

Monitoring and forecasting hazards from a slow growing lava dome using aerial imagery, tri-stereo Pleiades-1A/B imagery and PDC numerical simulation

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Dome growth at Nevados de Chillán

| 1 | Monitoring and forecasting hazards from a slow growing |
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| 2 | lava dome using aerial imagery, tri-stereo Pleiades-1A/B |
| 3 | imagery and PDC numerical simulation. |
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| 20 | Keywords: structure from motion; volcanic dome; photogrammetry; dome collapse; dome |
| 21 | growth; pyroclastic flow |
| | |

23 ABSTRACT

24 In December of 2017, a lava dome emerged at the Nevados de Chillan volcanic complex in 25 the southern Andean volcanic zone, Chile, at the base of a summit crater excavated by 26 explosions during two preceding years of unrest. This posed a number of potential hazards to 27 the surrounding touristic region, so the eruption was carefully monitored. Structure from Motion techniques were used to generate DEMs from satellite and aerial images, from which 28 several useful measurements could be made. Dome growth was characterised at an 29 30 unprecedented resolution, allowing for the calculation of discharge rates and effusion rates in 31 near real time. A simple model fit to the distance between the dome and crater rim predicted 32 relatively accurately the arrival of the dome toe at the crater rim and the onset of dome collapse outside the crater. Simulations of the path and extent that potential pyroclastic 33 34 density currents (PDC) generated by dome collapse would follow showed that PDC were not directly threatening populated areas. Over its life cycle as of August 2019, the dome growth 35 36 was punctuated by frequent explosions, averaging around 30 per day, one of which generated a minor 600 m long PDC on 13 to 15 of July 2018. There appears to be a positive correlation 37 38 between explosion frequency and lava dome growth rate suggesting that both explosive and 39 effusive processes can coexist, operating at different timescales but responding to the same 40 driving force. A positive correlation is apparent between dome growth rate and seismic activity such as the frequency of tremor and long-period earthquakes suggesting that these 41 42 might be used as proxies to estimate effusion rate. Initial lava dome effusion rates of $1730 \pm$ 110 m³/day in January 2018 declined to 100 ± 150 m³/day in June 2019. These growth rates 43 44 are extremely slow when compared to other lava domes, about 300 to 600 times slower than 45 the lava domes at Mt Unzen (1992) and Mt. St. Helens (1980).

46 **Highlights**:

- Ultra-high-resolution DEM and time series of lava dome growth
- Real time forecasting of initiation of dome gravitational instability
- Simulations of PDC from dome collapse show no immediate threat to populated areas
- Simultaneity of explosive and effusive activities, positively correlated
- Strong positive correlation between effusion rate and seismic event frequencies

1. INTRODUCTION

54 Lava domes form by the slow effusion of typically felsic and viscous magma at the surface 55 and are commonly associated with hazardous phenomena. The most common hazard is the 56 formation of pyroclastic density currents generated by partial or complete collapse of the 57 dome, also termed Merapi-type pyroclastic flows. Examples of these types of flow include the 58 1991 eruption period at Unzen volcano (e.g., Sato et al., 1992), the 1994 eruption period at 59 Merapi volcano (e.g., Abdurachman et al., 2000), the 1996-97 eruption period at Soufriere 60 Hills volcano (e.g., Cole et al., 1998) and the 1998–99 eruption period at Colima volcano 61 (e.g., Saucedo et al., 2002). Lava domes can also be associated with explosive eruptions and 62 blast generating Peléan-type pyroclastic flows such as in the 1956 eruption at Bezymianny 63 volcano (e.g., Gorshkov, 1959), the 1980 eruption at Mt St. Helens volcano (e.g., Lipman and Mullineaux, 1981), the 1991 eruption period at Unzen volcano (e.g., Sato et al., 1992), the 64 1997 eruption at Soufriere Hills volcano (e.g., Woods et al., 2002) and the 2010 eruption at 65 66 Merapi volcano (e.g., Komorowski et al., 2013). Monitoring the growth and behaviour of active lava domes is therefore of primal importance to forecast potential explosive events and 67 68 mitigate their hazards.

69

70 In late December 2017 (exact date unknown), after two years of increased activity with 71 frequent eruptions propelled by the emplacement of a small intrusion of magma at shallow 72 level (Moussallam et al., 2018), lava reached the surface at the Nevados de Chillán volcanic complex forming a small lava dome (Fig. 1). Subsequent growth of the lava dome was 73 74 monitored by bimonthly helicopter overflights operated by the Observatorio Volcanológico de 75 Los Andes del Sur (OVDAS), part of the Servicio Nacional de Geología y Minería 76 (SERNAGEOMIN). As helicopter flights became unavailable to continue the monitoring, we 77 tasked the monthly acquisition of Pleiades-1 tri-stereo optical imagery. We used aerial photographs taken during observation flights and tri-stereo optical imagery to construct ultrahigh-resolution digital elevation models (DEM) of the summit area and precisely measure the dome growth rate and extruded volume over a period of nineteen months. During this period, we used these measurements to forecast the onset and location of rock fall events. Finally, we performed numerical modelling to predict the extent of PDC that would be generated by a potential dome collapse or large explosion in order to assess hazard to nearby population.



84

Figure 1: A: DEM of the Nevados de Chillán Volcanic Complex and surrounding valleys (Global Multi-Resolution Topography grid version 3.8 source: GeoMapApp). The towns of Las Trancas and Termas de Chillán (combined permanent populations of 1600 rising to 30,000 during the tourist season) are shown. Contour lines are drawn every 100 meters and go from 3200 to 600 m. Red circle shows the location of the active crater, red dashed rectangle shows the location of the view shown in (B). **B**: Aerial photograph taken on 21 December 2017 looking W-NW. The emergence of an ellipsoid-shaped lava dome (35 m long
by 22 m wide) with a central fissure can be seen in the centre of the newly formed crater (see
Moussallam et al., 2018 for detailed eruption history and formation of the new crater). C:
Aerial photograph taken on 11 March 2018 looking south and showing the now 119 m long
by 79 m wide lava dome. The central crease structure can be observed with lava flowing away
from both directions. Crater's dimensions are 205 by 155 m.

