illustrating the onset of the plasticizer's migration in an ethanolic solution. The analysis of the density profiles calculated after 500 ns (see Figure S8, supplementary Information) shows that on average 7-8 out of 80 DINCH molecules had migrated from the PVC whereas only 3-4 out 86 DEHT molecules left the PVC. At most 1 out 62 TOTM molecules escaped from the PVC material over the simulated period. These simulations establish an approximate degree of leaching ranging from 1 to about 9 %.

First, the simulation confirms the migration of the plasticizers at the molecular level, in agreement with the experimental results. Quantitatively, the values of leaching ratios listed in Table 3 agree well between simulation and experiments for DINCH and DEHT plasticizers. It should be pointed out that the uncertainty in the calculation of the leaching ratio for these both plasticizers is based on more or less one molecule, which corresponds then to  $\pm 0.01$  on the leaching ratio. For TOTM, the low experimental ratio of 0.006 indicates that less than one molecule (0.4 molecule of TOTM on average) migrates into the solution if we consider 62 TOTM molecules. This would mean multiplying the number of TOTM molecules by 3 and the simulation time by about 9 to obtain a quantitative value. This computational effort becomes too large to simulate the material over half a microsecond. For the PVC-TOTM system, it is therefore clear that the system-size simulated here does not allow a quantitative assessment of the leaching ratio. However, it is remarkable to note that the trends highlighted experimentally between the plasticizers is well reproduced by the simulation. Different typical configurations extracted from the MD simulations are shown in Fig. 4 and give an idea of the leaching at a molecular scale. These snapshots allow the visualization of the plasticizer migration in ethanol-water versus water, and are coherent with the density profiles.

In the next section, we aim to use advanced algorithms of sta-

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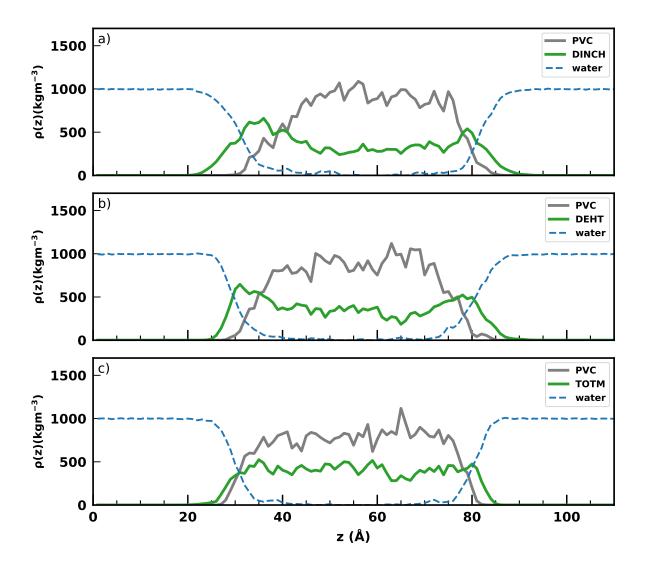


Figure 1: Density profiles of PVC chains, plasticizer and water molecules along the direction normal to the surface over the last nanosecond (499..500) ns of the simulation; a) DINCH; b) DEHT and c) TOTM.

tistical thermodynamics to calculate a free energy profile along<sub>391</sub> the separation distance between the material and the plasticizer<sub>392</sub> in order to explain the leaching process.

#### 3.1.3. Thermodynamics

The analysis of the density profiles shows a possible migra-<sup>396</sup><sub>397</sub> tion, but the absence of migration over 0.5 microseconds (for example in the case of the aqueous solution) does not mean that it cannot occur on a time scale greater than that of the sim-<sup>400</sup><sub>401</sub> ulation. To support these results and gain an insight into the molecular causes of the migration phenomenon, it is important to evaluate the free energy of the plasticizer as a function of its position relative to the surface. This type of calculation can then provide information on the spontaneous nature of the leaching. The diffusion of plasticizer molecules inside the PVC was noted to reach the relaxation of the material and investigate the sampling of the polymer matrix by the plasticizer. We only 408

considered the start of migration when the plasticizer was on the PVC surface. We then performed a set of simulations at a given z-position of the plasticizer's center of mass. For each value of z, we calculated a free energy  $\Delta F(z)$ . This allowed us to obtain a free energy profile along the direction normal to the non-plasticized PVC surface. As z is relatively large, the plasticizer does not interact with the surface and  $\Delta F(z) = 0$ . When the free energy profile exhibits a free energy minimum at the interfacial zone, it means that the interaction between the plasticizer and the non-plasticized PVC surface is thermodynamically favorable and therefore that the plasticizer migration is unfavorable.

