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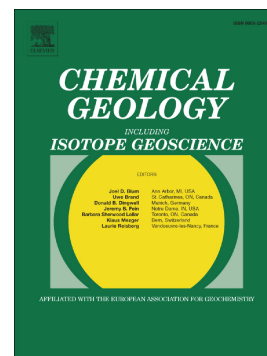
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**Silicate melt inclusions in the new millennium:** A review of recommended practices for preparation, analysis, and data presentation

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## **Abstract**

Mineral-hosted melt inclusions have become an important source of information on magmatic processes. As the number of melt inclusion studies increases, so does the need to establish recommended practice guidelines for collecting and reporting melt inclusion data. These guidelines are intended to ensure certain quality criteria are met and to achieve consistency among published melt inclusion data in order to maximize their utility in the future. Indeed, with the improvement of analytical techniques, new processes affecting melt inclusions are identified. It is thus critical to be able to reprocess any previously published data, such that reporting the raw data is one of the first “recommended practices” for authors and a publication-criteria that reviewers should be sensitive to. Our guidelines start with melt inclusion selection, which is a critical first step, and then continue on to melt inclusion preparation and analysis, covering the entire field of methods applicable to melt inclusions.

## **Dedication**

In March of 2000, a melt inclusion workshop was held at the Chateau de Sassenage in Grenoble and a companion issue of *Chemical Geology* entitled “Melt Inclusions at the Millennium” was published. Erik Hauri was heavily involved with the meeting and contributed two landmark papers to the topical issue of *Chemical Geology* on the use of secondary ion mass spectrometry to analyze volatiles in melt inclusions. When the melt inclusion community re-convened at Woods Hole Oceanographic Institution in August of 2018, we were saddened that Erik was unable to join us due to his failing health. Less than a month later came the devastating news of his passing at only 52 years of age. In recognition of his incredible contributions to science in general and to the *in situ* analysis of melt inclusions in particular, the participants and organizer of the WHOI melt inclusion workshop dedicate this collegial paper to Erik Hauri, our colleague, mentor and friend. Thank you Erik.

## Introduction

Melt inclusions (MI) have long been recognized as unique petrologic indicators, starting with the classic descriptions of melt inclusions by Sorby in 1858. These small pockets of silicate, carbonate, sulfide and other types of melts entrapped within growing mineral grains have been used to study a wide range of topics, including mantle melting and sources (e.g. Saal et al., 1998; Hauri et al., 2006), pre-eruptive magmatic volatile budgets (e.g. Kovalenko et al., 2006; Hauri et al., 2011), volatile cycles in the mantle (e.g. Cabral et al., 2014), reconstruction of magma degassing (e.g. Dixon et al., 1995; Métrich and Wallace, 2008; Witham et al., 2012), the oxidation state of the upper mantle (e.g. Rowe et al., 2007; Moussallam et al., 2014; Hartley et al., 2017), the metal contents of ore-forming magmas (e.g. Zajacz and Halter, 2009) and partitioning behavior of elements and chemical species between minerals, melts and fluids (e.g. Zajacz et al., 2008). The information recorded by melt inclusions is unique because entrapped melts are physically isolated from most interactions with the external environment and preserved for later examination. The study of melt inclusions involves careful sample selection and

preparation, application of state-of-the-art analytical methods, and meticulous attention to potential artifacts related to post-entrapment processes. As the melt inclusion community has grown and access to *in situ* analytical techniques expanded, the number of publications using melt inclusion data has dramatically increased. The numbers speak for themselves: in 1990 there were about 10 publications on melt inclusions, which grew to 60–100 research papers in 2000, to more than 300 publications in 2017–2018 (source: Science Direct, using keywords search for “melt inclusion” in the abstract or title. We note that ISI referencing might not have accounted properly for the abundant untranslated foreign literature on the subject, but our point on the increase of publication remains). It is therefore important to periodically assess the state of the field. In August of 2018, a melt inclusion workshop – attended by 74 researchers from 14 countries – was convened at Woods Hole Oceanographic Institution (WHOI) with this goal in mind.

Much of the collective knowledge amassed by the melt inclusion community is passed along from one lab to another *viva voce*, making it difficult for a motivated novice to know where to start. One outcome of the WHOI melt inclusion workshop was a set of recommended practice guidelines for collecting and reporting melt inclusion data. They are appropriate for silicate melt inclusion compositions and mainly applicable to olivine host-mineral phases, but *in situ* analytical methods can be adapted to other host minerals. These guidelines are presented here as a resource for the broader melt inclusion community.

Several reviews dedicated to melt inclusions have been published and deal with sample preparation, composition corrections, and *in situ* analytical techniques (e.g. Lowenstern 1995, 2003; Frezzotti, 2001; Schiano, 2003; Webster and Thomas, 2006 for felsic plutons; Kent, 2008; Cannatelli et al., 2016). Here we often refer to these reviews and also build on them by extending the discussion to the use of analytical techniques of recent application to the study of glass inclusions (e.g. 3D XRT, XANES). We provide a detailed treatment of the problems encountered in data analysis and interpretation, accompanied by a summary of the recommended practices for the study of inclusions. The paper is divided into three complementary sections. The first section discusses protocols for choosing appropriate melt inclusions for study, documenting their textural characteristics. The second section describes recommended practices for commonly used



analytical techniques. The last section discusses the compositional corrections that can be made to account for post-entrapment modifications and to the presence of a bubble. We summarize the corrections that can be made to analytical data to account for those modifications, as needed.

One objective of this paper is to provide the scientific melt inclusion community with a resource documenting how to collect and process high-quality melt inclusion data and to suggest guidelines for the type of data that should be reported and included in publications. Adherence to these guidelines will bring some uniformity to characterizing melt inclusions and reporting those characteristics, making the data much more useful for application to future studies. As you read through the text, it is instructive to remember the words used by Henry Clifton Sorby to close his 1857 address before the Geological Society of London: *“I argue that there is no necessary connexion between the size of an object and the value of a fact, and that, though the objects I have described are minute, the conclusions to be derived from the facts are great.”*

## **1. Melt inclusion selection and correcting for post-entrapment processes**

### *1.1. Choice of melt inclusions*

What is the pre-eruptive magmatic volatile budget of a volcano? What is the nature of the mantle source region for mafic magmas from different tectonic and geodynamic environments? How much water can be lost or gained by melt inclusions through post-entrapment diffusive processes? What is the cooling history of a crystal? What was the metal content of the ore-forming melt? How are elements partitioned between melts, minerals and fluids? These are examples of questions that can be addressed using data from melt inclusions.

The first step in any melt inclusion study is to identify the problem or question that is being addressed. This information is required to select the appropriate melt inclusions for study, and to identify the various steps one should follow to obtain the highest quality and most detailed information from the melt inclusions. Filtering out the cracked melt

inclusions (which can occur during eruption or during laboratory re-heating) or not fully enclosed melt inclusions is the second step to melt inclusion selection. If, *a priori*, this is difficult to do, then an *a posteriori* criterion for distinguishing such inclusions is that they typically have very low volatile contents (e.g. Nielsen et al., 1998) and, in the case of cracked (decrepitated) melt inclusions, a relatively large vapor bubble. In many cases, especially with slowly cooled lava flow samples, some or all of the melt inclusions may be crystallized to various extents, and this, in turn, determines the steps required to prepare the inclusions for analysis. Thus, rapidly quenched, glassy melt inclusions such as those that can be found in tephra samples, can be exposed and analyzed directly, whereas crystallized melt inclusions may require heating and homogenization before exposure. If the goal is to determine the pre-eruptive volatile content of the melt, a homogeneous (glassy) melt inclusion is required, and naturally quenched inclusions are preferred, because they will reduce the extent to which rapid diffusion of hydrogen through the host mineral may have reduced the melt inclusion H<sub>2</sub>O concentration. Conversely, if the goal is determining the metal (Cu, Au, Zn, etc.) content of the melt associated with ore formation, crystallized MI can be analyzed as is, using LA-ICP-MS to determine the metal content.

The nature of the melt inclusions in any given sample is a function of the history of trapping and later evolution of the host rock, and can produce MI that range from being totally homogenous and glassy to those that are completely crystalline. Student and Bodnar (1999), for example, discuss the various processes that determine the phase state of MI “as found”. Both types of melt inclusions (glassy and crystalline; photos. Fig. 1A and C, respectively) are potentially useful, depending on the question or problem being addressed, and glassy melt inclusions are not always “better” than crystalline melt inclusions.