2. METHODS

In this paper we (i) apply Structure from Motion (SfM) to make measurements of dome volume and geometry from stereo images, (ii) fit Bayesian models to these measurements using Hamiltonian Monte Carlo techniques to estimate effusion rates and predict the start of dome collapse, and (iii) use 2 layer numerical modelling of pyroclastic flows to predict the potential extent of dome collapse. We discuss each of these three techniques in the following sections.

104

105 **2.1 Digital Elevation Model generation**

106 Aerial photogrammetry

107 Helicopter flights were performed on 21 December 2017, 09 January 2018, 12 January 2018, 16 January 2018, 23 January 2018, 07 February 2018, 22 February 2018,11 March 2018, 4th 108 April 2018, 18th April 2018, 16 July 2018 and 9th August 2019. Flight height was typically 109 110 around 3400 to 3700 m altitude and circular flight paths were performed around the summit 111 area in order for aerial photograph to capture the lava dome from all angles (Fig. S1). 112 Cameras were operated manually. Cameras used to take the photograph were equipped with 113 GNSS (GPS of accuracy strictly better than 10m) to provide an approximate position of the 114 camera. 3D models were constructed after each helicopter overflight using the Agisoft Metashape (Agisoft LLC., St. Petersburg, Russia) modelling software (Fig. S1). The Structure 115

116 from Motion (SfM) software identifies and matches scale invariant features in each images, performs bundle adjustments to refine the camera positions and construct a georeferenced 117 118 dense point cloud. The georeferenced dense point cloud is then (1) processed with soft-copy 119 triangulation to reconstruct the scene geometry and create a solid 3D mesh (e.g., Burns et al., 120 2015) and (2) used to construct a DEM. On several occasions however, the internal camera 121 GPS did not work and georeferencing was achieved using easily identifiable features in previously reconstructed DEM as Ground Control Points (GCPs). Pixel resolution on the 122 123 DEMs varied from 10 cm to 1 m (see supplementary table S1 for details).

124

125 Tri-stereo satellite optical imagery processing

126 The PLEIADES constellation consists of two satellites in Low Earth Orbit imaging the Earth 127 at 0.7 m resolution across a 20 km wide swath in the panchromatic band. The satellites are 128 particularly agile, allowing them to acquire multiple images of a target from different angles during a single overpass (Gleyzes et al., 2012). This stereoscopic facility has been extensively 129 130 used to measure volcanic deposit volumes (e.g. Bagnardi et al., 2016; Di Traglia et al., 2018; 131 Carrara et al., 2019; Ganci et al., 2019a, 2019b). Pleiades images were acquired in tristero 132 mode (three views from one overpass) at roughly monthly intervals from October 2018 to 133 June 2019. Images acquired in February and April 2019 had views of the dome obscured by 134 degassing activity and could not be used to generate DEMs.

135

We used the MicMac Structure from Motion software suite (Rupnik et al., 2017) to create the DEMs, following the Pleiades examples in Pierrot-Deseilligny et al., (2014), which we summarise briefly here. First the Rational Polynomial Coefficients (RPCs) which are supplied by the data provider and define the polynomial functions that map 3D geographic space to 2D image space were converted to MicMac format using Convert2GenBundle. Then matching

141 points are automatically found across all possible pairs of images. This is accomplished using 142 the Tapioca tool in 'All' mode, which uses the Sift+ (Scale Invariant Feature Transform) 143 algorithm (Lowe, 2004) to find tie points. For this step the images were scaled to a width of 144 10000 (a scaling factor of ~ 0.5) to save memory. These tie points overdetermine the location 145 and orientation of the imaging systems and so can be used to improve the estimated RPCs 146 using a least squares Levenberg-Marquardt mimization, implemented in the Campari module, 147 improving their accuracy - this is also known as Bundle Block Adjustment. Finally, the DEM 148 is generated from the images using the adjusted RPCs with Ann matching by the Malt tool 149 using the dense matching module UrbanNME.

150

Vertical precision for both Aerial and Pleiades DEMs were estimated by randomly sampling one hundred points over a stable area (Nuevo crater) and interpolating values at these points for all DEMs, the median height for each sampling point was calculated and subtracted from each height to give a collection of deviations from the median for each point (Fig. S2). The median of all the aerial and Pleiades absolute deviations were then taken, to give the Median Absolute Deviation, or MAD statistic, which came to 1.00 m for Pleiades and 0.476 m for aerial DEMs.

158

159 **2.2 DEM alignment**

The aerial and Pleiades DEMs were found to be misaligned with standard topographic models such as Shuttle Radar Topography Mission (SRTM, Farr et al., 2007), as well as misaligned with each other. We aligned our DEMs using the pc_align tool of the NASA Ames Stereo Pipeline software suite (Shean et al., 2016), using the Similarity-Point-to-Point Iterative Closest Point (ICP) alignment method. This solves for rotation, translation and scaling to align one DEM with another. The area to be used for alignment was selected by masking out

166 areas where the DEMs showed evidence of change, was noisy, interpolated or otherwise 167 noticeably inaccurate (Fig. S3). For the dome, we then aligned the 21st December 2017 DEM 168 with SRTM, and aligned all subsequent DEMs with the aligned 21st December DEM. This 169 ensured all our DEMs were aligned with each other as well as being at roughly the right 170 geographic location and scale. In early 2019 it became apparent that a gulley was developing 171 below the dome with a depositional fan at the distal end, which could potentially account for 172 some of the erupted volume. We found that DEMs aligned using the area around the dome 173 were poorly aligned around the gulley and fan, and vice versa, so the DEMs were aligned 174 again using an area surrounding the gulley and fan (Fig. S3). Only data from 16th January 175 2019 onwards reliably and systematically covered the whole feature, so the 16th January 2019 176 DEM was chosen as our reference and all subsequent DEMs were aligned with that.

177

178 **2.3 DEM differencing**

179 For the dome, we took the 21st Dec 2017 DEM as our reference surface, above which all 180 volumes are measured. This DEM was then resampled to and subtracted from every other aligned DEM, using the ASP geodiff tool to give a time series of differential DEMs (dDEMs) 181 182 each with the resolution of the newer DEM. These are effectively thickness maps of the dome 183 at given dates relative to our 21st Dec 2017 reference surface. For the gulley and fan, we took 184 the 16th January 2019 DEM as our reference surface and differenced all successive DEMs 185 with it. Histograms of the dDEMs over stable regions are shown in Fig S4 giving the Median 186 Absolute Deviation (MAD) and standard deviation for each dDEM.

