

Survey on p-adic meromorphic functions sharing 5 small ones on a work by Ta Thi Hoai An and Nguyen Viet Phuong, with some additional properties

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Survey on p-adic meromorphic functions sharing 5 small ones on a work by Ta Thi Hoai An and Nguyen Viet Phuong, with some additional properties

Alain Escassut

Abstract

Let \mathbb{K} be a complete ultrametric algebraically closed field of characteristic 0, let D be the open disk $\{x \in \mathbb{K} | x| < R\}$ and let $E = \mathbb{K} \setminus D$. Let f, g be two meromorphic functions in \mathbb{K} (resp. two unbounded meromorphic functions in D, resp. two meromorphic functions in E) having infinitely many zeros or poles in E) sharing 5 small meromorphic functions in the same set (ignoring multiplicity). Then f = g. Moreover, if f and g have finitely many poles in \mathbb{K} (resp. in D, resp. in E), and share 3 small functions, (ignoring multiplicity), then f = g. We define archi-branched small functions and show that a meromorphic function f (in \mathbb{K}, D , or E) can't have 5 archi-branched small functions.

1 Introduction and definitions.

Small functions with respect to a meromorphic functions are well known in the general theory of complex functions. Particularly, one knows the Nevanlinna theorem on 3 small functions and its generalization due to K. Yamanoi which shows that two meromorphic functions in \mathbb{C} sharing 5 small functions, ignoring multiplicity, are equal.

Now, we denote by \mathbb{K} a complete ultrametric algebraically closed field of characteristic 0. Let $a \in \mathbb{K}$ and take r > 0. We denote by $d(a, r^-)$ the open-disk $\{x \in \mathbb{K} \mid |x - a| < r\}$, by d(a, r) the closed-disk $\{x \in \mathbb{K} \mid |x - a| \leq r\}$ and by E the set $\mathbb{K} \setminus d(0, R^-)$. Considering two meromorphic functions f, g in \mathbb{K} or in $d(a, R^-)$, or in E, sharing a few small meromorphic functions, we can ask how many small functions are necessary to be able to prove that f and g are identic. A first work was done in [7], showing that if f and g share 7 small meromorphic functions, then f = g. Obtaining a better result is not obvious since Yamanoi's Theorem [14] has no equivalent for ultrametric functions. However, in [13], Ta Thi Hoai An and Nguyen Viet Phuong have found a method to show that 5 small functions are enough to prove that f = g. This is the work that we mean to develop with some more explanations. The main difficulty in [13] appears in Lemma 3, that we translate here as Lemma 5.1 in a more simple context and we try to explain the proof with more details.

Now, we denote by D the set $d(0, R^-)$ and by E the set $\mathbb{K} \setminus d(0, R^-) = \{x \in \mathbb{K} \mid |x - a| \ge R\}$. We denote by $\mathcal{A}(\mathbb{K})$ (resp. $\mathcal{A}(D)$), the algebra of analytic functions in \mathbb{K} (resp. in D the \mathbb{K} -

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algebra of power series converging in D). Next, we denote by $\mathcal{A}(E)$ the K-algebra of Laurent series converging in E [8].

Next, we denote by $\mathcal{M}(\mathbb{K})$ (resp. $\mathcal{M}(D)$) the field of fractions of $\mathcal{A}(\mathbb{K})$ (resp. $\mathcal{A}(D)$). We also denote by $\mathcal{A}_{\mu}(D)$ the K-algebra of unbounded analytic functions in D and by $\mathcal{M}_{\mu}(D)$ the set of meromorphic functions in D that are not a quotient of two bounded analytic functions in D. Finally, we denote by $\mathcal{M}(E)$ the field of fractions of $\mathcal{A}(E)$.

Throughout the next paragraphs, we will denote by I the interval $[0, +\infty]$, by J an interval of the form [0, R] with t > 0 and by G the interval $[R, +\infty]$.

We have to introduce the counting function of zeros and poles of f, counting or not multiplicity. Here we will choose a presentation that avoids assuming that all functions we consider admit no zero and no pole at the origin.

Definitions: Let $f \in \mathcal{M}(d(0, r))$ and for every $a \in d(0, r)$, let $\theta_a(f)$ be the multiplicity order of a if a is a zero of f and let $\theta_a(f) = 0$, else.

We denote by Z(r, f) the counting function of zeros of f in d(0, r) in the following way.

Let (a_n) , $1 \le n \le \sigma(r)$ be the finite sequence of zeros of f such that $0 < |a_n| \le r$, of respective order s_n .

We set $Z(r, f) = \theta_0(f) \log r + \sum_{n=1}^{\sigma(r)} s_n(\log r - \log |a_n|)$ and so, Z(r, f) is called the counting

function of zeros of f in d(0, r), counting multiplicity.

In order to define the counting function of zeros of f ignoring multiplicity, we put $\overline{\theta}_0(f) = 0$ if $\theta_0(f) = 0$ and $\overline{\theta_0}(f) = 1$ if $\theta_0(f) \ge 1$. Now, we denote by $\overline{Z}(r, f)$ the counting function of zeros of f ignoring multiplicity: $\overline{Z}(r, f) = \overline{\theta_0}(f) \log r + \sum_{n=1}^{\sigma(r)} (\log r - \log |a_n|)$ and so, $\overline{Z}(r, f)$ is called the counting function of zeros of f in d(0,r) ignoring multiplicity.

In order to define the counting function of poles of f, if 0 is a pole of f, we denote by $\gamma_0(f)$ the order of multiplicity of the pole and we put $\gamma_0(f) = 0$, else. Now, considering the finite sequence $(b_n), 1 \le n \le \tau(r)$ of poles of f such that $0 < |b_n| \le r$, with respective multiplicity order t_n , we

put $N(r, f) = \gamma_0(f) \log r + \sum_{n=1}^{\tau(r)} t_n(\log r - \log |b_n|)$ and then N(r, f) is called the counting function of the poles of f, counting multiplicity.

Next, in order to define the counting function of poles of f ignoring multiplicity, we put $\overline{\gamma_0}(f) =$

Next, in order to define the counting function of poice of f -sector f - $\tau(r)$ 1 if $\gamma_0(f) > 0$ and $\overline{\gamma_0}(f) = 0$ else and we set $\overline{N}(r, f) = \overline{\gamma_0}(f) \log r + \sum_{n=1}^{\tau(r)} (\log r - \log |b_n|)$ and then

 $\overline{N}(r, f)$ is called the counting function of the poles of f, ignoring multiplicity.

Now, we can define the characteristic function of f as $T(r, f) = \max(Z(r, f), N(r, f))$. Thus this definition applies to functions $f \in \mathcal{M}(d(0, \mathbb{R}^{-}))$ as well as functions $f \in \mathcal{M}(\mathbb{K})$.

Remark: If we change the origin, the functions Z, N, T are not changed, up to an additive constant.

Consider now a function $f \in \mathcal{A}(E)$. By the definition, the restriction of f to anny annulus $R \leq |x| \leq S$ is an annalytic element in that annulus and hence has finitely many zeros in that

annulus [4], [5], [8], [10]. Similarly, a meromorphic function $f \in \mathcal{M}(E)$ has finitely many zeros and finitely many poles in the annulus $R \leq |x| \leq S$. That is summarized in Proposition 1.1:

Proposition 1.1 [1] [4], [5], 10], [11] Let $f \in \mathcal{M}(E)$. If f has infinitely many zeros in E (resp. infinitely many poles in E), the set of zeros (resp. the set of poles) is a sequence $(\alpha_n)_{n \in \mathbb{N}}$ such that $\lim_{n \to +\infty} |\alpha_n| = +\infty$. If f has no zero in E, then it is of the form $\sum_{-\infty}^{+\infty} a_n x^n$ with $a_n = 0 \ \forall n > q$ and

 $|a_q|r^q > |a_n|r^n \ \forall n \in \mathbb{Z}, \ n \neq q, \forall r \ge R.$

Corollary 1.1. [1], [4], [5], [11] : Let $f \in \mathcal{M}(E)$ have no zero and no pole in E. There exists a unique integer $q \in \mathbb{Z}$ such that $x^{-q}f(x)$ has a limit $b \in \mathbb{K}^*$.