We present in Fig. 5 the free energy profiles of each plasticizer in vacuum, water, ethanol-water and ethanol solutions. The values of the free energy minimum ( $\Delta F_{\min}$ ) are given in Table 4. The free energy profiles calculated in vacuum in Fig.5a show that the net interaction between the plasticizer and the non-plasticized PVC surface is very favorable with well-depths

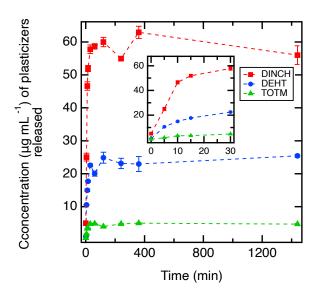


Figure 2: Quantity of plasticizers released during static con- $^{456}$  tact of different plasticized-PVC tubings with an ethanol-water $^{457}$  (50/50 v/v) solution as a function of time in minutes. The inset $^{458}$  shows a close-up view over the first thirty minutes (n=3, mean $^{459}$   $\pm$  SD)

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of around  $-129 \pm 2 \,\mathrm{kJ}\,\mathrm{mol}^{-1}$  between the different plasticizers.<sub>463</sub> The calculation of these free energy curves in water shows well-464 depths that are shallower than in vacuum, with values ranging<sub>465</sub> from -82 to -60 kJ mol<sup>-1</sup>. In ethanol-water mixtures, the well-466 depths are even shallower than in water with a reduction by al-467 most half. Very interestingly, the free energy minima are close<sub>468</sub> to zero in pure ethanol solution as shown in Table 4. These<sub>469</sub> free energy values clearly indicate that the leaching of plasti-470 cizer away from the polymer surface costs of lot of free energy<sub>471</sub> in vacuum. This energy cost is lower in water, but consider-472 ably reduced in ethanolic solutions. From a leaching view-473 point, it means that the free energy barrier to overcome dur-474 ing plasticizer desorption process has been almost reduced to<sub>475</sub> zero in ethanol. In ethanol-water solutions, the free energy cost<sub>476</sub> which is lower than 30 kJ mol<sup>-1</sup> is clearly coherent with the ex-477 perimental measurements of DINCH and DEHT leaching. For<sub>478</sub> TOTM, the free energy penalty of 42 kJ mol<sup>-1</sup> leads to a weak<sub>479</sub> migration of TOTM molecules. These simulations agree with<sub>480</sub> the threshold value of 30 kJ mol<sup>-1</sup> established in Ref.26. The<sub>481</sub> free energy costs listed in Table 4 for the ethanol-water solution<sub>482</sub> respect the quantities of plasticizers released of Fig. 2. It is<sub>483</sub> now interesting to identify the energy contributions that could<sub>484</sub> explain this free energy balance.

To do so, we propose to calculate the difference  $\Delta F_{\rm min}^{\rm solution}$   $-_{486}$   $\Delta F_{\rm min}^{\rm vacuum}$  listed in Table 4. This difference is always positive<sub>487</sub> and increases from water to ethanol solutions. These positive<sub>488</sub> values indicate that the interaction of the plasticizer with the<sub>489</sub> PVC at the interface is less favorable in solution than in vac-<sub>490</sub> uum. Indeed, if the difference is more positive, the adsorption<sub>491</sub> of the plasticizer onto the PVC surface is less favoured and<sub>492</sub>