187

188 **2.4 Volume calculations**

189 Dome volumes were calculated by digitising each dome and integrating the dDEMs over190 these shapes. We estimated uncertainties in these volumes by moving the plan shapes of each

191 dome to a neighbouring crater that is well resolved in all the DEMs (Arrau crater, in Figure 192 1b), but doesn't show any appreciable topographic change, and measuring the volume for all 193 dDEMs. This gives a number of estimates of a 'dome' of zero volume for each dome shape, 194 from which we took the standard deviation as the error. For the gulley and fan a similar 195 procedure was performed where we moved the shape delineating the feature to nearby regions 196 where no significant volume change is expected. We measured the net volume of the gulley 197 and fan to try to estimate the volume of fresh volcanic material present. The hope being that 198 the negative contribution of material removed from the gulley is compensated by the net 199 positive contribution of deposition in the fan. In reality, remobilised material is unlikely to pack back down to the same volume, so it is likely that the values would be an overestimate -200 201 we discuss this further in the results section.

202

203 **2.5 Time Averaged Discharge Rates**

Time Averaged Discharge Rates (TADRs) (defined after Harris et al., 2007) were calculated
by differencing successive volumes and dividing by the time interval between them.
Uncertainties in TADR were found by simple propagation of errors.

207

208 **2.6 Effusion rates**

Effusion rates (or the instantaneous discharge rate) were calculated by fitting a model to the time series of volumes and finding its derivative. In the absence of an obvious physical model to fit to the data we chose a Gaussian Process (or GP), which can be considered a distribution over functions (Görtler et al., 2019); an infinite dimensional multivariate normal distribution where each dimension maps to a point in the domain on which we wish to define a function. We adopt a Bayesian approach to fitting the GP, where we seek to calculate the posterior distribution, $p(\theta \lor d)$, over the parameters θ of our model in light of our data, *d*:

217
$$p(\theta|d) = \frac{p(d \lor \theta)p(\theta)}{p(d)}$$

218

219 Where $p(d \lor \theta)$ is the likelihood, $p(\theta)$ is the prior distribution over the parameter space and 220 p(d) is effectively a normalising constant that can be ignored. The GP is defined by the 221 kernel function that is used to calculate the covariance matrix of any multinormally 222 distributed subset of variables in the GP, and here we choose the simple squared exponential 223 kernel function:

224
$$K_{ij} = \alpha^2 exp\left(\frac{-1}{2\rho^2}(t_i - t_j)^2\right)$$

225

Where K_{ij} is the ij^{th} element of the covariance matrix K that specifies the covariance between points t_i and t_j , and α and ρ are 'hyperparameters' that specify the variability and length scale of the functions drawn from the GP. Gaussian processes have the property that their derivatives are also GPs and so can be included in the covariance matrix (e.g., Riihimäki and Vehtari, 2010) provided the kernel function is modified appropriately for the ij elements where one or both points are gradients:

232
$$K'_{ij} = \alpha^2 exp\left(\frac{-1}{2\rho^2}(t_i - t_j)^2\right) \left(\frac{-1}{\rho^2}(t_i - t_j)\right)$$

233
$$K_{ij}^{\prime\prime} = \alpha^2 exp\left(\frac{-1}{2\rho^2}(t_i - t_j)^2\right) \frac{1}{\rho^2} \left(1 - \frac{1}{\rho^2}(t_i - t_j)^2\right)$$

234

Where K'_{ij} is the ij^{th} element of the covariance matrix where the i^{th} element is a gradient and K''_{ij} is that where both the i^{th} and j^{th} elements are gradients. We thus have a model parameter space with dimensions of θ , α , ρ , V, V'. We use a non centred model (Betancourt and Girolami, 2013; Papaspiliopoulos et al., 2007) as this is computationally easier and can avoid some problems during Monte Carlo sampling. Briefly, in a non-centered GP model we place an independent and unit-normally distributed prior on our volumes V_i and gradients V'_i at our points t_i and transform them using the Cholesky factor of the covariance matrix. The model was fit to standardised data, and the following priors were selected:

- 243
- 244 $p(\alpha) normal(0,1)$
- 245 $p(\rho) inv_{gamma}(5,5)$
- 246 η normal(0,1)
- 247 $K = k(\alpha, \rho)$
- 248 L = Cholesky(K)
- 249 $\binom{V}{V'} = L.\eta$

For the likelihood, we assume our measured volumes are distributed about those predicted by the GP by their observed uncertainties as calculated above in section 2.4:

252

253
$$V_{i,obs} normal(V_i, \sigma_{i,obs})$$

254

255 This model was implemented in the Stan probabilistic programming language (Carpenter et 256 al., 2017), which uses Hamiltonian Monte Carlo to draw samples. These samples were then 257 used to calculate expectations over the posterior distribution (or PD) such as the mean and 258 standard deviation at our points of interest t_i , t_j . Additionally, for every sample V_i we generate an additional sample $V_i + normal(0, \sigma_i)$ which gives us a draw from the Posterior Predictive 259 260 Distribution (PPD). One can think of the PD as giving the best estimate of the volumes and effusion rates in light of our priors and data, while PDD gives the measurements we might 261 262 expect to make given the above, and, additionally, the measurement error.

264 **2.6 Predicting the onset of dome collapse**

265 During the first months of extrusive activity, the lava dome was filling and remained contained in a crater formed by the last two years of explosive activity (Moussallam et al., 266 267 2018). As long as the dome was contained within this crater there were no external reason for 268 it to become unstable and collapse (i.e. the dome might still become unstable due to internal 269 overpressure but not due to gravity). In April 2018, DEMs produced by aerial 270 photogrammetry and resulting growth rates were used to forecast future dome growth and the 271 time at which the dome was expected to reach the crater rim, hence becoming partially 272 unstable and starting to generate rock falls (Fig. 5). To predict the time at which this was to 273 happen, we developed a simple conceptual model of the dome growth in a geometry 274 constrained by an inverted conical-shaped crater of height H, radius R, volume V and half 275 angle θ (Fig. 5 C). Within the crater, the level of the foot of the dome forms a horizontal 276 surface at height h, of radius r, that forms the top of a smaller inverted cone of volume v. The 277 horizontal distance d between the dome edge and crater rim can be expressed as a function of 278 effusion rate *E* and time *t* as:

279
$$d = R - \sqrt[3]{\frac{3Etan(\theta)}{\pi}}$$

280 Using this relationship, we then fitted the data presented in Fig. 5A using a function of the281 form:

$$d = A - \sqrt[3]{B(t - t_0)}$$

283

As before the model was fitted using Stan, as above, although in this instance flat priors wereused.