Definitions: Let $f \in \mathcal{M}(E)$ have no zero and no pole in E. The integer $q \in \mathbb{Z}$ such that $x^{-q}f(x)$ has a limit $b \in \mathbb{K}^*$ is called the Motzkin index of f and f is called a Motzkin factor if $\lim_{|x|\to+\infty} x^{-q}f(x) = 1$ [1], [5], [11].

Proposition 1.2 [1], [4], [5], [8], [11] : Let $f \in \mathcal{M}(E)$. Then f factorizes in a unique way in the form $f^S f^0$ where f^S is a Motzkin factor and $f^0 \in \mathcal{M}(\mathbb{K})$ has no zero in D.

Notations: We will denote by $\mathcal{A}^{c}(E)$ the set of $f \in \mathcal{A}(E)$ having infinitely many zeros in E. Similarly, we will denote by $\mathcal{M}^{c}(E)$ the set of functions $f \in \mathcal{M}(E)$ which have infinitely many zeros or poles in E.

Thus we can define counting functions for zeros and poles in that way: let $f \in \mathcal{M}(E)$ and, for every r > R, let $a_1, ..., a_{\sigma(r)}$ be the sequence of zeros of f in the annulus $R \leq |x| \leq r$, with $|a_j| \leq |a_{j+1}|$, $1 \leq j \leq \sigma(r)$, and let s_j be the order of a_j . Then we put $Z_R(r, f) =$ $\sum_{j=1}^{\sigma(r)} s_j(\log(r) - \log(|a_j|))$ and $Z_R(r, f)$ is called the counting function of zeros for f in $\mathcal{M}(E)$, counting multiplicity. And we define $\overline{Z}_R(r, f) = \sum_{j=1}^{\sigma(r)} (\log(r) - \log(|a_j|))$ which is called the counting function of zeros for f in $\mathcal{M}(E)$, ignoring multiplicity.

Similarly, let $b_1, ..., b_{\tau(r)}$ be the sequence of poles of f in the annulus $R \leq |x| \leq r$, with $|b_j| \leq |b_{j+1}|$, $1 \leq j \leq \tau(r)$ and let t_j be the order of b_j . Then we put $N_R(r, f) = \sum_{j=1}^{\tau(r)} t_j(\log(r) - \log(|b_j|))$ which is called the counting function of poles for f in $\mathcal{M}(E)$, counting multiplicity and we put $\overline{N}_R(r, f) = \sum_{j=1}^{\tau(r)} (\log(r) - \log(|b_j|))$ which is called the counting function of poles for f in $\mathcal{M}(E)$, ignoring multiplicity.

Now, we put $T_R(r, f) = \max(Z_R(r, f), N_R(r, f))$ and the function $T_R(r, f)$ is called the characteristic function of f in $\mathcal{M}(E)$.

2 Small functions

Recall that given three functions ϕ , ψ , ζ defined in an interval $J =]R, +\infty[$ (resp. J =]a, R[), with values in $[0, +\infty[$, we shall write $\phi(r) \leq \psi(r) + O(\zeta(r))$ if there exists a constant $b \in \mathbb{R}$ such that $\phi(r) \leq \psi(r) + b\zeta(r)$. We shall write $\phi(r) = \psi(r) + O(\zeta(r))$ if $|\psi(r) - \phi(r)|$ is bounded by a function of the form $b\zeta(r)$.

Similarly, we shall write $\phi(r) \leq \psi(r) + o(\zeta(r))$ if there exists a function h from $J =]a, +\infty[$ (resp. from J =]a, R[) to \mathbb{R} such that $\lim_{r \to +\infty} \frac{h(r)}{\zeta(r)} = 0$ (resp. $\lim_{r \to R} \frac{h(r)}{\zeta(r)} = 0$) and such that $\phi(r) \leq 1$

 $\psi(r) + h(r)$. And we shall write $\phi(r) = \psi(r) + o(\zeta(r))$ if there exists a function h from $J =]a, +\infty[$ (resp. from J =]a, R[) to \mathbb{R} such that $\lim_{r \to +\infty} \frac{h(r)}{\zeta(r)} = 0$ (resp. $\lim_{r \to R} \frac{h(r)}{\zeta(r)} = 0$) and such that $\phi(r) = \psi(r) + h(r)$.

Definitions and notations: For each $f \in \mathcal{M}(\mathbb{K})$ (resp. $f \in \mathcal{M}(D)$, resp. $f \in \mathcal{M}(E)$) we denote by $\mathcal{M}_f(\mathbb{K})$, (resp. $\mathcal{M}_f(D)$, resp. $\mathcal{M}_f(E)$) then the set of functions $h \in \mathcal{M}(\mathbb{K})$, (resp. $h \in \mathcal{M}(D)$, resp. $\mathcal{M}(E)$) such that T(r, h) = o(T(r, f)) when r tends to $+\infty$ (resp. T(r, h) = o(T(r, f)) when r tends to R, resp. $T_R(r, h) = o(T_R(r, f))$ when r tends to $+\infty$). Now, if a function Φ from I to \mathbb{R} (resp. from]0, R[to \mathbb{R} , resp. from $[R, +\infty[$ to $\mathbb{R})$ satisfies $\Phi(r) \leq o(T(r, f))$, or $\phi(r) = o(T(r, f))$, we write $\Phi(r) \leq S(r, f)$, or $\Phi(r) = S(r, f)$ respectively.

Similarly, if $f \in \mathcal{A}(\mathbb{K})$ (resp. $f \in \mathcal{A}(D)$, resp. $f \in \mathcal{A}(E)$) we shall denote by $\mathcal{A}_f(\mathbb{K})$ (resp. $\mathcal{A}_f(D)$, resp. $\mathcal{A}_f(E)$) the set $\mathcal{M}_f(\mathbb{K}) \cap \mathcal{A}(\mathbb{K})$, (resp. $\mathcal{M}_f(D) \cap \mathcal{A}(D)$, resp. $\mathcal{M}_f(E) \cap \mathcal{A}(E)$).

The elements of $\mathcal{M}_f(\mathbb{K})$ (resp. $\mathcal{M}_f(D)$, resp. $\mathcal{M}_f(E)$) are called *small meromorphic functions* with respect to f, or small functions in brief. Similarly, if $f \in \mathcal{A}(\mathbb{K})$ (resp. $f \in \mathcal{A}(D)$, resp. $f \in \mathcal{A}(E)$) the elements of $\mathcal{A}_f(\mathbb{K})$ (resp. $\mathcal{A}_f(D)$, resp. $\mathcal{A}_f(E)$) are called *small analytic functions* with respect to f or small functions in brief.