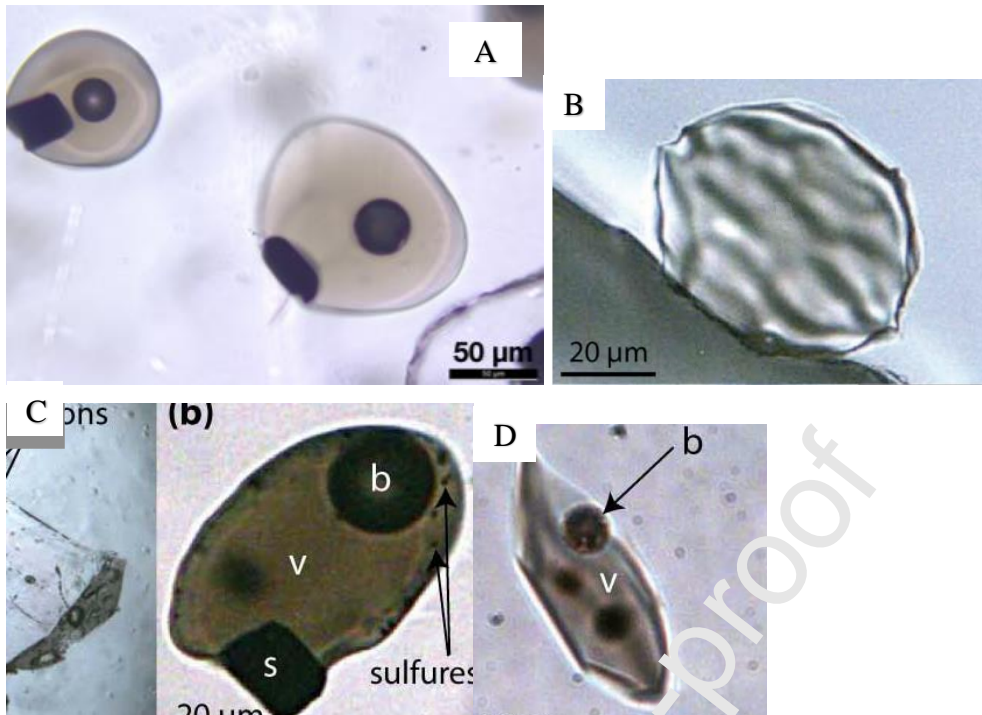


Fig. 1: (A) photomicrograph of two glassy olivine-hosted melt inclusions from the Ambae volcano. Inside both inclusions, we can see the very circular bubble and the angular spinel grains. (B) Sometimes the melt adopts the faceted crystal shape of the host mineral giving this Sommata melt inclusion this “raisin” aspect. (C) Backscattered electron image of a crystallized inclusions from Mount Shasta, with microcrystals (m), several small bubbles (b) and matrix glass. (D) picture in transmitted light of a devitrified melt inclusion from Pan de Azucar volcano (pictures B, C and D from Le Voyer, PhD 2009).

Glassy melt inclusions with no bubble are not necessarily less affected by post-entrapment crystallization compared to crystallized melt inclusions. It may just be less obvious, and one may still need to correct for the compositional effects of post-entrapment modifications (see section 3). There is not one single procedure that is applicable to all melt inclusions, or to all questions being addressed. Rather a variety of approaches can and should be applied, depending on the nature of the melt inclusions and the question you want to address.

Because melt inclusions often experience post-entrapment modifications, it is critical to assess whether the MI to be studied have recorded and preserved the original conditions at trapping, and this can be addressed through the study of melt inclusion assemblages (MIA). The concept of MIA is based solely on petrographic observations and echoes the definition for fluid inclusion assemblages that is, groups of melt inclusions that were all

trapped at the same time (e.g. Sobolev and Kostyuk, 1975; Roedder, 1979; Bodnar and Student, 2006; Cannatelli et al. 2016). A MIA is often identified as a group of melt inclusions that occur within a three-dimensional grouping within a phenocryst, or are distributed along either growth surfaces or healed fractures. Excellent examples of MIAs are shown in Bodnar and Student, 2006, (Figs. 1-5 and 1-15) and Esposito et al., 2014, (Figs. 3 and 4). Tools such as cathodoluminescence (CL) zoning in quartz (Peppard et al., 2001) or phosphorous (P) zoning in olivine obtain by electron probe or nanoSIMS (e.g. Milman-Barris et al., 2008; Welsch et al., 2014; Shea et al., 2019; Manzini et al., 2017b) can be combined with petrographic observations to help identify MIAs. Melt inclusions are usually best investigated in polished thin or thick sections. Similar chemical composition of all of the melt inclusions in an assemblage indicates that the melt inclusions all trapped a single homogeneous phase (melt) that has not been modified after trapping or that all of the melt inclusions in the MIA experienced the same extent of modification.

### *1.2. Textural features, imaging of melt inclusions and orientation of the crystal*

Melt inclusion textures (shapes, sizes, and distributions within crystals) are often overlooked in favor of compositional data, yet they can hold information on magmatic processes, conditions, and timescales. For example, several studies have noted that melt inclusion morphologies vary from irregularly-shaped to ellipsoidal to faceted (negative crystal shape giving the inclusion a “raisin-like” aspect; Fig. 1B; e.g., Chaigneau et al. 1980, Beddoe-Stephens et al. 1988, Manley 1996, Anderson et al. 2000, Gualda et al. 2012) and a geospeedometer based on faceting of quartz-hosted melt inclusions was recently proposed, which can be used to assess quartz crystallization timescales and growth rates (Gualda et al. 2012; Pamukcu et al. 2013, 2015, 2020). Combining such information from melt inclusion textures with melt inclusion and/or host crystal compositions can be an especially powerful approach to understanding magmatic systems.

Given the small size and three-dimensional (3D) nature of melt inclusions, high-resolution 3D methods are necessary for accurate quantification of their sizes and shapes

(Richard et al., 2018). The spatial distribution and context of melt inclusions within crystals also has implications for interpreting 2D images and compositional data (Créon et al., 2018). In particular, an unexposed melt inclusion hidden below the crystal surface may affect CL intensities and backscattered electron signals, as well as compositional analyses from electron and scanning electron microprobes, depending on the excitation volume for a given set of beam conditions.

Traditionally, optical microscopy has been the primary method for describing and documenting melt inclusion textures, yielding 2D information. For example, melt inclusion size and position inside the grain should be reported (see Supplementary Table S1 for templates). As an example of why this is important, larger inclusions located in the interiors of large olivine host-crystals are less susceptible to F<sub>2</sub> diffusive loss (e.g. Qin et al., 1992; Chen et al., 2011; Gaetani et al., 2012), therefore their H<sub>2</sub>O contents are more likely to represent the pre-eruptive H<sub>2</sub>O content. Another important consideration is that the lack of correlation between bubble size and melt inclusion size in MIAs may indicate heterogeneous entrapment of vapor in some of the melt inclusions (e.g. Sobolev and Kostyuk, 1975; Roedder, 1979; Steele-MacInnis et al., 2017).

Increased accessibility of 3D X-ray tomography (XRT) and the development of new methods for imaging materials with XRT allows for rapid (minutes to hours), non-destructive, high-resolution ( $\leq 1 \mu\text{m}/\text{voxel}$ ) 3D imaging of crystals and their melt inclusions (e.g. Richard et al., 2018). This has significantly increased the accuracy and precision of quantitative measurements of melt inclusion textures; however, the approach is not without its shortcomings, and the following points should be considered when deciding to use 3D XRT for studies of melt inclusion in crystals:

(i) Low density contrast between melt inclusion and most mineral host phases (e.g., rhyolitic melt inclusions in quartz) precludes the use of standard XRT approaches and grayscale filtering for image processing. Under these circumstances, propagation phase-contrast XRT can instead be used to enhance object edges, and edge-detection algorithms can be used for image processing (see Pamukcu et al., 2013). However, this approach is most effective on synchrotron-based XRT systems, where the sample-to-detector distance can be changed significantly.

(ii) Imaging with synchrotron-based XRT has the advantage that analyses are relatively quick (~15–30 minutes) and the systems typically do not charge user fees (except in cases of proprietary research). However, users generally have to submit research proposals, and obtaining time on these systems can be competitive. Consequently, access and data collection are limited by proposal acceptance, time allotted, and – in some cases – cost. In addition, for these setups, crystals are typically imaged individually (depending on their size). In comparison, access and costs for desktop XRT systems are lab-specific, and analyses can take much longer (up to 12+ hours), although multiple crystals can often be scanned at once. In both cases, substantial time may be required for image processing (up to hours for individual melt inclusions). Large datasets (up to tens of GB) may require significant computing resources (i.e., large number of CPU cores and memory). Future developments in image processing methods may substantially reduce the time and computing power required for this step.