286 **2.7 Computer simulation of dome-collapse and explosion induced PDC**

287 During the extrusive activity, a possible scenario was that as the lava dome growth continued, larger portions of the dome might eventually become gravitationally unstable and partial or 288 289 complete dome collapse might occur, associated with pyroclastic density currents (PDC). In order to determine the spatial area likely to be affected by potential PDC we used the two-290 291 fluids version of the VolcFlow numerical model (Kelfoun, 2017; Kelfoun et al., 2017) that 292 simulates concentrated part of PDC (i.e. the block-and-ash flow), the dilute part (ash-cloud 293 surge) and their interaction. Surge can be formed from the concentrated part and, inversely, 294 can form concentrated parts by sedimentation. For details on the method and on equation 295 used, the reader is referred to Kelfoun (2017).

296

297 We simulated two scenarios; a dome collapse and an explosion. For both scenarios, the total volume of rocks forming the concentrated flow was of 6.4×10^6 m³ which is the size of the 298 299 Merapi 2010 Eruption. This size was used as to represent a hypothetical worst-case scenario 300 and is not related to the current dome volume which is an order of magnitude smaller. 301 Although we stress that larger eruptions are of course possible. Concentrated pyroclastic currents were simulated starting from a circular zone of 50 m in radius for the dome collapse 302 303 scenario, and a zone of 500 m radius for the explosion scenario. These starting locations were 304 used to simulate eruption initiated by dome collapse, hence starting at the current dome 305 location in the first case and eruption from explosion that could happen anywhere within the 306 general summit location in the second case. At the source area, the initial velocity is zero. The 307 concentrated mass then accelerates along the topography forming a surge. Since model results 308 are strongly dependent on the mass rate at the source, two initial durations of genesis were 309 used for each scenario: 60 and 600 seconds. Short durations (i.e., high mass rates) simulations 310 form widespread concentrated flows and powerful surges. Long durations (i.e., low mass rates) simulations form very small surges and flows, channelized in a few drainages valleys 311

312 and reaching long distances. Fourteen parameters must be defined to run the two-fluids simulation. Some parameters are known (e.g. gravity or topography) or can be estimated from 313 314 field observations, at least within a given range (e.g. density of the PDCs or volume of the 315 dome that collapse). Five parameters are unknown: three rheological parameters that rule the 316 PDCs dynamics, the exchange coefficient from the concentrated to the dilute PDC, and the 317 density of the mixture formed by the fine particles of the concentrated PDC with volcanic or 318 atmospheric gases. These parameters have been estimated by reproducing real emplacements 319 of the 2010 PDCs at Merapi volcano and the 1997 PDCs at Montserrat, and are similar for 320 both fields cases (Kelfoun et al., 2017; Gueugneau et al., 2019). For the present study, we 321 have chosen to use the parameters of the 2010 eruptions of Merapi volcano.

322

323 3. RESULTS

324 The time series of aerial and Pleiades DEMs show the growth of the dome from a small 325 mound at the base of the summit crater in December 2017 to overflowing sometime between 326 April and July 2018 (Fig. 2). Cross sections across the DEMs show the dome maintained a 327 relatively flat surface, consistent with an extruding fluid with a finite yield strength (Fig. 5B), 328 until explosions from January 2019 onwards began to excavate a crater on the top of the 329 dome. Once the dome reached the rim of its host crater, a combination of rock falls and 330 pyroclastic flows appears to have cut a gulley into the volcano's northern flank, which is 331 terminated by a depositional fan. These features are visible as negative and positive height 332 changes in the dDEMs in Fig. 3., respectively. Cross sections of the gulley and fan in the 333 DEMs reveal the progressive incision of the former and growth of the latter from March to 334 June 2019. However, it should be noted that snow is present on the lower flanks of the 335 volcano, so changes in elevation may also partially be a function of changes in snow 336 thickness.



Figure 2 (panel 1 of 2): DEMs of the summit area after helicopter observation flights and Pleiades 1 tri-stereo imaging showing the growth of the lava dome in the active crater. Red dash line shows the location of the profile in Fig. 5B. Reconstruction of the area on the west side of the dome on 11 March 2018 is inaccurate due to obstruction by gases during observation flight, so is the area from the center to the SE of the dome on 08 December 2018.

- 344 Contour lines are drawn every ten meters. Contains information © CNES (2018-2019) and
- 345 Airbus DS (2018-2019), all rights reserved, commercial use prohibited.







349

350 Figure 3: Development of the gulley and fan in 2019. (A)-(D) Hillshade of Pleiades DEMs 351 overlain on dDEM with datum taken as the 2019-01-16 dem for 2019-03-25, 2019-05-08, 352 2019-06-09 and 2019-08-09 respectively. Red colors indicate erosion, blue deposition. 353 Dashed red polygon shows integration area for volume calculations. Cross sections E-E' 354 across the gulley just below the dome and F-F' across the depositional fan are shown in 355 figures (E) and (F). Note the continuous erosion of the gulley in (E) and growth of the fan in (F) across the period. Contains information © CNES (2018-2019) and Airbus DS (2018-356 357 2019), all prohibited. rights commercial reserved, use