In [7], the following theorem is proved:

Theorem A: Let $f \in \mathcal{M}(\mathbb{K})$, (resp. let $f \in \mathcal{M}_u(D)$) be nonconstant and let $h_1, ..., h_q$ $(q \ge 5)$ be q distinct small functions with respect to f. We have

$$\frac{q}{3}T(r,f) \le \sum_{i=1}^{q} \overline{Z}(r,f-h_i) + S(r,f).$$

Moreover, if f has finitely many poles, and if $h_1, ..., h_q$ $(q \ge 3)$ are q distinct small functions with respect to f. We have

$$\frac{q}{2}T(r,f) \le \sum_{i=1}^{q} \overline{Z}(r,f-h_i) + S(r,f).$$

From [13], Theorem A is improved in the following way:

Theorem 2.1: Let $f \in \mathcal{M}(\mathbb{K})$, (resp. let $f \in \mathcal{M}_u(D)$, resp. let $f \in \mathcal{M}^c(E)$) be nonconstant and let $h_1, ..., h_q (q \ge 5)$ be q distinct small functions with respect to f. We have

$$\frac{2q}{5}T(r,f) \le \sum_{i=1}^{q} \overline{Z}(r,f-h_i) + S(r,f)$$

(resp.

$$\frac{2q}{5}T(r,f) \le \sum_{s=1}^{5} \overline{Z}(r,f-h_{i_s}) + S(r,f),$$

resp.

$$\frac{2q}{5}T_R(r,f) \le \sum_{s=1}^5 \overline{Z}_R(r,f-h_{i_s}) + S(r,f)).$$

Definition: Let $f, g \in \mathcal{M}(\mathbb{K})$ (resp. $f, g \in \mathcal{M}_u(D)$), resp. $f, g \in \mathcal{M}^c(E)$). Then f and g will be said to share a small function $w \in \mathcal{M}(\mathbb{K})$ (resp. $w \in \mathcal{M}(D)$, resp. $w \in \mathcal{M}^c(E)$), ignoring multiplicity, if f(x) = w(x) implies g(x) = w(x) and if g(x) = w(x) implies f(x) = w(x).

In [7] the following theorem is proved:

Theorem B: Let $f, g \in \mathcal{M}(\mathbb{K})$ be transcendental (resp. $f, g \in \mathcal{M}_u(D)$) be distinct and share 7 distinct small functions (other than the constant ∞) ignoring multiplicity, $w_j \in \mathcal{M}_f(\mathbb{K}) \cap \mathcal{M}_g(\mathbb{K})$ (j = 1, ..., 7) (resp. $w_j \in \mathcal{M}_f(D) \cap \mathcal{M}_g(D)$ (j = 1, ..., 7),). Then f = g

Moreover, if f and g have finitely many poles and share 3 small functions, then f = g.

Definition: A small function $h \in \mathcal{M}(\mathbb{K})$ (resp. $h \in \mathcal{M}_u(D)$, resp. $h \in \mathcal{M}^c(E)$) will be said to be archi-branched with respect to a meromorphic function $f \in \mathcal{M}(\mathbb{K})$ (resp. $f \in \mathcal{M}_u(D)$, resp. $f \in \mathcal{M}^c(E)$) if all zeros of f - h except finitely many are of order at least 3.

Theorem 2.2: Let $f \in \mathcal{M}(\mathbb{K})$ be transcendental (resp. $f \in \mathcal{M}_u(D)$, resp. $f \in \mathcal{M}^c(E)$). Then f admits at most 4 archi-branched small functions. Moreover, if f has finitely many poles, then f admits at most one archi-branched small function.

Remark: Thanks to Yamanoy's theorem [14], it is easily seen that a meromorphic function f in \mathbb{C} can't admit more than 4 totally branched small functions, i.e. small function h such that all zeros of f - h has order at least 2, except finitely many [2]. Similarly, a meromorphic function f in \mathbb{C} can't admit more than 3 archi-branched small functions.

From [13], Theorem B is improved in the following way:

Theorem 2.3: Let $f, g \in \mathcal{M}(\mathbb{K})$ be transcendental (resp. $f, g \in \mathcal{M}_u(D)$, resp. $f, g \in \mathcal{M}^c(E)$) be distinct and share 5 distinct small meromorphic functions ignoring multiplicity, $w_j \in \mathcal{M}_f(\mathbb{K}) \cap \mathcal{M}_g(\mathbb{K})$ (j = 1, ..., 5) (resp. $w_j \in \mathcal{M}_f(D) \cap \mathcal{M}_g(D)$ (j = 1, ..., 5), resp. $w_j \in \mathcal{M}_f(E) \cap \mathcal{M}_g(E)$ (j = 1, ..., 5),). Then f = g.

Moreover, if f and g have finitely many poles in \mathbb{K} (resp. in D, resp in E) and share 3 small meromorphic functions, ignoring multiplicity, then f = g.

Remarks: 1) When we consider meromorphic functions on \mathbb{C} , thanks to the main Nevanlinna Theorem on *n* small functions due to Yamanoi [14], it is easily seen that two meromorphic functions sharing 5 small ones, ignoring multiplicity, are equal. The absence of such a theorem with p-adic meromorphic functions makes much more difficult the study.

2) If a meromorphic function $f \in \mathcal{M}^{c}(E)$ has finitely many poles, then it must have infinitely many zeros.

3 Nevanlinna Theorems

The Nevanlinna Theory is well known in \mathbb{C} [12]. It was constructed in a field like \mathbb{K} in the eighties and next, in a disk and out of a hole [3], [9], [5], [6], [8]. We have to recall the two main Theorems, applied to each domain of definition of meromorphic functions.

Theorem 3.1 (First Main Theorem in a disk and in \mathbb{K}) [3], [5], [9]: Let $f, g \in \mathcal{M}(\mathbb{K})$ (resp. let $f, g \in \mathcal{M}(D)$). Then T(r, f+b) = T(r, f) + O(1). Let $P(X) \in \mathbb{K}[X]$. Then $T(r, P(f)) = \deg(P)T(r, f) + O(1)$ and $T(r, f'P(f)) \ge T(r, P(f))$.

Suppose now $f, g \in \mathcal{A}(\mathbb{K})$ (resp. $f, g \in \mathcal{A}(D)$). Then Z(r, fg) = Z(r, f) + Z(r, g), T(r, f) = Z(r, f), T(r, fg) = T(r, f) + T(r, g) + O(1) and $T(r, f + g) \leq \max(T(r, f), T(r, g))$. Moreover, if $\lim_{r \to +\infty} T(r, f) - T(r, g) = +\infty$ then T(r, f + g) = T(r, f) when r is big enough.

Theorem 3.2 (First Main Theorem out of a hole): [6] Let $f, g \in \mathcal{M}(E)$. Then for every $b \in \mathbb{K}$, we have $T_R(r, f+b) = T_R(r, f) + O(\log(r))$, $(r \in I) T_R(r, f.g) \leq T_R(r, f) + T_R(r, g) + O(\log(r))$ $(r \in I)$, $T_R(r, \frac{1}{f}) = T_R(r, f)$, $T_R(r, f+g) \leq T_R(r, f) + T_R(r, g) + O(\log(r))$ $(r \geq R)$ and $T_R(r, f^n) = nT_R(r, f)$. Given a polynomial $P(X) \in \mathbb{K}[X]$ of degree q, then $T_R(r, P \circ f) = qT_R(r, f) + O(\log(r))$.

Moreover, if both f and g belong to $\mathcal{A}(E)$, then

$$T_R(r, f+g) \le \max(T_R(r, f), T_R(r, g)) + O(\log(r)) \ (r \ge R)$$

and $T_R(r, fg) = T_R(r, f) + T_R(r, g)$, $(r \ge R)$. Particularly, if $f \in \mathcal{A}(E)$, then $T_R(r, f + b) = T_R(r, f) + O(1)$ $(r \ge R)$.