therefore the possiblity of plasticizer migration out of the interface is increased. The migration of the plasticizer involves its desorption from the PVC surface, the adsorption of solvent molecules that replace the plasticizer on the PVC surface and the gradual solvation of the plasticizer as it migrates into the solution. First, the desorption of the plasticizer is measured by the opposite of  $\Delta F_{\rm min}$ . Second, to calculate the other free energy contributions, it is necessary to design a thermodynamic cycle in which the reactants and products are in solution and in vacuum [53]. From this thermodynamic cycle, it can be deduced that the free energy difference  $\Delta F_{\rm min}^{\rm solution} - \Delta F_{\rm min}^{\rm vacuum}$  sums two contributions: one resulting from the adsorption of solvent molecules initially in bulk solution and replacing the plasticizer molecule on the PVC surface and one other coming from the gradual solvation of plasticizer as it returns to the solution. This free energy difference can be expressed as

$$\Delta F_{\min}^{\text{solution}} - \Delta F_{\min}^{\text{vacuum}} = -\Delta F_{\text{ads-solv}} - \Delta F_{\text{solv-plast}}$$
 (1)

where  $\Delta F_{\rm solv-plast} \approx -\frac{1}{2}\Delta F_{\rm des}$  and  $\Delta F_{\rm ads-solv}$  are the free energies of solvation of the plasticizer after migration and of adsorption of solvent molecules on the PVC surface after plasticizer migration, respectively.

To evaluate the solvation of the plasticizer, we evaluated the desolvation of plasticizers in ethanol and in water in a system devoid of a PVC surface by developing an alchemical transformation. In this case the free energy of solvation is the opposite of the desolvation free energy calculated in the alchemical transformation. The reader is redirected to the reference 53 for a comprehensive description of the methodology. We accumulate the free energy attribute during the process of desolvation of the plasticizer and the solvent molecules. This is achieved by progressively turning off the intermolecular interactions including the dispersion-repulsion and electrostatic contributions between the plasticizer and the solvent molecules. The initial state contains all the intermolecular interactions between the solvent and plasticizer molecules whereas these intermolecular interactions become equal to zero in the final state. The intermediate states represented by the coupling parameter  $\lambda$  correspond to alchemical systems with intermolecular interactions which are a weighting of the interactions of the initial and final states. The reader is directed to the Supplementary Information for a description of the operational expression used in the calculation.

Fig. 6 represents the cumulative free energy of desolvation during the different steps  $\lambda$  of the desolvation process. The final point, corresponding to the free energy of desolvation is reported in Table 5 for each plasticizer. Interestingly, we observe that the cost of desolvation of each plasticizer ranges from 98 to 118 kJ mol<sup>-1</sup> in ethanol, from 95 to 128 kJ mol<sup>-1</sup> in ethanolwater and from 66 to 88 kJ mol<sup>-1</sup> in water. This means that the plasticizer solvation during its migration into the liquid solution is thermodynamically favored and becomes even more favorable in ethanol-water and ethanol solutions in which the energetic cost fell below -100 kJ mol<sup>-1</sup>. This solvation attritube explains why the plasticizer molecules prefer to be solvated by ethanol than by water. If we assume that half of the solvation layer is recovered during the migration into bulk solution,

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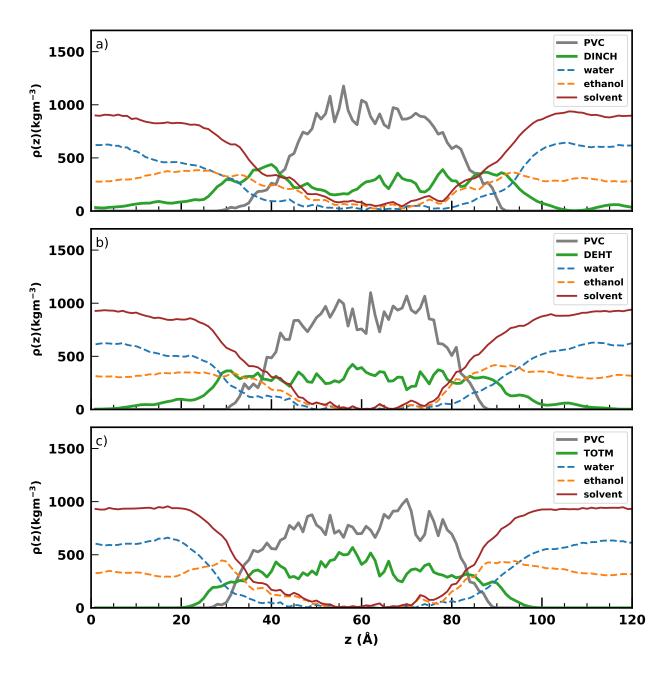


Figure 3: Density profiles of PVC chains, plasticizer, water and ethanol molecules in a 50/50 ethanol/water (v/v) solution along the direction normal to the surface over the last nanosecond (499.500) ns of the simulation; a) DINCH; b) DEHT and c) TOTM.