358 Dome volumes and their uncertainties are given in Table 1 and shown in Fig. 4. We were able 359 to estimate dome volumes with uncertainties of approximately 4% and 3% for Aerial and 360 Pleiades DEMs, respectively. The dome grew steadily, reaching a maximum of 3.97e5m³ in August of 2019. A small decrease between May and June 2019 is presumably due to 361 362 increasing collapses and explosions. These volumes gave Time Averaged Discharge Rates (TADR) values that fluctuate quite substantially, varying between 1.17e3 and 2.39 e3 m³dav⁻¹ 363 364 in the first 3 months, before declining over the rest of the observation period. Uncertainties in 365 TADR averaged 33% for Aerial dDEMs and 121% for Pleiades dDEMs, although the high 366 latter value is largely a function of low effusion rates during the Pleiades acquisition period. 367 By fitting the GP model we were able to estimate the instantaneous effusion rate, which was found to be 1.73e3 m³ day⁻¹on the 9th January of 2018 before declining to around ~ 1e3 m³ 368 day⁻¹ and remaining roughly constant from early May to July 2018 before declining again 369 370 more rapidly in mid-2019. Effusion rate estimates using the GP model had uncertainties of between 3% and 422%. 371

372

373 Seismic activity also shows a remarkably similar pattern to the dome effusion rate during the 374 observation period (Fig. 4D). The total number of seismic event decreases sharply during the 375 first three to four months before reaching a plateau with fairly constant activity from April 376 2018 to January 2019, followed by another period of decreasing activity from January 2019 to June 2019 and increasing again in July to August 2019. The number of explosions at the 377 378 crater per day (Fig. 4C) also follows the same temporal evolution, averaging 60 to 40 379 explosions per day in the first three months before stabilising around 35 explosions per day 380 from April 2018 to April 2019, dropping below 20 eruptions per day in June 2019 before 381 increasing sharply in July-August 2019. A direct comparison between our modelled mean 382 monthly effusion rate and measured mean monthly numbers of seismic events is shown in 383 Fig. 5. The positive correlation between the modelled effusion rate and the frequency of longperiod earthquakes, explosions and tremors is apparent (with R^2 of 0.9, 0.7 and 0.5 and P-384 values of 10⁻¹⁰, 10⁻⁶ and 10⁻⁴ respectively). Looking in more details at Fig. 5, three different 385 regimes seem to emerge. The first is for effusion rates below $\sim 100 \text{ m}^3/\text{day}$, the number of 386 387 seismic events (long-period earthquakes, explosions, and tremors) is high in comparison with 388 the effusion rate and increases quickly with increasing effusion rate. The second regime, for 389 effusion rates between ~100 and ~900 m^3/day shows no correlation between effusion rate and 390 seismic activity with the number of long-period earthquakes, explosions and tremors 391 remaining fairly constant over this large range of effusion rates. The third regime, for effusion rates between ~900 and ~1700 m^3/day shows again a strong positive correlation between 392 393 effusion rates and numbers of explosions, tremors, and long-period earthquakes but with an 394 increase in seismic event with increasing effusion rate that is slower from the correlation 395 observed in the first regime.

396

397 The net volume of the gulley and fan (volume accumulated in the fan minus volume eroded in the gulley) grew to 5.24e4 m³ from January to June 2019, and then fell slightly to 4.0e4 m³ by 398 399 August, although errors are high and this may simply indicate that rockfalls had ceased. As the integration area was constant, the error in the volume was also constant at 1.20e4 m³, 400 401 however the estimate of the uncertainty is only based on the standard deviation of four 'zero volume' gulley and fan dDEMs, so is itself likely quite uncertain. We measured the net 402 403 volume of the gulley and fan in the hope that the erosion and deposition of older material 404 cancels out leaving the net contribution of fresh volcanic material, however it seems likely 405 that redeposited older material will not compact back down, and so there will be a net positive 406 volume even before new volcanic material comes into consideration. The uncertain 407 contribution of snow to these volumes also makes them suspect. However we include them to

408 show that, in principle, the gulley and fan have a net positive volume that could account for a 409 substantial part of the apparent decline in dome growth in June 2019 - i.e., rather than 410 continuing to contribute to dome growth, it is at plausible that extrusion of further material 411 triggered collapse and diverted material out of the crater instead during this period.

Page 23

412 *Table 1*: Volume, Time Averaged Discharge Rates and Effusion Rates for the dome and gulley DEM timeseries. The uncertainties for a given

- 413 value are given to the last two significant figures using concise notation (i.e. $5.04(13)e+04 = 5.04e4 \pm 0.13e4$). TADR values are given for the
- 414 date at the end of the interval they are measured over. Effusion rates are instantaneous discharge rates predicted by the GP model for the
- 415 specified date. The DEMs used as datums for estimating volumes of the dome and gulley are tinted red and green respectively.

| Date | Туре | Volume m ³ | TADR m ³ day ⁻¹ | Effusion Rate | Gulley volume m ¹ | Gulley TADR |
|------------|--------|-----------------------|---------------------------------------|---------------|------------------------------|-------------|
| | | | | m³day-1 | | m³day-1 |
| | | | | | | |
| 2017-12-21 | Aerial | 0.0(1.0)e+03 | - | - | - | - |
| 2018-01-09 | Aerial | 2.49(17)e+04 | 1.31(10)e+03 | 1.73(11)e+03 | - | - |
| 2018-01-12 | Aerial | 2.98(18)e+04 | 1.61(82)e+03 | 1.72(10)e+03 | - | - |
| 2018-01-16 | Aerial | 3.57(19)e+04 | 1.48(64)e+03 | 1.694(88)e+03 | - | - |
| 2018-01-23 | Aerial | 5.04(23)e+04 | 2.11(43)e+03 | 1.652(71)e+03 | - | - |
| 2018-02-07 | Aerial | 6.86(27)e+04 | 1.21(24)e+03 | 1.552(44)e+03 | - | - |