Theorem 3.3 (Second Main Theorem in \mathbb{K} and in a disk) [3], [5], [9]: Let $\alpha_1, ..., \alpha_q \in \mathbb{K}$ be distinct, with $q \ge 2$, let $S = \{\alpha_1, ..., \alpha_q\}$ and let $f \in \mathcal{M}(\mathbb{K})$ (resp. $f \in \mathcal{M}(d(0, R^-))$). Then $\sum_{j=1}^q \left(Z(r, f - \alpha_j) - \overline{Z}(r, f - \alpha_j) \right) \le T(r, f) + \overline{N}(r, f) - \log r + O(1) \quad \forall r > 0 \quad (resp. \ \forall r < R).$

Theorem 3.4 (Second Main Theorem out of a hole) [6], [8] : Let $f \in \mathcal{M}(E)$ and let $a_1, ..., a_q \in \mathbb{K}$ be distinct with $q \ge 2$. Then $(q-1)T_R(r, f) \le \sum_{j=1}^q Z_R(r, f-a_j) + O(\log(r))$ $(r \ge R)$.

Theorem 3.5 (Second Main Theorem on three small functions) [6], [8], [9] : Let $f \in \mathcal{M}(\mathbb{K})$, resp. $f \in \mathcal{M}_u(D)$, resp $f \in \mathcal{M}^c(E)$ and let h_1 , h_2 , $h_3 \in \mathcal{M}(\mathbb{K})$, resp. h_1 , h_2 , $h_3 \in \mathcal{M}(D)$, resp. h_1 , h_2 , $h_3 \in \mathcal{M}(E)$ be small functions with respect to f. Then

$$T(r,f) \leq \sum_{j=1}^{3} \overline{Z}(r,f-h_j) + o(T(r,f))$$

(resp. $T(r,f) \leq \sum_{j=1}^{3} \overline{Z}(r,f-h_j) + o(T(r,f)),$
resp. $T_R(r,f) \leq \sum_{j=1}^{3} \overline{Z}(r,f-h_j) + o(T(r,f))$).

Considering a small function h_3 whose zeros are the poles of f_1 and f_2 , we can derive Corollary 3.6:

Corollary 3.6: Let $f \in \mathcal{M}(\mathbb{K})$, resp. $f \in \mathcal{M}_u(D)$, resp $f \in \mathcal{M}^c(E)$) having finitely many poles and let $h_1, h_2 \in \mathcal{M}(\mathbb{K})$, resp. $h_1, h_2 \in \mathcal{M}(D)$, resp. $h_1, h_2 \in \mathcal{M}(E)$) be small functions with respect to f. Then

$$T(r,f) \leq \sum_{j=1}^{2} \overline{Z}(r,f-h_j) + o(T(r,f))$$

$$(resp. \ T(r,f) \leq \sum_{j=1}^{2} \overline{Z}(r,f-h_j) + o(T(r,f)),$$

$$resp. \ T_R(r,f) \leq \sum_{j=1}^{2} \overline{Z}(r,f-h_j) + o(T(r,f))).$$

Now we have to recall the function m(r, f) defined for a function $f \in \mathcal{M}(\mathbb{K})$ or $f \in \mathcal{M}(D)$ by m(r, f) = T(r, f) - N(r, f) and for a function $f \in \mathcal{M}(E)$ by $m_R(r, f) = T_R(r, f) - N_R(r, f)$. This function satisfies the Logarithmic derivative lemma [9]:

Lemma 3.7: Let $f \in \mathcal{M}(\mathbb{K})$ (resp. $f \in \mathcal{M}(d(0, \mathbb{R}^{-}))$). Then given $k \in \mathbb{N}^{*}$, $m(r, \frac{f^{k}}{f}) = S(r, f)$. Let $f \in \mathcal{M}(E)$. Then given $k \in \mathbb{N}^{*}$, $m_{\mathbb{R}}(r, \frac{f^{k}}{f}) = S(r, f)$.

4 Proofs of Theorems 2.1 and 2.2.

Notation: Let f be a meromorphic function in an annulus which is the set of $x \in \mathbb{K}$ such that R < |x| < S and let us fix $r \in]R, S[$. We know that |f(x)| admits a limit when |x| tends to r, but is not equal to r [4], [5]. This limit is usually denoted |f|(r).

Lemma 4.1: Let $f \in \mathcal{M}(\mathbb{K})$, (resp. let $f \in \mathcal{M}_u(D)$, resp. let $f \in \mathcal{M}^c(E)$) be nonconstant and let $h_1, ..., h_5$ be distinct small functions with respect to f. We have

$$2T(r,f) \le \sum_{i=1}^{5} \overline{Z}(r,f-h_i) + S(r,f).$$

Proof: We first suppose $f \in \mathcal{M}(\mathbb{K})$, or $f \in \mathcal{M}_u(D)$. Let $g = \frac{(f-h_2)(h_3-h_1)}{(f-h_1)(h_3-h_2)}$. Then it is easily seen that:

 $\overline{Z}(r,g) = \overline{Z}(r,f-h_2) + S(r,f),$ $\overline{N}(r,g) = \overline{Z}(r,f-h_1) + S(r,f),$ $\overline{Z}(r,g-1) = \overline{Z}(r,f-h_3) + S(r,f).$ Consequently, in order to prove Lemma 4.1, it is sufficient to prove the following inequality:

$$(4.1) 2T(r,f) \le \overline{N}(r,f) + \overline{Z}(r,f) + \overline{Z}(r,f-1) + \overline{Z}(r,f-h_4) + \overline{Z}(r,f-h_5) + S(r,f)$$

where $h_1 = \infty$, $h_2 = 0$, $h_3 = 1$ and h_4 and h_5 are two small functions with respect to f different from $0, 1, \infty$ and $h_4 \neq h_5$.

If one of the functions h_4 , h_5 is a constant, then (4.1) is immediate by the second main theorem for constants 3.3. Consequently, now we can assume that both h_4 , h_5 are nonconstant small functions.

Let

$$H = \det \begin{pmatrix} ff' & f' & f(f-1) \\ h_4 h'_4 & h'_4 & h_4(h_4-1) \\ h_5 h'_5 & h'_5 & h_5(h_5-1) \end{pmatrix}.$$

By a simple computation, we get:

$$(4.2) \quad H = f(f-1)h_4(h_4-1)h_5(h_5-1)\Big(\Big(\frac{(h'_4}{h_4} - \frac{h'_5}{h_5}\Big)\Big(\frac{f'}{f-1} - \frac{h'_5}{h_5-1}\Big) - \Big(\frac{h'_4}{h_4-1} - \frac{h'_5}{h_5-1}\Big)\Big(\frac{f'}{f} - \frac{h'_5}{h_5}\Big)\Big).$$

Suppose first that H is identically zero. Since f is not a constant and since h_4 , h_5 are not identically 0 or 1, it follows from the definition of H that

$$\Big(\frac{h_4'}{h_4} - \frac{h_5'}{h_5}\Big)\frac{f'}{f-1} - \Big(\frac{h_4'}{h_4-1} - \frac{h_5'}{h_5-1}\Big)\frac{f'}{f}$$

(4.3)
$$\equiv \left(\frac{h_4'}{h_4} - \frac{h_5'}{h_5}\right) \frac{fh_5'}{h_5 - 1} - \left(\frac{h_4'}{h_4 - 1} - \frac{h_5'}{h_5 - 1}\right) \frac{h_5'}{h_5}.$$

We must now distinguish 4 cases.