Precise imaging of crystals is also valuable for assessing potential H diffusive loss from melt inclusions, in order to make the best estimates of actual magmatic H<sub>2</sub>O values at the time of trapping. Any elemental diffusion work requires careful crystal orientation and good practices are reviewed in detail in Shea et al. (2015). With H diffusion being so anisotropic in most mineral hosts, it is important that melt inclusions should be sectioned with attention to crystallographic orientation, ideally in oriented crystals. The H diffusivity parallel to a particular crystallographic direction is faster than you find in bulk studies (Ferriss et al., 2013; Barth et al., 2019) from the dehydration-hydration experiments on unoriented olivines that contain melt inclusions (e.g. Portnyagin et al., 2008; Gaetani et al., 2012, 2014; Chen et al., 2011, 2013). Recently published experimental results provide new volume diffusivities for the highly anisotropic diffusion that can lead to large errors in interpretation, specifically for olivine-hosted melt inclusions close to a crystal edge (Barth et al., 2019; Barth and Plank, 2021).

*Recommended practices:*

The first step in a melt inclusion study is to conduct a detailed petrographic examination to determine the range in sizes, shapes, textures and distribution of MI within host phenocrysts. An example of presentation is given in a template table (Table S1) with the

size of the inclusion, the size of a vapor bubble, indication of the presence of daughter minerals or quench crystallization, and the shortest distance to the rim of the crystal, (when available the shortest distance to the edge of the crystal along the *a* axis), should be documented and reported in the supplementary material (see template Table S1). This information is critical to selecting MI that are related to the geologic question or problem being addressed, and to identify MI that may have been modified following trapping. Conventional petrographic examination of the MI may be sufficient in many cases, or may be supplemented by cathodoluminescence (CL) imaging of MI in quartz (e.g., Peppard et al., 2001), major and minor element mapping by electron microprobe, phosphorous zoning in olivine (e.g., Welsch et al., 2014; Manzini et al., 2017b), or 3D propagation phase-contrast XRT, to better constrain the physical characteristics of the MI and their relationship to host-crystal growth. Subsequent analyses of these crystals and melt inclusions, and interpretation of results, should take melt inclusion textures into account. While precise textural analysis requires considerable effort, the extent of detailed imaging is also dependent on the question being addressed, so microscope imaging might be sufficient. We recommend reporting imaging conditions, image resolution, and any image processing approaches used on 3D datasets. During image processing, be careful not to overwrite the original data.

### *1.3. Rehomogenization*

Determination of the chemical compositions of melt inclusions (major, trace, and volatile elements) is essential for using melt inclusions to interpret magmatic processes. For partially or fully crystalline melt inclusions, rehomogenization experiments requires heating to re-melt crystals in the inclusion, followed by rapid cooling to quench the melt to glass (fundamentals of the method are described in Sobolev and Kostyuk 1975; Roedder, 1979, 1984; Danyushevsky et al., 2002; Schiano, 2003). Rehomogenization experiments can be performed in three ways: in a microscope heating stage (e.g. Sobolev et al., 1980), in a 1-atm furnace (e.g. Sinton et al., 1993), and heating the melt inclusions in an internally heated pressure vessel (e.g. Mironov et al., 2015). The first two procedures were compared using quartz-hosted inclusions and have been extensively discussed elsewhere (Student and Bodnar, 1999). They concluded that the temperature of rehomogenization is independent of inclusion size when the procedure is performed in a 1-atm furnace with a small heating rate (10 °C per minute), and the temperature of rehomogenization corresponded to the known temperature of formation. In contrast, in a

microscope heating stage, the temperature of rehomogenization is higher than the formation temperature and a positive correlation exists between the size of the quartz-hosted melt inclusions and the heating rates. Student and Bodnar's (1999) advice for quartz-hosted melt inclusions was to use the data of the smallest melt inclusions that were rehomogenized with slow heating rates ( $<1$  °C/min). The 1-atm furnace procedure can be wasteful of material since rehomogenization temperature estimates are done after the experiments, requiring several initial test-runs, but larger crystals ( $>2$ mm) with potentially larger inclusions (up to  $500\mu\text{m}$ ) can be studied (e.g. Nielsen et al., 1998). As for the microscope heating stage the method is more time consuming because crystals are individually heated in the stage, but the visual inspection during heating allows the disappearance of the last daughter crystal to be observed, thus yielding an accurate rehomogenization temperature (also called homogenization temperature;  $T_h$ ). In the case of olivine-hosted melt inclusions, the positive correlation between temperature of homogenization and the forsterite content of the host olivine observed in basaltic rocks (e.g. Sobolev and Nikogosian, 1994), can be a method to check the reliability of homogenization temperatures.

While the goal of heating experiments is not usually to determine the entrapment temperature, the entrapment temperature can be determined if the melt inclusions are reheated under a confining pressure that is equivalent to the trapping pressure (see discussion in Student and Bodnar, 2004; Cannatelli et al., 2016). In a 1-atm furnace or in a microscope heating-stage, temperature of homogenization ( $T_h$ ) of melt inclusions systematically increase with time during successive heating experiments, regardless of their major element composition and their  $\text{H}_2\text{O}$  content, likely due to deformation of the inclusion in response to the pressure gradient between the inclusion and the exterior of the host olivine (e.g. Sobolev and Danyushevsky, 1994; Massare et al., 2002; Tison, 2006; Schiavi et al, 2016).

Rehomogenization of melt inclusions affect the original trapped composition of the fast diffusing elements: care must be taken to assess the extent to which the heating procedure may have affected volatile concentrations, especially  $\text{H}_2\text{O}$ , in the melt inclusions. For example, diffusive exchange is strongly temperature-dependent, with experimental



studies showing that complete diffusive exchange of H<sub>2</sub>O between a melt inclusion and the external melt surrounding a 1-mm-diameter host olivine occurs within 2 days at 1250°C (Gaetani et al., 2012), whereas even after 2 days complete equilibrium will not be attained at 1140 °C (Portnyagin et al., 2008) and no reequilibration occurs over 2 days at 1100 °C (Bucholz et al., 2013). Careful homogenization procedures are required to minimize H<sub>2</sub>O loss (e.g. Chen et al., 2011; <10 min above 1200 °C for a 20- $\mu$ m-radius melt inclusion in a 300- $\mu$ m-diameter olivine will not produce significant H<sub>2</sub>O loss). Due to the strong anisotropy of H<sup>+</sup> diffusion in olivine, water loss from melt inclusions is dominated by diffusion along the crystallographic “a” axis of the olivine (the fast diffusion direction; Barth et al., 2019; Barth and Plank, 2021). H<sub>2</sub>O loss during homogenization is much slower in quartz because of the lower temperatures of rhyolitic magmas; Severs et al. (2007) documented insignificant H<sub>2</sub>O loss after 12 hours of heating at the inferred trapping temperature of 800 °C at a confining pressure of 1 kbar, but significant loss did occur over days to a week.

It is now established that one conclusive test for proton diffusion during homogenization is to confirm that there is no negative correlation between H<sub>2</sub>O content and the D/H isotope ratio. Gaetani et al. (2012) and Bucholz et al. (2013) assess the consequence of diffusive re-equilibration using the MATLAB script given in Bucholz Appendix B. Other volatile elements like Cl and F are not affected by diffusive re-equilibration during homogenization (Bucholz et al., 2013), but it is not clear yet if S is sensitive to diffusion through the olivine host.

Another post-entrapment effect that can be investigated using homogenization experiments is the transfer of low-solubility CO<sub>2</sub> to a bubble. Homogenization experiments in a heating stage (Wallace et al., 2015), a 1-atm furnace (Tuohy et al., 2016), an internally heated pressure vessel (Mironov et al., 2015), and a piston-cylinder apparatus (Rasmussen et al., 2020) have all been successful in redissolving CO<sub>2</sub> in bubbles back into the melt (see also Moore et al., 2018). Transfer of CO<sub>2</sub> from the melt into vapor bubbles is discussed in more detail in the last section of this article.