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| 2018-02-14 | Aerial | 8.53(29)e+04 | 2.39(57)e+03 | 1.502(38)e+03 | - | - |
|------------|----------|---------------|--------------|---------------|--------------|--------------|
| 2018-02-22 | Aerial | 9.79(31)e+04 | 1.58(54)e+03 | 1.444(37)e+03 | - | - |
| 2018-03-11 | Aerial | 1.179(34)e+05 | 1.17(27)e+03 | 1.319(49)e+03 | - | - |
| 2018-04-03 | Aerial | 1.443(39)e+05 | 1.15(22)e+03 | 1.164(71)e+03 | - | - |
| 2018-04-18 | Aerial | 1.540(40)e+05 | 6.5(3.7)e+02 | 1.079(77)e+03 | - | - |
| 2018-07-16 | Aerial | 2.419(62)e+05 | 9.88(83)e+02 | 8.52(76)e+02 | - | - |
| 2018-10-06 | Pleiades | 3.12(10)e+05 | 8.6(1.5)e+02 | 7.14(89)e+02 | - | - |
| 2018-11-07 | Pleiades | 3.240(79)e+05 | 3.6(4.0)e+02 | 6.15(97)e+02 | - | - |
| 2018-12-08 | Pleiades | 3.415(92)e+05 | 5.6(3.9)e+02 | 5.0(1.0)e+02 | - | - |
| 2019-01-16 | Pleiades | 3.62(14)e+05 | 5.2(4.3)e+02 | 3.6(1.0)e+02 | 0.00(0)e+00 | - |
| 2019-03-25 | Pleiades | 3.77(14)e+05 | 2.2(2.9)e+02 | 1.6(1.1)e+02 | 0.2(1.2)e+04 | 0.3(1.8)e+02 |

| Dome grow | th at Nevado | s de Chillán | | Page 25 | | |
|------------|--------------|--------------|---------------|--------------|--------------|---------------|
| 2019-05-08 | Pleiades | 3.85(16)e+05 | 1.8(4.8)e+02 | 1.1(1.3)e+02 | 2.0(1.2)e+04 | 4.1(3.8)e+02 |
| 2019-06-09 | Pleiades | 3.72(15)e+05 | -4.0(6.8)e+02 | 1.0(1.5)e+02 | 5.2(1.2)e+04 | 1.01(53)e+03 |
| 2019-08-09 | Aerial | 3.97(14)e+05 | 4.1(3.4)e+02 | 0.8(3.5)e+02 | 4.0(1.2)e+04 | -2.0(2.8)e+02 |

Dome growth at Nevados de Chillán



419

Figure 4: **A**. growth of dome and gulley-and-fan; red points are dome volumes, green points net volume of gulley and fan, blue tint is posterior distribution over modelled volume (PD) at $\pm 1\sigma$, orange tint is posterior predictive distribution of volume measurements (i.e. incorporating measurement error). **B**. Time Averaged Discharge Rates of dome and gulley-and-fan, as well as modelled dome effusion rate. Width of boxes shows duration of averaging interval and height uncertainty for TADR estimates for dome and gulley-and-fan (red and green, respectively). Blue tint shows posterior distribution of modelled effusion rates. C. Number of explosions (EX), volcano-tectonic (VT), tremor (TR) and long-period

- 425 (LP) seismic events per day recorded by SERNAGEOMIN's seismic network at Nevados de Chillan. Note the broad correspondence between the
- 426 decreasing lava dome effusion rate and total number of seismic events. The increase in seismic event in August 2019 is due to a new extrusion
- 427 phase not discussed here. **D**. Close up of (C) for the first six months of observations showing the close correspondence between number of

| 428 | explosions | per | day | and | number | of | seismic | events. |
|-----|------------|-----|-----|-----|--------|----|---------|---------|
|-----|------------|-----|-----|-----|--------|----|---------|---------|



Figure 5: Mean monthly modelled effusion rate at Nevados de Chillan for the period of January 2018 to July 2019 compared to the measured mean monthly number of explosions (EX), tremor (TR) and long-period (LP) seismic events per day recorded by SERNAGEOMIN's seismic network. Lava effusion rate appears to be positively correlated with all three types of seismic event but most strongly with long-period earthquakes. In detail three different regime seem to emerge for effusion rates below ~100 m³/day, between ~100 and ~900 m³/day and between ~900 and ~1700 m³/day.

437

The predicted time that the foot of the dome would reach the rim is shown on Fig. 7 with the PD and PPD indicating that the dome edge should reach the crater rim sometime in May 2018. Near-real time estimates of the probability of the dome reaching the rim by a given date were produced in April 2018 and updated after each DEM reconstructions. Probability estimates were produced by conditioning on the distance to the rim being equal to zero, and integrating across time, as shown by the curves. This indicated that the dome was likely to have reached the rim by the end of May 2018. Indeed, OVDAS/SERNAGEOMIN cameras then observed the dome at the crater rim on 28 May 2018. No rock fall occurred subsequently until three large explosions on 13 to 15 July 2018 partially destroyed the dome leaving a small crater within the dome and small pyroclastic flows deposits extending 600 m from the vent.

448

449 The results of the pyroclastic flow simulations using VolcFlow are shown in Fig. 8. The maps 450 show the compilation of 10 simulations and indicate the areas that have been affected by at 451 least one simulation. Given the dome location at the time of the calculations (April 2018), our 452 simulations show that PDC generated by dome collapse will always flow towards the north, 453 north-east, away from any populated areas (Fig. 8). In the case of explosions, our simulations 454 suggest that PDC could travel towards the town of Thermas de Chillan, potentially just 455 entering the upper part of the town. The relatively 'flat' topography of the volcano will cause any PDC to disperse and lose velocity rapidly, limiting their extent from the source. By 456 457 comparison, similar simulation performed on Merapi volcano would results in PDC travelling up to 20 km away from the source while at Nevados de Chillán, this simulation results in PDC 458 459 confined within 5 km of the vent.



461 Figure 6: A: Time evolution of the minimum horizontal distance between the foot of the lava 462 dome and the lowest point of the crater rim. Inset show a close up of the 11 March 2018 hill 463 shaded DEM with one-meter elevation contour lines. The blue arrow shows the remaining 464 distance between the lava dome edge and the lowest point of the crater rim. B: Cross section 465 of dome growth through time (21 December 2017 to 9 August 2019). Location of the profile is 466 shown in Fig. 2 (red line). C: Simplified conceptual representation of lava dome growth in a

467 geometry constrained by a conical-shaped crater and used to model the time evolution of the

468 *distance between the dome and crater edge (Fig. 7).*

469



471 **Figure 7**: Time evolution of the minimum horizontal distance between the foot of the lava 472 dome and the lowest point of the crater rim. Blue tint shows the posterior distribution of 473 modelled distance to rim (PD), while orange tint shows posterior predictive distribution of 474 measured distance to rim (i.e. inclusive of measurement error), both at $\pm 1\sigma$. Blue and orange 475 curves show the PD and PPD of the cumulative probability distributions of the dome having 476 reached the rim by a given date (i.e. the cumulative distribution of the PD and PDD of the 477 tinted areas conditioned on the distance equalling zero).