We must now distinguish 4 cases. Case 1. $\frac{h'_4}{h_4} = \frac{h'_5}{h_5}$. It follows from (4.3) that either $\frac{h'_4}{h_4 - 1} = \frac{h'_5}{h_5 - 1}$ or $\frac{f'}{f} = \frac{h'_5}{h_5}$. If $\frac{h'_4}{h_4 - 1} = \frac{h'_5}{h_5 - 1}$, then h_4 and h_5 are constants, which is excluded by hypothesis. Hence $\frac{f'}{f} = \frac{h'_5}{h_5}$ and then f is of the form $c.h_5$, where c is a constant, which contradicts our hypothesis: h_5 is a small function with respect to f.

Case 2. $\frac{h'_4}{h_4 - 1} = \frac{h'_5}{h_5 - 1}$. Similarly to Case 1, we have a contradiction. Case 3. $\frac{h'_4}{h_4} - \frac{h'_5}{h_5} = \frac{h_4}{h_4 - 1} - \frac{h'_5}{h_5 - 1} \neq 0$. It follows from (4.3) that

$$\frac{f'}{f-1} - \frac{f'}{f} = \frac{h'_5}{h_5 - 1} - \frac{h'_5}{h_5},$$

whih implies

$$\frac{f-1}{f} = \frac{C(h_5 - 1)}{h_5}$$

where C is a constant. Thus we obtain

$$\frac{1}{f} = 1 - \frac{C(h_5 - 1)}{h_5}$$

and hence $T(r, \frac{1}{f}) = S(r, f)$, which is absurd because $T(r, \frac{1}{f}) = T(r, f) + S(r, f)$. Case 4.

$$\frac{h'_4}{h_4} \neq \frac{h'_5}{h_5}, \ \frac{h'_4}{h_4 - 1} \neq \frac{h'_5}{h_5 - 1} \text{ and } \frac{h'_4}{h_4} - \frac{h'_5}{h_5} \neq \frac{h'_4}{h_4 - 1} - \frac{h'_5}{h_5 - 1}.$$

Then it follows from (4.3) that the zeros of f - 1 can only occur when h_j takes value 0 or 1, for j = 4, 5 or when $\frac{h'_4}{h_4} - \frac{h'_5}{h_5}$ has a zero. In the same way, the zeros of f can only occur when h_j

takes value 0 or 1, for j = 4, 5 and when $\frac{h'_4}{h_4 - 1} - \frac{h'_5}{h_5 - 1}$ has a zero. Moreover, by (4.3) we can see that the poles of f can only occur when h_j takes value 1 or when h_j has a pole, for j = 4, 5 or when $\frac{h'_4}{h_4} - \frac{h'_5}{h_5} - \frac{h'_4}{h_4 - 1} + \frac{h'_5}{h_5 - 1}$ has a zero. Therefore we have

(4.4)
$$\overline{N}(r,f) + \overline{Z}(r,f) + \overline{Z}(r,f-1) = S(r,f).$$

Applying the second Main Theorem 3.3 to f we can derive

$$T(r,f) \le \overline{Z}(r,f) + \overline{Z}(r,f-1) + \overline{N}(r,f) - \log(r) = S(r,f),$$

which is absurd and finishes proving that $H \not\equiv 0$.

Now, given r > 0, we put

$$\delta(r) = \min(1, |h_4|(r), |h_5|(r), |h_4 - 1|(r), |h_5 - 1|(r), ||h_4 - h_5|(r)).$$

Then we have

$$\log^{+}(\frac{1}{\delta(r)}) \leq \log^{+}\left(\max\left(1, \frac{1}{|h_{4}|(r)}, \frac{1}{|h_{5}|(r)}, \frac{1}{|h_{4}-1|(r)}, \frac{1}{|h_{5}-1|(r)}, \frac{1}{|h_{4}-h_{5}|(r)}\right)\right)$$

$$\leq \log^{+}(\frac{1}{|h_{4}|(r)}) + \log^{+}(\frac{1}{|h_{5}|(r)}) + \log^{+}(\frac{1}{|h_{4}-1|(r)}) + \log^{+}(\frac{1}{|h_{5}-1|(r)}) + \log^{+}(\frac{1}{|h_{4}-h_{5}|(r)}) + \log^{+$$

Consequently, we can see that

(4.5)
$$\log^+(\frac{1}{\delta(r)}) = S(r, f).$$

First, we consider the case when $|f - h_j|(r) > \frac{\delta(r)}{2}$ for $2 \le j \le 5$. Then, we have

$$(4.6) \quad m(r,\frac{1}{f}) + m(r,\frac{1}{f-1}) + m(r,\frac{1}{f-h_4}) + m(r,\frac{1}{f-h_5}) \le 5\log^+\left(\frac{1}{\delta(r)}\right) + O(1) = S(f,r).$$

Now, let *i* be an index such that $2 \le i \le 5$ such that $|f - h_i|(r) \le \frac{\delta(r)}{2}$. Then for every $j \ne i$, with $2 \le j \le 5$, we have

$$\delta(r) \le |h_i - h_j|(r) \le |f - h_i|(r) + |f - h_j|(r) \le \frac{\delta(r)}{2} + |f - h_j|(r)$$

hence

$$\frac{\delta(r)}{2} \le |f - h_j|(r).$$

Therefore, for $i \neq j$, we have

$$\sum_{\substack{j=2\\j\neq i}}^{5} m(r, \frac{1}{f - h_j}) = \sum_{\substack{j=2\\j\neq i}}^{5} \log^+ \left(\frac{1}{|f - h_j|(r)}\right) \le 3\log^+ \left(\frac{1}{\delta(r)}\right).$$

Combining (4.5) and the last inequality, we have

(4.7)
$$\sum_{\substack{j=2\\j\neq i}}^{5} m(r, \frac{1}{f - h_j}) = S(r, f).$$

On the other hand, for $2 \le i \le 5$, we can write

$$ff' = (f - h_i)(f' - h'_i) + h'_i(f - h_i) + h_i(f' - h'_i) + h_ih'_i$$
$$f' = (f' - h'_i) + h'_i,$$
$$f(f - 1) = f^2 - f = (f - h_i)^2 + (2h_i - 1)(f - h_i) + h_i^2 - h_i$$

And now we put $g_i = (f - h_i)(f' - h'_i) + h'_i(f - h_i) + h_i(f' - h'_i)$ and $l_i = (f - h_i)^2 + (2h_i - 1)(f - h_i)$ and then, thanks to properties of determinants, we obtain

(4.8)
$$H = \det \begin{pmatrix} g'_i & f' - h'_i & l_i \\ h_4 h'_4 & h'_4 & h_4 (h_4 - 1) \\ h_5 h'_5 & h'_5 & h'_5 (h_5 - 1) \end{pmatrix}.$$

Now, we have $\log^+(\delta(r)) \leq \log^+(1+|h_i|(r)) \ \forall i=2,...,5$ because it is obvious from the definition for i=4, 5 and also for i=2 and i=3 because $h_2=0$ and $h_3=1$. Consequently, for every i=2,...,5, we have $\log^+(\delta(r)) \leq \log^+(|h_i|(r)) + \log(2) = m(r,h_i) + \log(2) = S(r,f)$. Then by (4.8) and using Lemma 3.7, we obtain for every i=2,...,5:

$$\log^{+} \left| \frac{H}{f - h_{i}} \right| (r) \le \log^{+} \left(\left| \frac{f' - h'_{i}}{f - h_{i}} \right| (r) \right) + \log^{+} (|f - h_{i}|(r))$$

 $+O\Big(\log^+(|h_i|(r) + \log^+(|h_i'|(r)) + \log^+(|h_4(r)) + \log^+(|h_4'|(r)) + \log^+(|h_5|(r)) + \log^+(|h_5'|(r))\Big) \\ \leq m\Big(r, \frac{f'-h_i'}{f-h_i}\Big) + \log^+(\delta(r)) + S(r, f) = S(r, f)$