*Recommended practice:*

Fundamental historical references for experimental homogenization exist describing in detail the method and the pitfalls of the procedure (Roedder, 1979, 1984; Student and Bodnar, 1999; and review by Lowenstern 1995) and for the different heating method that can be used (e.g. Schiano, 2003; Chen et al., 2011). If possible, visual inspection during homogenization of inclusions is recommended, requiring the use of a heating stage. Ideally one should do initial tests of heating procedures on a few melt inclusions to determine the homogenization temperatures of the MI before adopting a specific protocol for a given sample. If sample material is abundant, and a bit can be sacrificed, the 1-atm furnace heating method is equally effective, and is often more readily available in laboratories. One of the rare studies comparing the two methods reports similar results with both (on Koolau, Hawaii, melt inclusions; Norman et al., 2002).

Diffusive H<sub>2</sub>O loss can occur during magma ascent in nature and during heating in the laboratory. The best evidence that melt inclusions have experienced loss of H<sub>2</sub>O (or other volatiles) is if the melt inclusions within a melt inclusion assemblage (assuming that all inclusions were trapped under the same conditions) show variable concentrations, as any type of modification, including H<sub>2</sub>O loss, depends on inclusion size, shape, location within a crystal, and other factors. Diffusive H<sub>2</sub>O loss may be identified through a negative correlation between H<sub>2</sub>O and D/H. If you do not have access to a SIMS for D/H measurements, then a positive correlation between melt inclusion diameter and H<sub>2</sub>O concentration is a sign that diffusive loss of hydrogen has occurred. If diffusive loss occurred during ascent, the largest, most H<sub>2</sub>O-rich inclusions provide the closest estimate for pre-eruptive H<sub>2</sub>O concentration in the melt, though even the highest value may be lower than the original magmatic values.

## 2. Melt inclusion analysis

We hereafter summarize the different analytical techniques that can be used to characterize melt inclusion (Fig. 2). They are reported as a function of their destructive impact on the integrity of the melt inclusion and should they all be needed, they should be performed in the order described. The durations of sample preparation and measurements times associated with each analytical technique are highly variable, reflecting the complexity, pitfalls, and/or availability of certain instruments.

Some recommended practices are independent of analytical methods and common to all of them. An obvious example is to include a photomicrograph of each MI in supplementary data. The photomicrograph should show the location of each analysis within the MI and the surrounding host phase, or provide a labeled post-analysis

photomicrograph showing the spots, such as laser ablation pits. Also, using the same identifier on the photo and in the data tables allows easy comparisons.

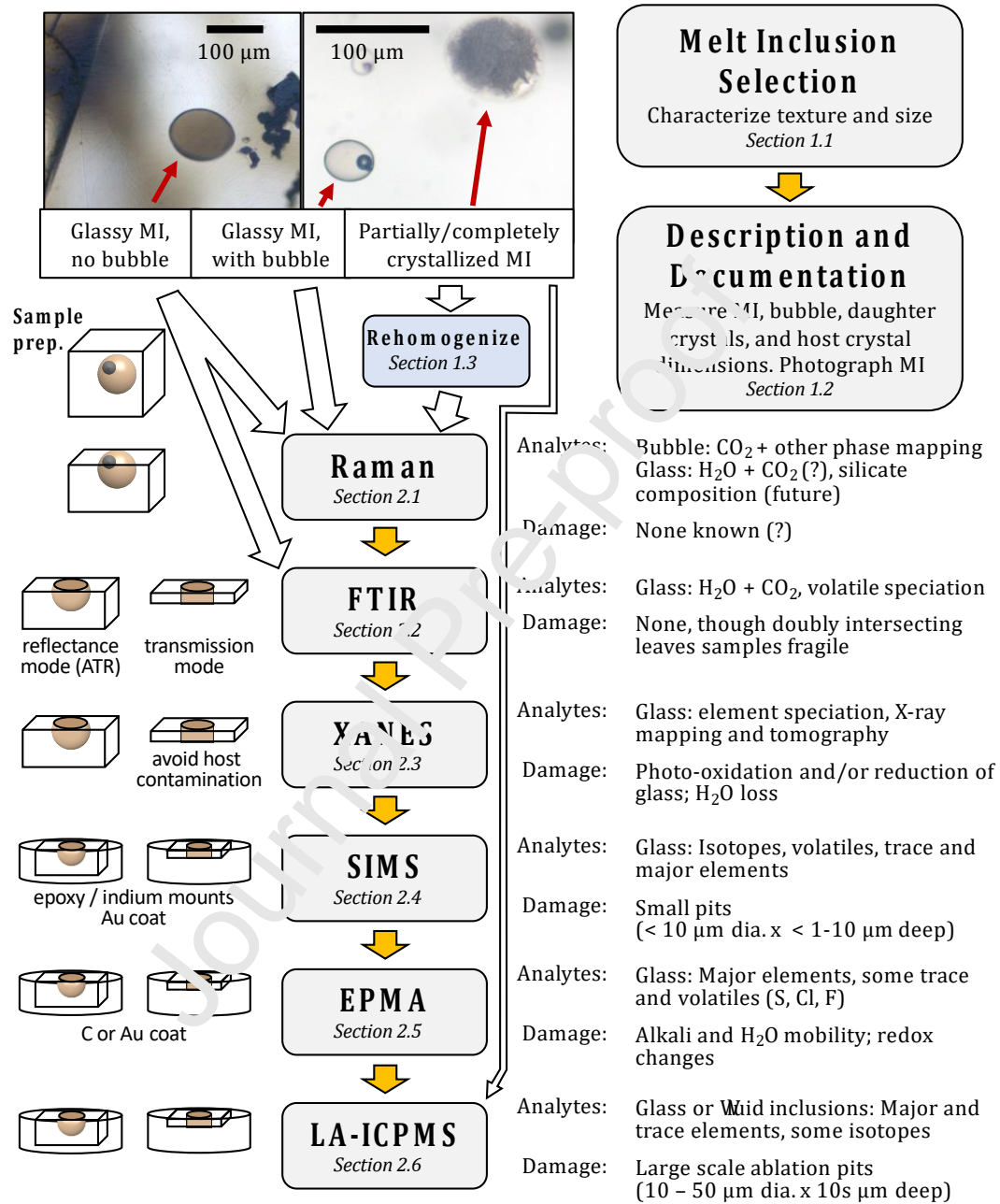


Fig. 2: Idealized flow-chart for melt inclusion (MI) sample analyses given sample preparation and analysis-induced damage considerations (Lerner, 2020). Depending on the intended research, particular steps may be skipped. Samples can be re-polished to remove upper surfaces that were damaged by various techniques (EPMA, SIMS, LA-ICPMS). See text for references and for more details on preparation and recommended practices for MI sample selection, MI homogenization, each for analytical technique.

Melt inclusions in inset photos are from Kīlauea Volcano's 2018 Lower East Rift Zone eruption.

### *2.1. Raman spectrometry*

Raman spectrometry is a non-destructive analytical technique that has been applied to both fluid and melt inclusions for well over 50 years. Indeed, motivation for development of the Raman spectrometric technique was driven in part by the need for an analytical method that could be applied to the tiny fluid and melt inclusions contained in natural samples (Delhaye and Dhamelin court, 1975; Rosasco and Roedder, 1979; Dhamelin court et al., 1979; Rosasco et al., 1975). Modern Raman systems allow for the rapid analysis of any form of matter (solid, liquid or vapor) that contains covalent bonds and is Raman active, with approximately 1  $\mu\text{m}$  spatial resolution. The time required to complete a single analysis varies greatly as a function of the scattering efficiency of the species being analyzed, the concentration of the species in the analytical volume, background noise from the sample, including fluorescence, and interference from other species that show Raman bands that overlap with those of the species of interest. For more details on the Raman technique in general, and its application to fluid and melt inclusions, the reader is referred to Burke (2001), Frezzotti et al. (2012), Thomas and Davidson (2012) and Bodnar and Frezzotti (2020). Raman spectrometry has been applied to melt inclusions in three general areas: (1) to determine the volatile contents of silicate (and other) glass phases contained in melt inclusions (e.g. Chabiron et al., 1999; Zajacz et al., 2005; Thomas et al., 2006; Mercier et al., 2010; Morizet et al., 2013, 2017); (2) to identify and quantitatively analyze the volatile species contained in vapor bubbles in melt inclusions (e.g. Moore et al., 2015; Aster et al., 2016; Hanyu et al., 2020); (3) to identify solid phases contained in bubble (e.g. Liptai et al., 2020; Schiavi et al., 2020) or in melt inclusions that have undergone partial to total crystallization following trapping (e.g. Mernagh et al., 2011).