Table 4: Free energy ( $\Delta F_{min}$ ) values in kJ mol<sup>-1</sup> obtained from the minimum of the PMF curves calculated in different environments (vacuum, water, ethanol-water and ethanol). The difference between the free energies calculated in the solution and in vacuum ( $\Delta F_{min}^{solution} - \Delta F_{min}^{vacuum}$ ) is shown in brackets. The standard deviations [26] are estimated to be within 3 kJ mol<sup>-1</sup>.

	$\Delta F_{\min} (\Delta F_{\min}^{\text{solution}} - \Delta F_{\min}^{\text{vacuum}})$				
	vacuum water ethanol-water ethano				
DINCH	-129	-60 (69)	-24 (105)	-3 (126)	
DEHT	-128	-52 (76)	-27 (101)	-1 (127)	
TOTM	-131	-82 (49)	-42 (89)	-5 (126)	

Table 5: Desolvation free energy contributions ( $\Delta F_{\rm des}$ ) (kJ mol<sup>-1</sup>) of the plasticizers calculated in water and ethanolic solutions. The standard deviations are estimated to be within 2 kJ mol<sup>-1</sup>.

		$\Delta F_{ m des}$	
	water	ethanol-water	ethanol
DINCH	70	100	104
DEHT	66	95	98
TOTM	88	128	118

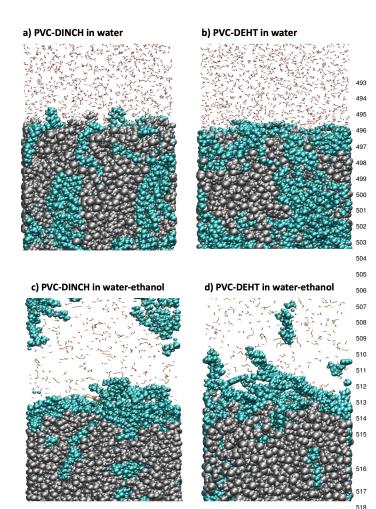


Figure 4: Typical configurations of plasticized PVC surfaces in <sup>519</sup> a) and b) water and in c) and d) ethanol-water solutions at the end of simulations of 500 ns with DINCH and DEHT plasticizer molecules. The plasticizer molecules are coloured in cyan and the PVC atoms in grey.

the plasticizer leaching allows an energetic gain between -44 and -33 kJ mol<sup>-1</sup> in water and between -59 and -49 kJ mol<sup>-1</sup> in ethanol. In the water-ethanol mixture, the gain ranges from -64 to -47 kJ mol<sup>-1</sup>.

We focus now on the adsorption of solvent molecules which replace the plasticizer on the PVC surface. To calculate this contribution, we rely on Eq.1. Indeed, the replacement at the PVC surface of the plasticizers by solvent molecules, leading therefore to the adsorption of these solvent molecules, is thermodynamically favorable as it yields a free energy gain (calculated here through  $\Delta F_{\rm ads-solvent}$  by Eq.1) between -43 and -5 kJ mol $^{-1}$  in water and between -78 and -67 kJ mol $^{-1}$  in ethanol. In the ethanol-water solution, the free energy gain is within a range of -55 to -25 kJ mol $^{-1}$ .

To summarize, the calculation of different energy contributions establishes that the migration of plasticizers in ethanolic solutions is energetically favored due to favorable free energy contributions coming from both the solvation of plasticizers by the ethanol molecules during the migration process and the adsorption of the solvent molecules which replace the plasticizer on the PVC surface. These free energy contributions support the conclusions drawn from the analysis of density profiles, namely that migration is favoured in ethanolic solutions.