Hence, for every i = 2, ..., 5 we obtain

(4.9)

$$m\left(\frac{1}{f-h_i}\right) = \log^+\left(\frac{1}{|f-h_i|(r)}\right) \le \log^+\left|\frac{H}{f-h_i}\right|(r) + \log^+\left|\frac{1}{H}\right|(r)$$
$$\le m\left(r,\frac{1}{H}\right) + S(r,f).$$

Then by (4.6), (4.7), (4.8) and (4.9) we can check that in all cases we have

(4.10)
$$m(r,\frac{1}{f}) + m(r,\frac{1}{f-1}) + m(r,\frac{1}{f-h_4}) + m(r,\frac{1}{f-h_5}) \le m(r,\frac{1}{H}) + S(r,f).$$

Now, by Theorem 3.1, we can write

$$4T(r,f) = m(r,\frac{1}{f}) + m(r,\frac{1}{f-1}) + m(r,\frac{1}{f-h_4}) + m(r,\frac{1}{f-h_5}) + N(r,\frac{1}{f}) + N(r,\frac{1}{f-1}) + N(r,\frac{1}{f-h_4}) + N(r,\frac{1}{f-h_5}) + S(r,f)$$

hence by (4.10):

$$4T(r,f) \le m(r,\frac{1}{H}) + N(r,\frac{1}{f}) + N(r,\frac{1}{f-1}) + N(r,\frac{1}{f-h_4}) + N(r,\frac{1}{f-h_5}) + S(r,f).$$

$$(4.11) \le T(r,H) - Z(r,H) + Z(r,f) + Z(r,f-1) + Z(r,f-h_4) + Z(r,f-h_5) + S(r,f).$$

Now, given a zero α of order s of any function $f - h_i$ $(2 \le i \le 5)$, then α is also a zero of H of order at least s - 1. Then from (4.11) we can see that we have:

(4.12)
$$4T(r,f) \le \overline{Z}(r,f) + \overline{Z}(r,f-1) + \overline{Z}(r,f-h_4) + \overline{Z}(r,f-h_5) + T(r,H) + S(r,f).$$

Next, by (4.2) we can check that

$$\begin{split} m(r,H) &\leq 2m(r,f) + S(r,f) \\ N(r,H) &\leq 2N(r,f) + \overline{N}(r,f) + S(r,f) \end{split}$$

Consequently

(4.13)
$$T(r,H) \le 2T(r,f) + \overline{N}(r,f) + S(r,f).$$

Then by (4.12) and (4.13), we obtain

$$2T(r,f) \le \overline{N}(r,f) + \overline{Z}(r,f) + \overline{Z}(r,f-1) + \overline{Z}(r,f-h_4) + \overline{Z}(r,f-h_5) + S(r,f)$$

which finishes proving (4.1) and hence ends the proof of Lemma 4.1 when f belongs to $\mathcal{M}(\mathbb{K})$ or $\mathcal{M}_u(D)$. Next, when f belongs to $\mathcal{M}^c(E)$ we have a similar proof, writing corresponding counting fonctions $T_R(r, .)$ instead of $T(r, .), Z_R(r, .)$ instead of $Z(r, .), N_R(r, .)$ instead of N(r, .), etc...

Proof of Theorem 2.1: By Lemma 4.1, for every subset $\{i_1, ..., i_5\}$ of $\{1, ..., q\}$ such that $1 \le i_1 < ... < i_5 \le q$, we have

$$2T(r,f) \le \sum_{s=1}^{5} \overline{Z}(r,f-h_{i_s}) + S(r,f),$$

(resp.

$$2T(r, f) \le \sum_{s=1}^{5} \overline{Z}(r, f - h_{i_s}) + S(r, f),$$

resp.

$$2T_R(r,f) \le \sum_{s=1}^5 \overline{Z}_R(r,f-h_{i_s}) + S(r,f)).$$

The number of such inequalities is C_q^5 . Summing up over all subsets $\{i_1, ..., i_5\}$ of $\{1, ..., q\}$, we can get $2C_q^5T(r, f)$

$$\leq \sum_{\substack{\{i_1,\ldots,i_5\}\subset\{1,\ldots,q\}\\1\leq i_1<\ldots< i_5\leq q}} \left(\overline{Z}(r,f-h_{i_1})+\overline{Z}(r,h_{i_2})+\overline{Z}(r,h_{i_3})+\overline{Z}(r,h_{i_4})+\overline{Z}(r,h_{i_5})\right)+S(r,f)$$

(resp.

$$2C_{q}^{5}T(r,f) \leq \sum_{\substack{\{i_{1},\ldots,i_{5}\} \subset \{1,\ldots,q\}\\1 \leq i_{1} < \ldots < i_{5} \leq q}} \left(\overline{Z}(r,f-h_{i_{1}}) + \overline{Z}(r,h_{i_{2}}) + \overline{Z}(r,h_{i_{3}}) + \overline{Z}(r,h_{i_{4}}) + \overline{Z}(r,h_{i_{5}})\right) + S(r,f)$$

 $\operatorname{resp.}$

 $2C_a^5T_R(r,f)$

In each one of the last inequalities, we can check that for each index i_k , the term $\overline{Z}(r, f - h_{i_k})$ (resp. $\overline{Z}(r, f - h_{i_k})$, resp $\overline{Z}_R(r, f - h_{i_k})$) intervenes C_{q-1}^4 times. Consequently, we can derive that

$$2C_q^5 T(r, f) \le C_{q-1}^4 \sum_{i=1}^q \overline{Z}(r, f - h_i) + S(r, f),$$

(resp.

$$2C_q^5 T(r, f) \le C_{q-1}^4 \sum_{i=1}^q \overline{Z}(r, f - h_i) + S(r, f),$$

resp.

$$2C_q^5 T_R(r, f) \le C_{q-1}^4 \sum_{i=1}^q \overline{Z}_R(r, f - h_i) + S(r, f)).$$

Consequently, it follows that

$$\frac{2q}{5}T(r,f) \le \sum_{i=1}^{q} \overline{Z}(r,f-h_i) + S(r,f),$$

(resp.

$$\frac{2q}{5}T(r,f) \le \sum_{i=1}^{q} \overline{Z}(r,f-h_i) + S(r,f),$$

 $\operatorname{resp.}$

$$\frac{2q}{5}T_R(r,f) \le \sum_{i=1}^q \overline{Z}_R(r,f-h_i) + S(r,f)).$$

This completes the proof of Theorem 2.1.

Proof of Theorem 2.2: Let $f \in \mathcal{M}(\mathbb{K})$ be transcendental and let f_j , $1 \leq j \leq 5$ be archibranched small functions with respect to f. Let us apply Theorem 2.1:

(1)
$$5T(r,f) \le \frac{5}{2} \left(\sum_{j=1}^{5} \overline{Z}(r,f-h_j) \right) + o(T(r,f)).$$

But for each j = 1, ..., 5, we have

$$\overline{Z}(r, f - h_j) \le \frac{Z(r, f - h_j)}{3} + o(T(r, f)) \le \frac{T(r, f)}{3} + o(T(r, f))$$

hence $\sum_{j=1}^{5} \overline{Z}(r, f - h_j) \leq T(r, f) + o(T(r, f))$, therefore by (1):

$$5T(r,f) \le {\left(\frac{5}{2}\right)}\frac{5T(r,f)}{3} + o(T(r,f)),$$

which is absurd and finishes the proof in the general case when $f \in \mathcal{M}(\mathbb{K})$. The proof is similar when $f \in \mathcal{M}_u(D)$ and when $f \in \mathcal{M}^c(E)$.