The Raman technique can be applied to melt inclusions that are exposed at the sample surface as well as to those that are beneath the surface and totally enclosed by the host crystal – this allows the mutual spatial relationships between the different phases to be preserved for multi-phase melt inclusions. The glass phase in melt inclusions is analyzed

by focusing the laser beam at the surface or slightly below the surface. Spectra are generally obtained in two ranges, the 150–2000  $\text{cm}^{-1}$  range (to cover aluminosilicate framework vibration) and 3000–4000  $\text{cm}^{-1}$  range (to cover OH-stretching) relative to the exciting laser light (Fig. 3).

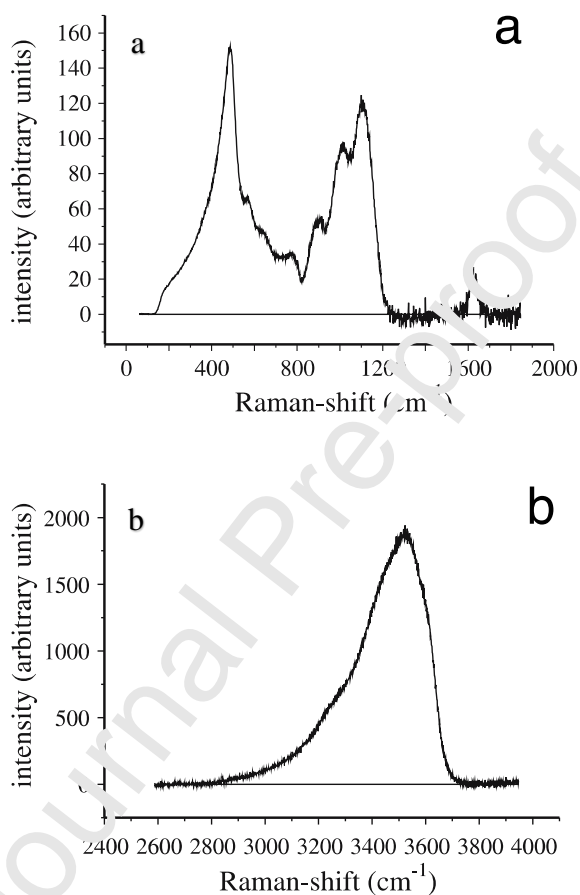


Fig. 3: Raman spectra after frequency-temperature correction (a) of the aluminosilicate framework vibration range and (b) of the OH-stretching range (“water band”).

Determining the  $\text{H}_2\text{O}$  and  $\text{CO}_2$  concentrations of melt inclusion glass by Raman spectroscopy (McMillan, 1984) has become common in melt inclusion studies (e.g. Thomas, 2000; Thomas et al., 2006; Chabiron et al., 2004; Zajacz et al., 2005; Mercier et al., 2010; Morizet et al.; 2013; Créon et al., 2018). Thomas (2000) proposed a simple technique to determine the  $\text{H}_2\text{O}$  content of melt inclusion glass by comparing the intensity of the Raman  $\text{H}_2\text{O}$  band with that obtained from a glass standard with known  $\text{H}_2\text{O}$  content collected at identical analytical conditions. Zajacz et al. (2005) described a

method that corrected the intensities of the Raman band for H<sub>2</sub>O to account for differences in the glass composition that affect intensity, thus eliminating the effect of glass composition on the estimated water content. Severs et al. (2007) applied Raman analysis to determine the H<sub>2</sub>O content of rhyolitic-composition melt inclusion, and used a UV (244 nm) laser to eliminate fluorescence background that is commonly associated with analyses using a green (514 or 532 nm) laser. In some cases, Raman is the only method (other than, perhaps, nano-SIMS) to analyze the very small (~5 µm) “nano-granite” melt inclusions that occur in anatectic samples formed in the deep crust (Bartoli et al., 2013). Compared to H<sub>2</sub>O, researchers have had less success in determining the CO<sub>2</sub> concentration in glass by Raman analysis, mostly because the CO<sub>2</sub> bands overlap with many of the bands produced by the silicate species in the glass, and because carbon occurs in more than one structural state in the melt (glass) (Mysen and Virgo, 1980; Morizet et al., 2013). In spite of these complications, Morizet et al. (2013) developed a method to quantify the CO<sub>2</sub> content of the glass using area under the carbonate ν<sub>1</sub> peak(s) and the area ratio for the aluminosilicate peaks in the range 700–1200 cm<sup>-1</sup>. The authors report that the calibration is valid from 0.1 to 16 wt.% CO<sub>2</sub> with an analytical precision of ±0.4 wt.% CO<sub>2</sub>. The ideal melt inclusion to study is one that contains only a homogeneous glass (melt) phase at ambient surface conditions.

In some cases, especially for melt inclusions contained in lavas or in plutonic rocks, the melt inclusions may have undergone complete crystallization during cooling. Such melt inclusions are often difficult to identify and analyze, and are often overlooked during normal petrography (Yang & Bodnar, 1994; Thomas et al. 2002; 2003; Bodnar & Student, 2006). In most cases, the crystals in the melt inclusions are fine-grained and intergrown with other crystals, making their identification using petrography or SEM difficult if not impossible. Occasionally, however, such phases are large enough to be analyzed by Raman in order to identify the minerals. Thus, Student and Bodnar (2004) were able to identify the presence of feldspar in crystallized melt inclusions from the Red Mountain, Arizona, porphyry copper deposit using Raman spectroscopy. Similarly, Mernagh et al. (2011) were able to identify alkali-Ca carbonates, with varying proportions of cations, and Na-Ca-Ba sulphates (without any evidence of H<sub>2</sub>O) in melt inclusions from “dry” kimberlites, whereas melt inclusions from “wet” kimberlites were

found to contain bassanite, pirssonite, and hydromagnesite, consistent with higher amounts of water in the residual magmas. In melt inclusions in eclogitic diamonds Shatsky et al. (2019) identified clinopyroxene using *in situ* Raman analysis. Raman can also be used to detect the presence of nanolites in the glass (magnetite nano-crystals 30–1000 nm in diameter) by a peak at  $\sim 670\text{ cm}^{-1}$  (Mujin et al., 2017; Di Genova et al., 2017; 2018).

Among the more significant developments in melt inclusion research in recent years has been the growing recognition that the vapor bubbles in melt inclusions are important reservoirs for volatiles contained in the originally trapped melt. Perhaps the first researcher to recognize the importance of vapor bubbles and attempt to include the contents of the vapor bubble in estimates of formation pressures of melt inclusions was Fred Anderson (Anderson and Brown, 1993), who recognized that the  $\text{CO}_2$  content of the glass phase in bubble-free and bubble-bearing melt inclusions from the 1959 eruption of Kilauea Iki was different. These workers further summarized that the “missing”  $\text{CO}_2$  in the bubble-bearing melt inclusions was contained in the vapor bubbles and then reconstructed the  $\text{CO}_2$  content of the trapped melt based on the bubble size and assumptions concerning the amount of  $\text{CO}_2$  in the bubbles using an equation of state. More recently, various researchers have shown that the Raman spectrum of  $\text{CO}_2$  varies as a function of  $\text{CO}_2$  density (or pressure) and densimeters describing the relationship between splitting of the Fermi diad and  $\text{CO}_2$  density (pressure) have been developed (see a summary and comparison of the various densimeters in Lamadrid et al., 2017). Esposito et al. (2008; 2011) combined the earlier methodology described by Anderson and Brown (1993) with the Raman densimeters that had recently been developed to describe a method to reconstruct the volatile contents of melt inclusions by accounting for  $\text{CO}_2$  in the vapor bubble. The results showed that a large proportion of the  $\text{CO}_2$  in a melt inclusion is hosted in the bubble. Therefore, the  $\text{CO}_2$  content of the glass alone, and therefore calculated saturation pressures are significantly under-estimated if the  $\text{CO}_2$  content of the bubble is ignored. This has led to a series of studies that applied the Raman densimeter to determine the density of  $\text{CO}_2$  in the bubble, followed by a reconstruction of the  $\text{CO}_2$  content of the melt that was originally trapped in the melt inclusions (Hartley et al., 2014; Moore et al., 2015; Wallace et al., 2015; Aster et al., 2016; Drignon et al.,