Figure 8: DEM showing the results of numerical simulations of PDC generated by (A) Dome collapse and (B) Explosion. Area in orange shows the extent of the concentrated fraction of the PDC (i.e. the block-and-ash flow), area in yellow shows the extent of the dilute fraction (ash-cloud surge). Each map is the results of 10 simulations considering both short (60 s) and long (600 s) durations of genesis (i.e., high and low mass rates respectively).

4. **DISCUSSION**

487 TADR is a primordial parameter to monitor the evolution of effusive eruptions and yet is not 488 always an easy parameter to determine with sufficient accuracy, precision, or temporal 489 resolution. TADR estimation combined with prior knowledge of a volcano allows hazard 490 assessments based on semi-quantitative event trees (Newhall and Pallister, 2015; Ogburn et 491 al., 2015). Eruption parameters such as extrusion rate and magma composition integrated with 492 (1) a correct statistical analysis of global dome growth episodes database (Newhall and 493 Melson, 1983; Ogburn and Calder, 2012); (2) the recent eruptive record and geological 494 background of the volcano; (3) an adequate interpretation of instrumental and remote sensing monitoring and surface activity; and (4) the use of numerical simulation for modelling 495 496 volcanic processes, allows for a trusted hazard assessment to be reached in complex risk 497 scenarios situations and these were the parameters used by SERNAGEOMIN's frequent 498 reports on the volcanic activity to forecast future possible scenarios 499 (http://sitiohistorico.sernageomin.cl/volcan.php?iId=32). At Nevados de Chillán the 500 complexity stems from the fact that several touristic facilities are exposed to volcanic risks, 501 the high seasonal population is unfamiliar with volcanic hazards, few roads are available in 502 case of evacuation and the eruption period is very long-lived (started in December 2015).

503

The rate of dome growth at Nevados de Chillán is one of the lowest ever reported. Table 2 shows the average growth rate at other well studied lava domes. By comparison, the maximum growth rate at Nevados de Chillán of ~1500 m³/day is about two orders of magnitude slower than most. Comparison with the global dome growth episodes database of Ogburn (2012), reveals that only 12 out of 96 events (12,5%) with calculated time average extrusion rate have comparable values for TADR (<0.1 m³/s). Nevertheless, comparing with historical eruption at Nevados de Chillán, at the same subcomplex, the current eruptive cycle 511 exhibits similar TADR with the last two historical magmatic eruption. The 2008 Sebastian lava flow, a viscous and dacitic lava flow erupted over an 8 month period with a mean TADR 512 513 of 0.075 m³/s and no associated explosive activity (Coppola et al., 2016) and the 1976-1986 514 Arrau eruption with a mean TADR of 0.005 m³/s (Naranjo et al., 1994). As seen from the data 515 in Table 2, there are no correlations between the growth rates and final dome volumes, so the 516 lava dome growth rates are not informative of the final dome volumes. The time evolution of 517 the dome growth rate observed at Nevados de Chillán is broadly similar to the one observed at 518 other volcanoes. During the 2004 – 2005 eruption of Mount St. Helens for instance the dome 519 growth rate dropped from about 9 to 3 m³/s within the first three months (Schilling et al., 520 2008). Similar patterns where observed in the growth rates of the 2009 (Diefenbach et al., 521 2013) and 1989–1990 (Miller, 1994) lava domes at Redoubt volcanoes, 1991 lava dome at 522 Unzen (Kaneko et al., 2002; Nakada et al., 1995) and 1980 lava dome at Mount St. Helens 523 (Fink et al., 1990; Swanson and Holcomb, 1990).

524

525 The 2017-2019 eruptive episode at Nevados de Chillán is a striking example of effusive and 526 explosive activities taking place simultaneous at a silicic volcano. The inception of dome 527 formation and growth at the surface was accompanied by a sharp increase in explosive 528 activity, averaging less than 5 to 10 eruptions per day prior to December 2017 to reach more 529 than a hundred explosions in a day on 09 January 2018. Eruption of silicic volcanoes 530 commonly show transitions from episodes of explosive activity to regimes of dome formation 531 and vice versa (e.g., Fink, 1987; Nguyen et al., 2014). The transition from one style of activity to the other is thought to occur due to changes in magma ascent rate (e.g., Dingwell, 1996; 532 533 Martel and Iacono-Marziano, 2015; Woods and Koyaguchi, 1994) or gas loss through 534 permeable conduit walls (e.g., Eichelberger et al., 1986; Jaupart and Allègre, 1991). Neither of these processes however easily explains how concurrent effusive and explosive activity can 535

be taking place at a single vent. We envision that the conduit at Nevados de Chillán must be filled by a magma penetrated by an extensive fracture network allowing overpressure to be periodically released by ash venting or explosions accompanying magma ascent and dome growth at the surface (Fig. 9). Both processes are operating at different timescales but appear to be strongly positively correlated; periods of heightened explosion frequency corresponding to the periods of heightened dome growth rate and vice versa.

542

543 The strong first-order positive correlation between effusion rate and the frequency of 544 explosions, long-period earthquakes and tremors (Fig. 5) suggests (1) that these metrics all 545 tract the same physical process, presumably reflecting variations in overpressure of the 546 magmatic system and (2) that one metric may be used to estimate another. As shown in this 547 study and previous ones, measuring lava effusion rate in real time is not an easy endeavour for 548 any volcano observatory. The strong correlation observed especially with the frequency of 549 long-period events ($R^2=0.9$) suggests that LP event frequency might be used as a simple way 550 of estimating effusion rate in real time as the eruption continues. A similar observation was 551 made after the 2018 Kīlauea eruption were a positive correlation ($R^2=0.6$) between effusion 552 rate and real-time seismic amplitude was observed (Patrick et al., 2019). In details however, 553 the relationship between effusion rate and seismic activity at Nevados de Chillán is not a 554 simple and continuous one and three regime can be distinguished showing strong increase in seismic events with increasing effusion rate at low effusion rates ($\leq 100 \text{ m}^3/\text{day}$), no 555 556 noticeable changes in seismic activity for effusion rates between ~ 100 and ~ 900 m³/day, and 557 again an increase in the number of seismic events with effusion rate at higher effusion rates 558 (~900 to ~1700 m³/day) but with a different slope from the first regime (Fig. 5). Following the 559 observation period reported in this manuscript the effusion rate increased again with a lava 560 flow originating from the lava dome flowing down the northern flank. Then again, a strong 561 positive correlation between effusion rate and the number of explosions, tremors and longperiod events was noticed but again with a different slope. The question we are left with is 562 563 what physical process are these different regimes a reflection of? Regime 3, with effusion 564 rates between ~900 to ~1700 m^3/day , corresponds to the initiation of dome growth and 565 includes the months from January to May 2018 (Fig. 4), regime 2 with effusion rates between 566 ~100 to ~900 m³/day correspond to the period from June 2018 to April 2019 when effusion 567 rate was decreasing very slowly (Fig. 4) with seismic activity remaining constant while 568 regime 1 corresponds to the months of May 2019 to July 2019 when the effusion rate 569 decreased faster and the number seismic events also dropped (Fig. 4). As the eruption continues and variations in effusion rates are recorded, we will be able to determine if these 570 571 regimes are replicated or if a strong hysteresis emerges.