Supose now f, h_1, h_2 have finitely many poles. By Corollary 3.6 we have

$$T(r,f) \le \overline{Z}(r,f-h_1) + \overline{Z}(r,f-h_2) + o(T(r,f))$$

hence

$$T(r,f) \le \frac{Z(r,f-h_1) + Z(r,f-h_2)}{3} + o(T(r,f)) \le \frac{2T(r,f)}{3} + o(T(r,f))$$

which is absurd. Similar proofs when $f \in \mathcal{M}_u(D)$ and when $f \in \mathcal{M}^c(E)$.

5 Proof of Theorem 2.3.

We first need to establish the following Lemma 5.1.

Lemma 5.1: Let f, g be distinct and belong to $\mathcal{M}(\mathbb{K})$, (resp. to $\mathcal{M}_u(D)$, resp. to $\mathcal{M}^c(E)$) sharing q distinct small functions ignoring multiplicity: $h_1, ..., h_q$ with $q \ge 5$. Then, for every subset $\{i_1, i_2, i_3, i_4\}$ of $\{1, ..., q\}$, we have

$$\sum_{j \in \{1, \dots, q\} \setminus \{i_1, \dots, i_4\}} \overline{Z}(r, f - h_j) + \overline{Z}(r, g - h_j) \leq S(r, f) + S(r, g).$$

(resp.

$$\sum_{j \in \{1, \dots, q\} \setminus \{i_1, \dots, i_4\}} \overline{Z}(r, f - h_j) + \overline{Z}(r, g - h_j) \leq S(r, f) + S(r, g),$$

resp.

$$\sum_{j \in \{1,\dots,q\} \setminus \{i_1,\dots,i_4\}} \overline{Z}_R(r,f-h_j) + \overline{Z}_R(r,g-h_j) \le S(r,f) + S(r,g)).$$

Proof: Let us first suppose $f, g \in \mathcal{M}(\mathbb{K})$, or $f, g \in \mathcal{M}_u(D)$. Clearly, we only have to prove that

$$\sum_{j=5}^{q} \overline{Z}(r, f - h_j) \le S(r, f) + S(r, g).$$

Thus, we assume that

$$\sum_{j=5}^{q} \overline{Z}(r, f - h_j) \neq S(r, f) + S(r, g)$$

Given any meromorphic function $w \in \mathcal{M}(\mathbb{K})$, (resp. in $\mathcal{M}_u(D)$) we put

$$L(w) = \frac{(w - h_1)(h_3 - h_2)}{(w - h_2)(h_3 - h_4)}$$

and F = L(f), G = L(g).

Without loss of generality, we may assume that $h_1 = \infty$, $h_2 = 0$, $h_3 = 1$ and we put $h = h_4$. Now, we set

$$B = \frac{f'(h'g - hg')(f - g)}{f(f - 1)(g - h)} - \frac{g'(h'f - hf')(f - g)}{g(g - 1)(f - h)}.$$

Now, let

$$Q = f'(h'g - hg')(f - h)(g - 1) - g'(h'f - hf')(g - h)(f - 1)$$

= h'ff'g² - h'f'g - h(h - 1)ff'g' - hh'f'g² + hh'f'g

$$-h'f^{2}gg' + h'fgg' + h(h-1)f'gg' + hh'f^{2}g' - hh'fg'.$$

Then

$$B = \frac{(f-g)Q}{f(f-1)g(g-1)}$$

Suppose first that B is identically zero. Then we have

(5.1)
$$\frac{f'(h'g - hg')(f - g)}{f(f - 1)(g - h)} = \frac{g'(h'f - hf')(f - g)}{g(g - 1)(f - h)}.$$

If h is a constant, then f = g which contradicts our hypothesis. Hence h is not a constant and then, by (5.1) we have

$$\frac{(f-1)(g-h)}{g-1)(f-h)} - 1 = \frac{f'(h'g-hg')}{g'(h'f-hf')} - 1$$

therefore

$$\frac{(f-g)(g-h)}{(g-1)(f-h)} = \frac{h'(f'-g')g - (f-g)g'}{g'(h'f - hf')}$$

and hence

(5.2)
$$\frac{f'-g'}{f-g} = \frac{(1-h)g'(h'f-hf')}{h'g(g-1)(f-h)} + \frac{g'}{g}$$

Let us fix $j \ge 5$, $j \le q$. By (5.1), there exists a common zero $\alpha \in \mathbb{K}$ (resp. $\alpha \in D$, resp. $\alpha \in E$) of $f - h_j$ and $g - h_j$ which is not a zero or a pole of $h, h', h_j - 1, h_j - h$. Then, α must be a pole of the left hand side of (5.2) but cannot be a pole of the right hand side of (5.2). This is a contradiction showing that B cannot be identically zero.

Now, let us fix $j \ge 5$ such that $j \le q$ and suppose that β is a common zero of $f - h_j$ and $g - h_j$ but is not a zero or a pole of $h, h_j, h_j - 1, h_j - h$. Then it is a zero of f - g and it is not a pole of

$$\frac{Q}{f(f-1)(f-h)g(g-1)(g-h)},$$

and hence it is a zero of B.

Now, since f and g share each h_j ignoring multiplicity, we have

$$\sum_{j=1}^{5} \overline{Z}(r,g-h_j) = \sum_{j=1}^{5} \overline{Z}(r,f-h_j) \le Z(r,B) + S(r,f) + S(r,g)$$

(5.3)
$$\leq T(r,B) + S(r,f) + S(r,g) = m(r,B) + N(r,B) + S(r,f) + S(r,g).$$

We will estimate m(r, B). By computation, B can be written

$$B = \frac{f'(h'g - hg')}{(f-1)g(g-h)} - \left(\frac{f'}{f-1} - \frac{f'}{f}\right) \left(\frac{h'g - hg'}{g-h}\right) \\ + \frac{g'(h'f - hf')}{(g-1)f(f-h)} - \left(\frac{g'}{g-1} - \frac{g'}{g}\right) \left(\frac{h'f - hf'}{f-h}\right) \\ = \left(\frac{f'}{f-1}\right) \left(\frac{g'}{g} - \frac{g' - h'}{g-h}\right) - \left(\frac{f'}{f-1} - \frac{f'}{f}\right) \left(h' - \frac{h(g' - h')}{g-h}\right) \\ + \left(\frac{g'}{g-1}\right) \left(\frac{f'}{f} - \frac{f' - h'}{f-h}\right) - \left(\frac{g'}{g-1} - \frac{g'}{g}\right) \left(h' - \frac{h(f' - h')}{f-h}\right)$$

In this last relation we have a sum of logarithmic derivative and hence, by Lemma 3.7, we can see that

(5.4)
$$m(r,B) = S(r,f) + S(r,g).$$

Next, we will estimate N(r, B). Clearly, the poles of B can only occur at the zeros of f, g, f - 1, g - 1, f - h, g - h and at the poles of f, g, h.

Let A be the set of all zeros, 1-points and poles of h. Then we will first estimate the counting function of poles of B when we are in A. Recall that f and g share the constants 0, 1 and ∞ .

Let γ be a zero of h. Then we can check that B has no pole at γ .

Let γ be a 1-value of h. If B had a pole, then f - 1 would have a zero of order s, g - 1 would have a zero of order t, f - g whould have a zero and Q would have a zero of order s + t, hence B would have a zero, not a pole.

Now, let γ be a pole of h of order n.

If $f(\gamma) = 1$, then $g(\gamma) = 1$ because f and g share h_3 , hence B has no pole at γ .