2019a, b; Hanyu et al., 2020). The amount of CO<sub>2</sub> in a melt inclusion vapor bubble that can be detected and quantified depends on the density of the CO<sub>2</sub> in the bubble and the depth of the bubble beneath the surface. In general, the CO<sub>2</sub> content of a vapor bubble that is a few μm or less beneath the polished surface and has a density of greater than approximately 0.05 g/cm<sup>3</sup> can be quantified. An important result of the several recent studies that have been conducted to analyze the proportion of the total CO<sub>2</sub> in the melt inclusions that is contained in the vapor bubble demonstrates that between 30 to 90% of the CO<sub>2</sub> is present in the vapor bubble. This has important implications concerning the estimated entrapment pressures and degassing paths. Analysis of the vapor bubble in melt inclusions at room temperature is challenging because at these conditions the H<sub>2</sub>O that was in the original single fluid phase that exsolved from the melt has condensed to form a thin (nanometer scale) film of liquid H<sub>2</sub>O at the bubble-glass interface (Esposito et al., 2016). However, if the melt inclusion is heated slightly, the liquid H<sub>2</sub>O evaporates into the CO<sub>2</sub>-rich vapor to produce a homogeneous fluid containing both H<sub>2</sub>O and CO<sub>2</sub>. Analysis of the bubble at elevated temperature then shows peaks for both H<sub>2</sub>O and CO<sub>2</sub>, and their relative concentrations can be determined from the peak areas (Berkési et al., 2009; Lamadrid et al., 2014). As researchers have begun to focus on the analysis of the vapor bubbles in melt inclusions, they have started to recognize other features associated with the volatile components in or adjacent to the vapor bubbles. For example, using Raman spectroscopy, Kamonovsky et al. (2002) identified carbonates, sulfates, sulfides and hydrous silicates at the interface between the vapor bubble and the glass in melt inclusions from various tectonic settings, including mid-ocean ridges, ocean islands, and various modern and ancient backarc–island arc settings. They suggested that the various phases precipitated after the melt inclusions were trapped and a vapor bubble formed. The volatile components in the vapor bubble (CO<sub>2</sub>, H<sub>2</sub>O, S) likely interacted with (1) the glass in the melt inclusion, (2) the film of liquid H<sub>2</sub>O, and/or (3) with other species in the vapor phase (Ca, Na, Fe, Mg, etc.) to form the carbonates, sulfates, sulfides and hydrous silicates identified by Raman analysis. Similarly, Esposito et al. (2016) identified liquid H<sub>2</sub>O, native sulfur and calcite at the interface between the vapor bubble and glass in melt inclusions from the Mount Somma-Vesuvius volcano, Italy, and Moore et al. (2018) identified magnesite, native sulfur and arsenopyrite in vapor bubbles from Klyuchevskoy



volcano, Kamchatka. Li and Chou (2015) identified hydrogen ( $H_2$ ), as well as  $CH_4$ ,  $N_2$ ,  $H_2O$ , disordered graphite, and possibly higher hydrocarbons, in silicate melt inclusions in quartz from the Jiajika granite in China. These and other studies show that in order to obtain an accurate assessment of the volatile budget of melts using melt inclusions, both the fluid and solid phases in the vapor bubbles must be quantified and used to reconstruct the original melt composition. An important recent development in Raman spectroscopy that has been applied to melt (and fluid) inclusions is the Raman mapping technique, whereby a 2- or 3-D map showing Raman spectral properties is used to identify and determine the spatial distribution of phases within melt inclusions. Thus, Guzmics et al. (2019) constructed a 3-D Raman map of the vapor bubble within a silicate melt inclusion in nepheline phenocrysts from the Kerimasi volcano in the East African Rift and identified, in addition to a  $CO_2$  fluid, crystals of natrite ( $Na_2CO_3$ ) and nacholite ( $NaHCO_3$ ) within the bubble. The nacholite is thought to have formed as a result of subsolidus interaction of the  $CO_2$ -rich fluid with the surrounding glass.

*Recommended practice:*

Type of data produced:

Concentrations of  $H_2O$  and  $CO_2$  in the melt and  $H_2O$  and  $CO_2$  concentrations in the bubble. Identification of the minerals (Raman spectra) present inside crystallized melt inclusion and on the wall of the bubble.

Sample requirements:

Solid (glass or minerals) containing fluid inside a solid with a flat polished surface.

Analytical conditions:

For analysis of  $CO_2$  in bubbles, it is important to analyze samples of known  $CO_2$  density to confirm the accuracy of the densimeter for the individual Raman instrument (e.g., Lamadrid et al. 2017). A densimeter calibration made on one Raman instrument should not be used on other instruments. Raman can be used to determine the  $H_2O$ , and to a lesser extent,  $CO_2$  in the glass phase of melt inclusions, and can identify  $H_2O$ ,  $CO_2$  and other volatiles in the vapor bubble.

For mineral identification, a conventional approach is to compare the spectrum to that in database or published articles to identify the solids.

Analytical details:

For doing CO<sub>2</sub> in bubble by Raman, the inclusion doesn't need to be exposed, but the sample does need to be sectioned so the bubble is not too far beneath the surface.

Reporting requirements:

- Report the types of Raman instruments used since there are several commercially available.
- Report the different protocols that have been used to analyze melt inclusions with Raman, and describe in detail the analytical equipment and conditions so that results from different labs may be compared.
- Report how the Raman peak positions were calibrated when using peak position to determine compositions or densities.
- Describe any calculation methods to quantify the volatile contents of melt inclusions.
- Report calibration standards used, detection limits, precisions and accuracy.

*2.2. Fourier transform infrared spectroscopy*

Fourier-transform infrared spectroscopy (FTIR) is widely used to analyze H<sub>2</sub>O and CO<sub>2</sub> species dissolved in silicate glass and minerals (e.g., see von Aulock et al., 2014). It has the advantage of being relatively inexpensive, easy to use, non-destructive during analysis, and capable of measuring the speciation and concentrations of H<sub>2</sub>O and CO<sub>2</sub>. The conventional method involves an infrared beam transmitted through a sample. In the ideal case, where melt inclusions are large enough, sample wafers are prepared so that the inclusion is exposed and polished on both sides and spectra are uncontaminated by the host crystal. This is a drawback of FTIR as preparing very small inclusions can be challenging or impossible. Vibrations of different bonds in H-O and C-O species absorb energy at specific wavenumbers. These absorbances are used with the Beer-Lambert law (Stolper, 1982) for calculating species concentrations. The Beer-Lambert law requires knowledge of the molecular weight of the absorbing species of interest, the sample density and thickness, and a composition-dependent molar absorption coefficient (also known as an extinction coefficient). Molar absorption coefficient values have been published for specific melt compositions (e.g., Table 1 in von Aulock et al., 2014), and there are also equations allowing them to be calculated as a function of melt composition (e.g., Mandeville et al., 2002) that can be used. Direct measurements of melt inclusion densities are impractical. As a result, density values are usually calculated on the basis of the chemical composition (e.g., Lange 1997; Ochs & Lange 1999). Thickness can be

measured directly (1) using a digital micrometer, (2) by viewing a sample wafer edgewise under the microscope and using the eye-piece reticle (Wallace et al., 1999), or (3) using a stage where the focus depth has been calibrated (e.g., Befus et al, 2012). Alternatively, the thickness of a sample wafer can be determined using the frequency of interference fringes over an interval of wavenumbers on a FTIR spectrum collected in reflectance mode (e.g., Tamic et al., 2001; Wysoczanski & Tani, 2006). In practice this method requires the knowledge of the refractive index (RI) of the material you are analyzing. You can obtain a more accurate measurement of the thickness of the host mineral immediately adjacent to the inclusion where you can use a larger aperture to get better reflectance spectra and because the host mineral's refractive index is easier to constrain than that of the glass phase (e.g., using Deer et al., 1997). There are errors associated with each of the parameters used in the Beer-Lambert law (Agrinier & Jędrzejewski, 2000), giving an overall error of about 10% relative on the species concentration. Examples of FTIR spectra you obtain are shown in Figure 4.