#### 3.2. Drug adsorption

The question now is how the adsorption of the drug is modified by the nature of the solution and the presence of released plasticizers in the solution. We used a previously published HPLC method [27] to detect any loss by sorption of diazepam with different plasticized-PVC surfaces in water and ethanolwater solutions. Figure 7 shows the evolution over time (in minutes) of the concentration of diazepam during static contact with different plasticized-PVC tubings in both solutions. Firstly, it can be clearly seen that the loss of diazepam is radically different in water or ethanol-water solutions. Indeed, a significant loss by sorption in water with a remaining concentration of about 5% of the initial concentration in solution after 400 min of contact was noted. The amount of adsorbed drug when in solution in water was slightly less with the TOTM tubings (by about 2%), but we did not observe any significant difference between DINCH and DEHT plasticizers. Secondly, in ethanol-water solutions, the loss by sorption was attenuated. Indeed, after 400 min, in the ethanol-water solution, a fraction of

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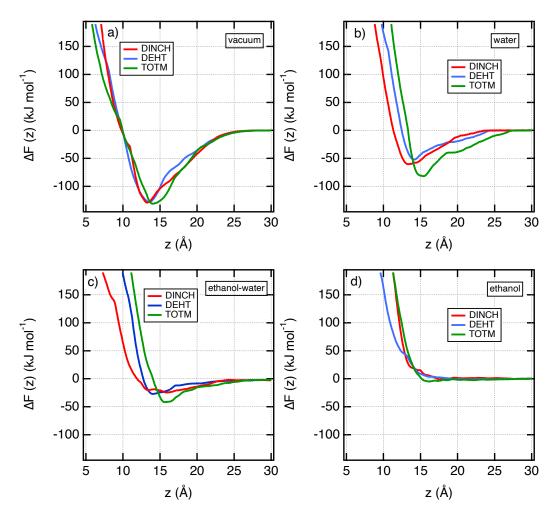


Figure 5: Free energy profiles  $\Delta F(z)$  along the z-direction for the adsorption process from solution to the surface of pure PVC for different plasticizers in different environments a) vacuum, b) water, c) 50/50 ethanol-water solution and d) ethanol.

the drug concentration ranging from 32 % to 17 % was adsorbed<sub>556</sub> on the PVC compared to 95 % in water. This experimental data<sub>557</sub> can be interpreted in the light of the analysis of the free en-<sub>558</sub> ergy profiles shown in Figure 8. All plasticized PVC surfaces<sub>559</sub> are modeled with the same mass fraction as PVC TOTM (37%)<sub>560</sub> tubings used in the experimental part.

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Actually, the free energy profiles correspond to the process of sez adsorption on the plasticized-PVC surface in water and ethanol-563 water solutions. From these curves, we can extract the free en-564 ergy minimum and calculate a free energy of adsorption which565 is in fact a little different from the minimum of the free energy566 curve since it considers an exponential average over the states567 populating the region around the minimum. The free energy568 of adsorption is calculated using Eq. S20 of the Supplemen-569 tary Information and is given in Table 6. In ethanol-water so-570 lutions, the free energy profiles showed minima around a value571 close to -23 kJ mol<sup>-1</sup> whereas the corresponding free energies572 of adsorption were of about -18 kJ mol<sup>-1</sup>. These low values of573 free energy of adsorption (already reported in previous studies574 [26, 27, 28]) seem to indicate that no significant drug loss by575 sorption can be observed when the free energy minimum is less576

negative than -30 kJ mol<sup>-1</sup>. Indeed, these negative values of free energy confirm that the adsorption is thermodynamically possible (and therefore can possibly happen experimentally) but that the drug-PVC interaction is not strong enough to induce a drastic loss by sorption. In ethanol-water, the experiments show a medium loss of diazepam, meaning that molecular simulations can show intermediate adsorption phenomenon for  $\Delta F_{\min}$ ranging from -20 to -30 kJ mol<sup>-1</sup>. This is not the case for the adsorption of diazepam in water for which the calculated free energy minima range from -65 to -38 kJ mol<sup>-1</sup> and experiments show a drastic drug loss by sorption. This means that the nature of the solution plays a major role in determining the strength of the adsorption. We recall that the free energy minimum in vacuum [27] is equal to -108, -152 and -91 kJ mol<sup>-1</sup> for the adsorption of diazepam in plasticized-PVC with DINCH, DEHT and TOTM plasticizers. The free energy of desolvation of diazepam in water and ethanol is given in the Supplementary Information. The free energy curves of desolvation are shown in Figure S9 (Supplementary Information). We observed that the free energy of desolvation is higher in ethanol than in water. The fact that the adsorption is less favored in ethanol-water can be in part