572

573 The disconnect in terms of ascent processes between the gas phase (sporadically escaping via 574 a fracture network) and the magma might partly explain the extremely slow dome growth rate, 575 much of the overpressure being accommodated by the gas escape leaving little driving force 576 for the magma to ascend. Assuming a conduit radius of 5 meters, our dome growth rates can 577 be translated to ascent rate at the top of the conduit (base of the dome) ranging from 20 meters 578 per day in early 2018 to 9 meters per day in June 2019 (corresponding to decompression rates 579 in the order of 10e-6 Mpa/s). Considering a smaller conduit would lead to higher ascent rate 580 estimates (up to ~130 m/day for a 2 m radius conduit) which would remain much slower than 581 most other well studied volcanic eruptions (see Fig. 9 in Shea, 2017, Fig. 5 in Cassidy et al., 582 2018 and Fig. 13 in Moussallam et al., 2019 for compilations of ascent rates estimates).

583

Historical activity records at Nevados de Chillán include eruptive cycles with durations of
years to decade (Naranjo et al., 1994). This long-lived eruptive behaviour has been seen at

586 other volcanoes with lava dome eruption such as Popocatépetl (Mendoza-Rosas et al., 2017) 587 (also see global compilation by Wolpert et al., 2016). In addition, Holocene activity at Volcán 588 Viejo (Las Termas Subcomplex) includes Vulcanian and sub Plinian episodes with 4 fall 589 pumice and at least 3 pyroclastic density currents deposits recognized (Dixon et al., 1999). 590 The wide range of past eruptive styles at Nevados de Chillan hence suggest that our two 591 "worst-case" scenarios modelled here to map the maximum extent of PDC are realistic 592 scenarios. The ongoing mostly effusive eruption could be but a stage in a bigger cycle, with 593 much more explosive events remaining a future possibility. Monitoring the TADR and 594 seismic activity at Nevados de Chillán in near-real time hence remain a priority to forecast 595 and prepare for future activity. Further petrological studies would also help in this endeavour.



598 Figure 9: Schematic depiction of the magmatic conduit at Nevados de Chillán, perforated by 599 an extensive fracture network allowing the release of overpressure through frequent gas-600 venting and explosions. Upper photographs illustrating these three configurations observed 601 at Nevados de Chillán. Note that explosion frequency and magma ascent rate seem to be 602 positively correlated suggesting that the two processes respond to the same driving forces yet 603 operating at different timescale. Gas escape through the fracture network might 604 accommodates a large part of the system overpressure, leaving the magma to rise extremely 605 slowly.

607 *Table 2*: Growth rates of lava domes, data are from the compilation of Yokoyama (2005) with

additional data from *Miller, (1994), **Diefenbach et al., (2013), and ***Schilling et al.,
(2008).

| Volcano | Year | Final lava dome | Average growth |
|-----------------------|-----------|------------------------------|----------------|
| | | volume (x10 ⁷ m³) | rate (m³/day) |
| Soufrière St. Vincent | 1979 | 3.5 | 5.37E+05 |
| Colima | 1998 | 0.04 | 3.80E+05 |
| Lamington | 1951 | 6 | 1.58E+06 |
| Redoubt | 1987 | 6.8 | 2.00E+05 |
| Redoubt* | 1989-1990 | 8.8 | 5.01E+05 |
| Redoubt** | 2009 | 7.2 | 7.94E+05 |
| Soufrière Hills | 1996 | 6.8 | 2.00E+05 |
| Turumai | 1909 | 1.5 | 3.80E+06 |
| Bezymianny | 1956 | 4.2 | 1.41E+06 |
| Shiveluch | 1980 | 1 | 1.86E+05 |
| Pelée | 1902 | 3.7 | 3.16E+05 |
| Mt. St. Helens | 1980 | 1 | 8.32E+05 |
| Mt. St. Helens*** | 2004-2005 | 7.3 | 1.58E+05 |
| Santiaguito | 1922 | 20 | 1.58E+05 |
| Popocatépetl | 1996 | 1.1 | 1.82E+05 |
| Unzen | 1992 | 5 | 5.01E+05 |
| Usu | 1944 | 4.4 | 1.12E+05 |
| Novarupta | 1912 | 0.5 | 2.51E+04 |

610

611 **5. CONCLUSIONS**

612 We have used aerial photogrammetry and Pleiades-1 tri-stereo imagery to produce ultra-highresolution DEMs tracking the growth of a lava dome at the Nevados de Chillán volcanic 613 614 complex. We found that (i) The dome growth started as fairly stable over the first three 615 months before decreasing over time (ii) The growth rate is orders of magnitude slower than 616 most other lava domes (iii) Prediction of the time at which the lava dome should reach the 617 crater rim proved accurate (iv) Modelling of dome-collapse-generated PDC showed no direct 618 threat to populated areas but PDC generated by large explosions could travel towards 619 populated area (v) The coexistence and simultaneity of effusive and explosive activity is 620 uncommon and may contribute to explains the resulting exceptionally slow ascent rate (vi) 621 Positive correlation between dome growth rate, eruption frequency and seismic activity 622 suggest that they all respond to the same driving force and that the frequency of LP event 623 could be used as a proxy of effusion rate for operational monitoring.

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