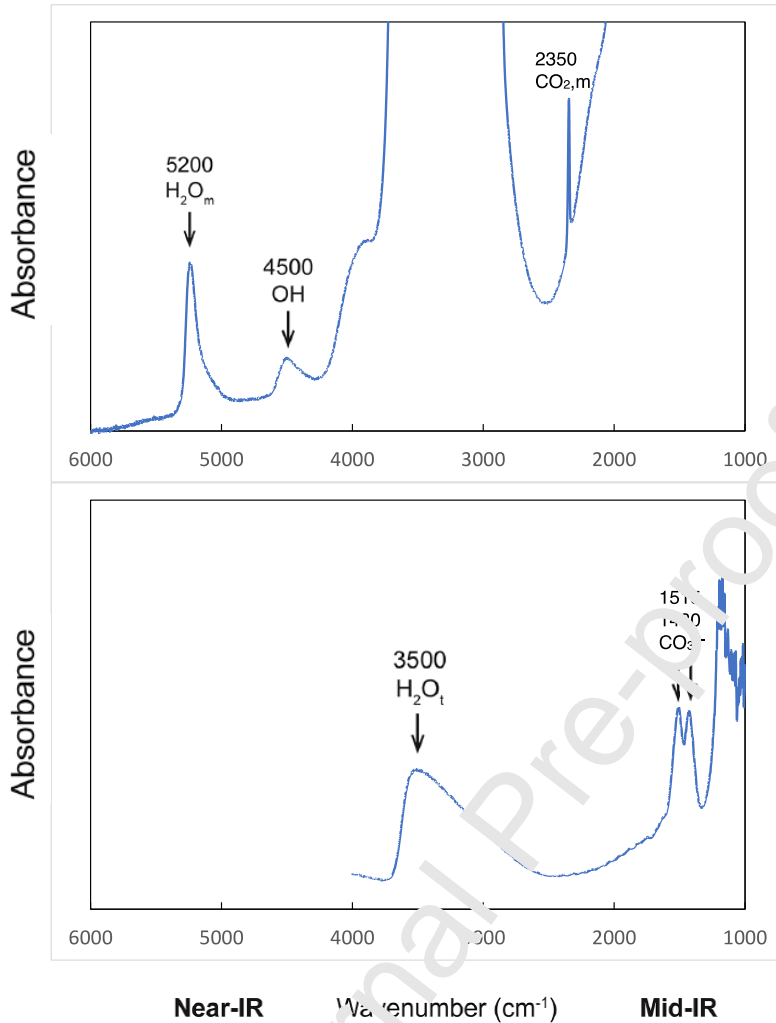


Fig. 4: H<sub>2</sub>O and CO<sub>2</sub> absorption bands in FTIR spectra of hydrous rhyolitic (top) and basanitic (bottom) melt inclusions. In the rhyolite spectrum, the 5200 cm<sup>-1</sup> (molecular H<sub>2</sub>O) and 4500 cm<sup>-1</sup> (OH) bands can be seen, but the mid-IR fundamental OH stretching band (3550 cm<sup>-1</sup>), which gives total H<sub>2</sub>O, is oversaturated. The 2350 cm<sup>-1</sup> band (molecular CO<sub>2</sub>) can also be seen. In the basanite spectrum, total H<sub>2</sub>O is much lower and therefore can be seen at 3550 cm<sup>-1</sup>. The doublet at 1515 and 1430 cm<sup>-1</sup> is for dissolved carbonate, which is the primary solution mechanism for CO<sub>2</sub> in mafic compositions. Data are from Roberge et al. (2013) and Rasmussen et al. (2017).

Detection limits depend on sample thickness. Very low volatile contents can only be detected in thicker samples, whereas high volatile contents require thinner samples to avoid saturation of the detector. For example, the detection limits for a basaltic glass inclusion with a 50 μm thickness are ~0.02 wt.% H<sub>2</sub>O (at ~3500 cm<sup>-1</sup>) and ~50 ppm CO<sub>2</sub>

as carbonate (both depending on composition, which affects the molar absorption coefficient), but these limits are halved by doubling the wafer thickness to 100  $\mu\text{m}$ . If inclusions are too small to be exposed on both sides, there are other methods that can be applied. Volatile contents of unexposed inclusions can be determined by the Beer-Lambert law as long as spectral contamination from the host crystal does not overlap with the absorbance bands of interest and the thickness of the unexposed inclusion within the host crystal can be measured (Befus et al., 2012). Unexposed inclusion thickness can be determined under the microscope as above, as the average of the dimensions of the inclusion in  $x$  and  $y$  orientations (Befus et al., 2012; this assumes the inclusion has a regular shape), or using spectral features (Tollan et al., 2019). For inclusions hosted in olivine, the thickness of the olivine in the beam path can be determined using peaks in the spectrum of the olivine host and then subtracting this from the overall thickness of the sample wafer (i.e., host crystal + inclusion) to obtain the inclusion thickness (Nichols & Wysoczanski, 2007). In an attempt to further simplify sample preparation, efforts have been made to calibrate reflectance FTIR spectra to calculate concentrations of  $\text{H}_2\text{O}$  and  $\text{CO}_2$  species (Hervig et al., 2003). However, reflectance FTIR spectra are much less intense than those in transmitted light resulting in much higher detection limits ( $\sim 0.5$  wt.% water). To improve the signal to noise ratio and reduce detection limits, Yasuda (2014) has conducted FTIR measurements under vacuum using a narrow band detector, reducing detection limits to  $< 0.3$  wt.%  $\text{H}_2\text{O}$ . King & Larsen (2013) manipulate reflectance FTIR spectra using a Kramers-Kronig transform, which causes  $\text{H}_2\text{O}$  and  $\text{CO}_2$  spectral bands to increase in intensity, enabling  $\text{H}_2\text{O}$  and  $\text{CO}_2$  species concentrations to be calculated with errors of  $\sim 20\%$  relative. More sensitive still is micro-attenuated total reflectance (ATR) FTIR (Lowenstern & Pitcher, 2013), where an ATR crystal is placed in contact with the sample surface. This has a detection limit of  $< 0.2$  wt.%  $\text{H}_2\text{O}$  and gives errors of about 20% relative. Unfortunately, none of these reflectance methods yields sufficiently low detection limits for either molecular  $\text{CO}_2$  or carbonate to enable their analysis in melt inclusions. Use of a synchrotron source, instead of the conventional global or tungsten-halogen white light bench source, will greatly improve the signal to noise ratio, and thus detection limits, on FTIR spectra in both transmitted and reflected light.

*Recommended practice:*

Type of data produced:

Concentrations and speciation of H<sub>2</sub>O and CO<sub>2</sub>.

Sample requirements:

Solid (glass or minerals) with a flat polished surface. Melt inclusions should be intersected and polished on both sides to avoid host contamination in the FTIR spectra whenever possible.

Analytical conditions:

The adjustable aperture in an FTIR microscope should be set as large as possible without overlapping into the adjacent mineral host. A background spectrum should be taken after each melt inclusion spectrum to ensure use of the same aperture setting. Most instruments are set up with both white light and IR (globar) light sources. The white light source provides better intensity for collection of near IR spectra, whereas an IR source is required for analysis of the carbonate doublet peak in basaltic glasses.

Analytical details:

Replicate spectra on a given melt inclusion should be taken with slightly different aperture sizes to check for consistency of results. Reflectance spectra taken to determine sample thickness should be measured on two or three spots on the mineral host adjacent to the inclusion.

Reporting requirements:

- Publish measured peak heights in a supplemental table.
- Report sample thicknesses, the absorption coefficients that were used to calculate concentrations, the background subtraction method used (e.g., straight line or flexicurve), and the reference used for density calculations.
- Inclusions that were not doubly intersected to avoid host contamination in the spectra should be noted and details given as to the correction scheme used to calculate H<sub>2</sub>O and CO<sub>2</sub> concentrations.
- Report detection limits (if analyzed concentrations are very low), precision estimated from replicate spectra, and accuracy.
- Include sample spectra in supplemental material.

### 2.3. X-ray absorption near edge structure spectroscopy

X-ray Absorption Near Edge Structure (XANES) spectroscopy is sensitive to the electronic and structural properties of condensed matter. When combined with synchrotron radiation sources, XANES offers a relatively non-destructive *in situ* method for the determination of elemental valence state and coordination. Spatial resolution is instrument- and material-specific, but can reach  $2 \times 2 \mu\text{m}$ , making it particularly attractive for small specimens, like melt inclusions. The technique has broad applications and we refer our readers to excellent reviews, such as Henderson et al., 2014 and Sutton et al., 2020. Here, we limit ourselves to a discussion of Fe K-edge XANES in silicate glasses for the determination of the relative proportions of ferric ( $\text{Fe}^{3+}$ ) and ferrous ( $\text{Fe}^{2+}$ ) iron – frequently quantified as  $\text{Fe}^{3+}/[\text{Fe}^{3+} + \text{Fe}^{2+}]$ , or the  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratio. Iron's oxidation state can, in turn, inform investigators about the extent to which silicate melt inclusions are in equilibrium with their mineral hosts and allows investigators to calculate the oxygen fugacity ( $f\text{O}_2$ ) recorded by melt inclusions.