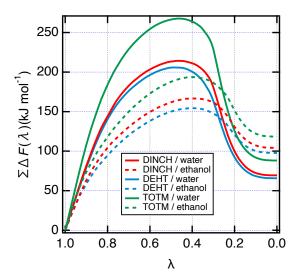


Figure 6: Cumulative  $\Delta F(\lambda)$  free energy values as a function of the coupling parameter  $\lambda$  calculated in the desolvation process of the three plasticizers both in water and in ethanol solutions. The curves calculated in ethanol-water solutions are not shown for clarity. We used the Finite Difference Thermodynamic Integration method to calculate  $\Delta F(\lambda)$ . See Section 3 of the Supplementary Information.

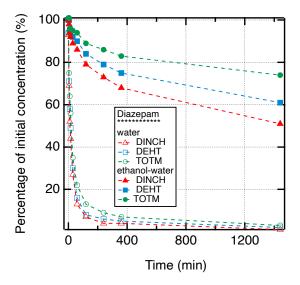


Figure 7: Evolution of diazepam concentrations in static con-590 tact with plasticized PVC tubings compared to initial concen-591 trations. The error bars on the concentrations values are less 592 than 1% and cannot be read with the scale used.

explained by a more unfavorable desolvation free energy con-596 tribution in the ethanol-water media. We show here that it is597 possible to explain the adsorption of diazepam by examining598 the interactions between all the species at the molecular scale599 and that the process of adsorption requires the investigation of 600 different energy contributions. This discovery could have pro-601 found implications as in the future it might be possible to pre-602

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dict drug interactions with various surfaces and materials, thus reducing the need for long, costly and sometimes complex experiments.

Table 6: Free energy minimum and free energy of adsorption values (kJ mol<sup>-1</sup>) calculated from the PMF curves corresponding to the adsorption of diazepam on plasticized-PVC surfaces, in water and ethanol-water solutions. The free energy of adsorption are calculated by using Eq. S7 of the Supplementary Information.

	$\Delta F_{ m min}$	$\Delta F_{ m ads}^o$	$\Delta F_{\min}$	$\Delta F_{\rm ads}^o$
	water			
DINCH	-38	-34	-24	-18
DEHT	-65	-58	-23	-18
TOTM	-41	-35	-23	-18

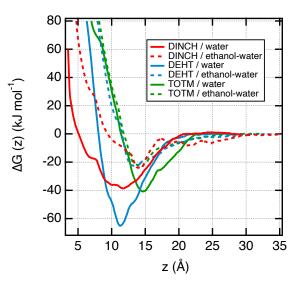


Figure 8: Free energy profiles of the interaction of diazepam onto different plasticized PVC surfaces calculated along the *z*-coordinate in water and in ethanol-water solutions.

Whereas the strength of the adsorption can be explained by means of the calculation of the free energy profile, the structural description of the adsorption region can be carried out by standard molecular dynamics simulations. The density profiles obtained normal to the surface are given in Figure 9. For the interaction of the diazepam with the DINCH-PVC surface in ethanol-water, we observe for example in Figure 8a that the interface region is populated by the drug diazepam, water, ethanol, DINCH molecules and PVC chains. It is clear that the drug is more solvated by ethanol than by water in line with the values of free energy of desolvation of diazepam (see Table 5).

In the case of water (see Figure 9b), the adsorption region is more structured and the *z*-position of the drug coincides with that of the Gibbs dividing surface of water. From a structural point of view, the adsorption in water differs significantly from that in ethanol-water where ethanol molecules penetrate the in-

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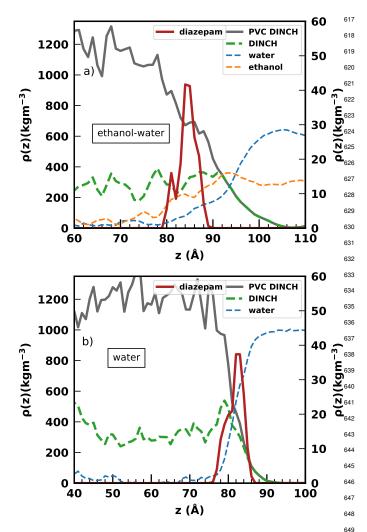