If f has a pole of order s at γ then g also has a pole of order t because f and g share h_1 . We can assume $s \ge t$, then B has a pole of order at most n + 2, hence the counting function of poles of B when γ is a pole of f, g and h and hence is a pole of B of order n + 2, is bounded by thrice the counting function of the poles of h and hence is of the form S(r, f) + S(r, g).

Next, suppose that $f(\gamma) \neq 1, \infty$, hence $g(\gamma) \neq 1, \infty$. If f and g have no zero at γ , it is clear that B has at most a pole of order n, hence the counting function of poles of B when $f(\gamma) \neq 0, 1, \infty$, (hence $g(\gamma) \neq 0, 1, \infty$) is of the form S(r, f) + S(r, g). Finally, if $f(\gamma) = 0$, then $g(\gamma) = 0$ because f and g share h_2 and then we can check that B may admits a pole at γ of order at most 2n + 2. Consequently the couting function of poles of B when γ lies in A and is a pole of h is bounded by thrice the counting function of the poles of h and hence is of the form S(r, f) + S(r, g).

Thus, the counting function of poles of B when γ lies in A is of the form S(r, f) + S(r, g).

Consider now the poles of B when $\gamma \notin A$.

Let $\gamma \notin A$ be a common pole of f and g of order s_1 and t_1 respectively. Then, γ is a pole of Q of order at most $2s_1 + 2t_1 + 1$. Next, γ is a pole of f - g of order max (s_1, t_1) . Hence from the definition of B, γ is a pole of the numerator of B of order at most $2s_1 + 2t_1 + 1 + \max(s_1, t_1)$ and on the other hand, it is a pole of the denominator of B of order $3s_1 + 3t_1$. But since

$$2s_1 + 2t_1 + 1 + \max(s_1, t_1) - 3(s_1 + t_1) = 1 + \max(s_1, t_1) - s_1 - t_1 \le 0,$$

it follows that γ is not a pole of B.

Let $\gamma \notin A$ be a common zero of f and g of order s_2 and t_2 respectively. We can check that γ is a zero of Q of order at least $s_2 + t_2 + 1$ and that γ is a zero of f - g of order at least $\min(s_2, t_2)$. On the other hand, we can check that the denominator of B has a zero at γ of order at most $s_2 + t_2$. Consequently, B has no pole at γ .

Suppose now that $\gamma \notin A$ is a common zero of f - h and g - h. Then γ is a zero of f - g. On the other hand, γ is a pole of order 1 of $\frac{f'}{f-h}$ and $\frac{g'}{g-h}$. Consequently, by the definition of B we can check that γ is not a pole of B. Similarly, when γ is a common zero of f - 1 and g - 1, γ is not a pole of B.

Suppose now that $\gamma \notin A$ is a pole of f but is not a pole of g and is not a zero of g, g-1, and g-h. Since f and g share h_0 , that situation does not occur.

Suppose now that $\gamma \notin A$ is a zero of f but is not a pole of g and is not a zero of g. Since f and g share h_0 , that situation does not occur.

Suppose now that $\gamma \notin A$ is a zero of $f - h_i$ with i = 3, 4 but is not a pole of g and is not a zero of g, g - 1 and g - h. Then γ is a pole of B of order at most 1. Similarly, if γ is a zero of $g - h_i$ with i = 3, 4 but is not a pole of f and is not a zero of f, f - 1 and f - h. Then γ is a pole of f and is not a zero of f, f - 1 and f - h. Then γ is a pole of B of order at most 1. However, since f and g share h_3 and h_4 , such a situation does not occur.

Suppose now that $\gamma \notin A$ is a common zero of $f - h_i$ and $g - h_j$ for some $1 \leq i \leq 4$ and $1 \leq j \leq 4$ with $i \neq j$ and $i \neq 2, j \neq 2$. Then γ is a pole of B of order at most 2. However, in such a situation, since f and g share h_i , then γ is a zero of $h_i - h_j$. But since h_i and h_j are small with respect to f and g, the counting function of points γ that are common zeros of $f - h_i$ and $g - h_j$ for some $1 \leq i \leq 4$ and $1 \leq j \leq 4$ with $i \neq j$ and $i \neq 2, j \neq 2$, is bounded by S(r, f) + S(r, g).

Finally, suppose that $\gamma \notin A$ is a zero of $f - h_i$ and a pole of g for some i = 2, 3, 4 or a zero of $g - h_i$ and a pole of f for some i = 2, 3, 4. But since f and g share h_2 , h_3 , h_4 , such a situation is impossible.

In conclusion, we have

$$N(r,B) \le S(r,f) + S(r,g)$$

and therefore, by (5.3) and (5.4) that completes the proof of Lemma 5.1 when $f, g \in \mathcal{M}(\mathbb{K})$, or $f, g \in \mathcal{M}_u(D)$. Now, when $f, g \in \mathcal{M}^c(E)$, the proof is similar.

Corollary 5.2: Let f, g be distinct and belong to $\mathcal{M}(\mathbb{K})$, (resp. to $\mathcal{M}_u(D)$, resp. to $\mathcal{M}^c(E)$) sharing q distinct small functions ignoring multiplicity: $h_1, ..., h_q$ (with $q \ge 5$). Then, for every subset $\{i_1, i_2, i_3, i_4\}$ of $\{1, ..., q\}$, we have

$$\overline{Z}(r, f - h_j) + \overline{Z}(r, g - h_j) \le S(r, f) + S(r, g) \ \forall j = 1, ..., q$$

(resp.

$$\overline{Z}(r, f - h_j) + \overline{Z}(r, g - h_j) \le S(r, f) + S(r, g) \ \forall j = 1, ..., q$$

resp.

$$\overline{Z}_{R}(r, f - h_{j}) + \overline{Z}_{R}(r, g - h_{j}) \le S(r, f) + S(r, g) \; \forall j = 1, ..., q.)$$

Proof of Theorem 2.3: Suppose first that $f, g \in \mathcal{M}(\mathbb{K})$ or $f, g \in \mathcal{M}_u(D)$. Suppose that f and g are not identic. Applying Corollary 5.2 with q = 5, we have $\overline{Z}(r, f - h_j) = S(r, f) + S(r, g)$ and $\overline{Z}(r, g - h_j) = S(r, f) + S(r, g)$ for every $j \in \{1, ..., 5\}$. Therefore:

(5.5)
$$\sum_{j=1}^{5} \overline{Z}(r, f - h_j) + \overline{Z}(r, g - h_j) = S(r, f) + S(r, g)$$

Now, by Theorem 2.1, we have

$$2(T(r,f) + T(r,g)) \le \sum_{j=1}^{5} \overline{Z}(r,f-h_j) + \overline{Z}(r,g-h_j) + S(r,f) + S(r,g)$$

hence, by (5.5): $2(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g)$, which is absurd and proves that f = g.

Suppose now that $f,g \in \mathcal{M}^{c}(E)$. Replacing each symbol T(r,.) by $T_{R}(r,.)$ and $\overline{Z}(r,.)$ by $\overline{Z}_{R}(r,.)$ we can make the same reasoning and conclude in the same way f = g.

The conclusion concerning meromorphic functions f, g having finitely many poles comes from [7] and from [8], Theorem C.9.18 and Theorem C.9.16.

Remark: After obtaining Relation (5.5), by Theorem A, we could state that

$$\frac{5}{3}(T(r,f) + T(r,g)) \le \sum_{j=1}^{5} \overline{Z}(r,f-h_j) + \overline{Z}(r,g-h_j) + S(r,f) + S(r,g),$$

which could also let us conclude.

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