Figure 9: Density profiles of PVC DINCH, DINCH, water, 650 ethanol and diazepam in the interfacial region in a) ethanol-651 water and b) water solutions calculated during the course of the 652 simulation over 500 ns The right axis shows the density of di-653 azepam.

terfacial zone. Nevertheless, the fact that diazepam adsorption<sub>656</sub> is energetically stronger in water does not induce specific structural properties noticeable on the density profiles of Figure 9. <sup>657</sup>

#### 4. Conclusions

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The first objective of our study was to verify whether it was662 possible to observe plasticizer migration such as what is exper-663 imentally known to happen for plasticized medical infusion de-664 vices. In order to detect plasticizer leaching, we used an HPLC665 assay to measure the concentration of the plasticizers released666 into different solutions. For ethanol solutions, we observed the migration of the plasticizers with leaching ratios ranging from 0.006 to 0.12 for TOTM, DEHT and DINCH molecules, respectively. We completed these experiments by doing molecu-668 lar simulations over a relatively long time scale covering half a669

microsecond. The analysis of these molecular dynamics simulations confirmed the migrations of plasticizers in ethanol and ethanol-water solutions and no detectable leaching in water which suggests the driving role of molecular interactions in this process. The trends observed in the experimental leaching ratios are also well correlated by molecular simulations. The use of statistical thermodynamics enabled us to calculate potentials of mean force along the direction normal to the non-plasticized PVC surface of the three plasticizers in water, ethanol-water and ethanol solutions. The comparison of the different PMF curves in the three environments and the analysis of the desolvation curves of plasticizers in water and ethanol led us to rationalize the migration of plasticizers from an energy point of view, thus showing the predominant role played by the molecular interactions between the plasticizer and the solvent in the migration process.

We extended the coupling between experiments and simulations to the adsorption of a drug (diazepam) on plasticized-PVC surfaces. We clearly demonstrated that the loss of drug by sorption is significantly reduced in ethanol-water compared to water solutions. Molecular simulations supported this observation by calculating the potential of mean force as a function of the separation distance between the drug and PVC surface. The simulated free energy of adsorption confirmed that the adsorption of diazepam in ethanolic solutions is weaker than in water. This is due to the fact that the drug is much more solvated in ethanolic solution leading to a unfavorable free energy contribution of desolvation upon adsorption and making as a consequence the adsorption less favorable. We found that the ethanol-water mixture is a good solvent for plasticizers and diazepam. It prevent excessive adsorption of the drug but can lead to the migration of plasticizers such as DINCH and DEHT.

The combination of simulation and experiments has proved to be a very effective approach for the investigation of plasticizer leaching and the energetic characterization of drug adsorption. The maturity of this approach should enable us in the near future to design/select the material and plasticizer to limit the migration of plasticizers and the adsorption of the drugs onto medical devices.

#### Credit author statement

Meriem Sahnoune Millot: Investigation, Conceptualization, Writing-Original Draft preparation, Writing - Reviewing and Editing Julien Devémy: Methodology, Software Jérémy Pinguet: Investigation Philip Chennell: Investigation, Conceptualization, Writing - Reviewing and Editing Alain Dequidt: Methodology, Conceptualization, Writing - Reviewing and Editing Valérie Sautou: Supervision, Conceptualization, Project administration, Writing - Reviewing and Editing Patrice Malfreyt: Supervision, Conceptualization, Writing-Original Draft preparation, Writing - Reviewing and Editing

#### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have ap-

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peared to influence the work reported in this paper.

#### Data availability

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Data will be made available on request.

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#### Supplementary materials

Exactitude profiles. Description of the systems in terms of number of plasticizer molecules, PVC chains and density. Den-756 sity profiles of the individual plasticizer molecules over differ-757 ent time periods. Theory and operational expressions for the 758 calculation of the free energy contributions. Free energy deso-760 lation curves of diazepam in water and ethanol solutions. The-761 ory and expressions for the calculation of the free energy of 762 adsorption.

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