The  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratio in a glass can be quantified through empirical calibration of the spectra against the spectra of matrix-matched standards with known  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios. Therefore, accurate inter-facility/laboratory comparisons rely on acquisition of common, widely distributed, standards. Standards should be selected to match the composition of the unknowns as closely as possible. The Smithsonian Institution's National Museum of Natural History (NMNH) holds three sets of reference glasses of basaltic, andesitic and pantelleritic compositions (Cottrell et al., 2009; Zhang et al., 2016; Zhang et al., 2018) that can be requested by any researcher through their loan program. These standard sets have been widely used and are particularly useful for inter-laboratory/facility comparisons. The absolute value of the  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios of the NMNH basaltic glass standards (Cottrell et al., 2009, revised by Zhang et al., 2018), and, in fact, all glasses, has been the subject of contention. Two independent revisions of the Cottrell *et al.*, 2009 calibration have been proposed (Zhang *et al.*, 2018; Berry *et al.*, 2018), diverging because of different interpretations of Mössbauer spectra. The Mössbauer-based calibration of Zhang et al., 2018 is consistent with wet-chemical determinations of  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios as a function of  $f\text{O}_2$  (Zhang et al., 2018; Cottrell et al., 2020; Borisov et al., 2018), while the Mössbauer-based calibration of Berry et al., 2018 is consistent with the theoretical change in  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratio as a function of  $f\text{O}_2$ , and suggests that wet-chemical

determinations overestimate  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios (Berry et al., 2018, O'Neill et al., 2018). This unresolved controversy concerns the accuracy of Mössbauer- and wet-chemical-based calibrations and does not affect the utility of calibration standards for the precise determination of relative differences in  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratio, nor does it impact the relationship between XANES spectra and  $f\text{O}_2$  for a given composition. In other words, it may be debated whether a given XANES (or Mössbauer) spectrum of a typical mid-ocean ridge basalt corresponds to  $\text{Fe}^{3+}/\Sigma\text{Fe} = 0.09$  or  $0.15$ , but both interpretations of the calibration may still imply that the spectrum is most consistent with a basalt equilibrated at or near the quartz-fayalite-magnetite (QFM) buffer.

Other authors have created sets of standards for basalt (e.g. Berry et al., 2003; Wilke et al., 2004; Botcharnikov et al., 2005; Dauphas et al., 2014), andesite, dacite, rhyolite (e.g., Dauphas et al., 2014), alkali-silicate glasses (e.g., Kruppig et al., 2015), basanites (e.g., Moussallam et al., 2014), haplotonalites, haplogranites (e.g., Wilke et al., 2006) and felsic glasses (Fiege et al., 2017). While international standards offer useful opportunities for inter-study comparisons, they may not cover the compositional or  $f\text{O}_2$  range of interest to your study. In this case, it is best to create your own standards by synthesizing glasses of the desired compositions over a range of  $f\text{O}_2$  conditions. The  $\text{Fe}^{3+}/\Sigma\text{Fe}$  of the synthesized materials must be determined by one or several independent methods before using them as XANES standards.

Many attributes of Fe-XANES spectra contain information about iron's formal valence state and coordination, including the pre-edge, edge, and the extended, or post-edge, regions. We provide an example of how Fe-XANES spectra of basalts evolve with changing  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios in Fig. 5.



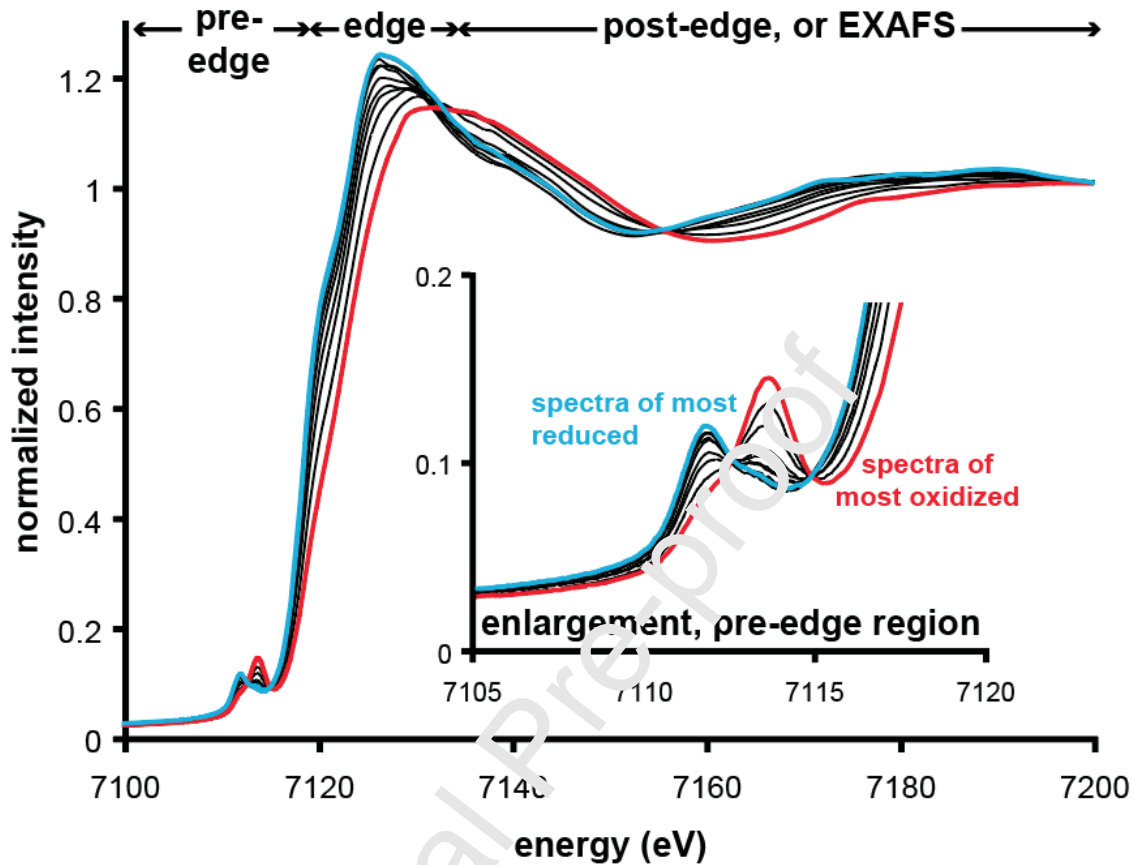


Fig. 5: Edge-step normalized XANES spectra of basaltic standard glasses (Smithsonian catalog number NMNH 117303) equilibrated from 2.5 log units below, to 4.5 log units above, the QFM buffer. Spectra of most oxidized and most reduced glasses are highlighted in red and blue, respectively (modified from Cottrell et al., 2009, with permission).

The precision with which  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios or coordination can be inferred will depend on the quality of the standard calibration and the quality of the spectra acquired. The latter depends on the X-ray flux, the optics and focusing achievable at the beamline, energy step resolution and dwell times, and many sample-specific factors, such as the concentration of Fe, the susceptibility of the sample to radiation damage, and the size of the analyzable area/volume. Precision must be established at each analytical session through standard means, such as replicate analyses, and propagated through the uncertainty inherent in the calibration.

The preparation of naturally glassy melt inclusions hosted in iron-bearing minerals such as olivine or pyroxene will need to be doubly intersected polished wafers with both sides of the melt inclusion exposed (Fig. 2 and Fig. 6), leaving a clear path through the glass. It is essential that the X-rays only interact with the target glass to avoid contributions from Fe in the mineral host or mounting media. To avoid contamination, practitioners must keep in mind that the X-ray beam is at a 45-degree angle to the sample (if spectra are acquired in fluorescence mode) and that the X-ray beam penetrates the sample and interacts with Fe at depth. The diameter of the melt inclusion must always be significantly greater than the X-ray spot size and proportional to the wafer/glass thickness (Fig. 6). Measured spectra should also be screened for features that indicate the presence of Fe-bearing microlite or nanolite crystals, which may invalidate the application of calibrations that are established for pure glasses (Lerner et al, submitted). Fe-free glass slides are useful for mounting wafered sample to avoid potential spectral contamination.

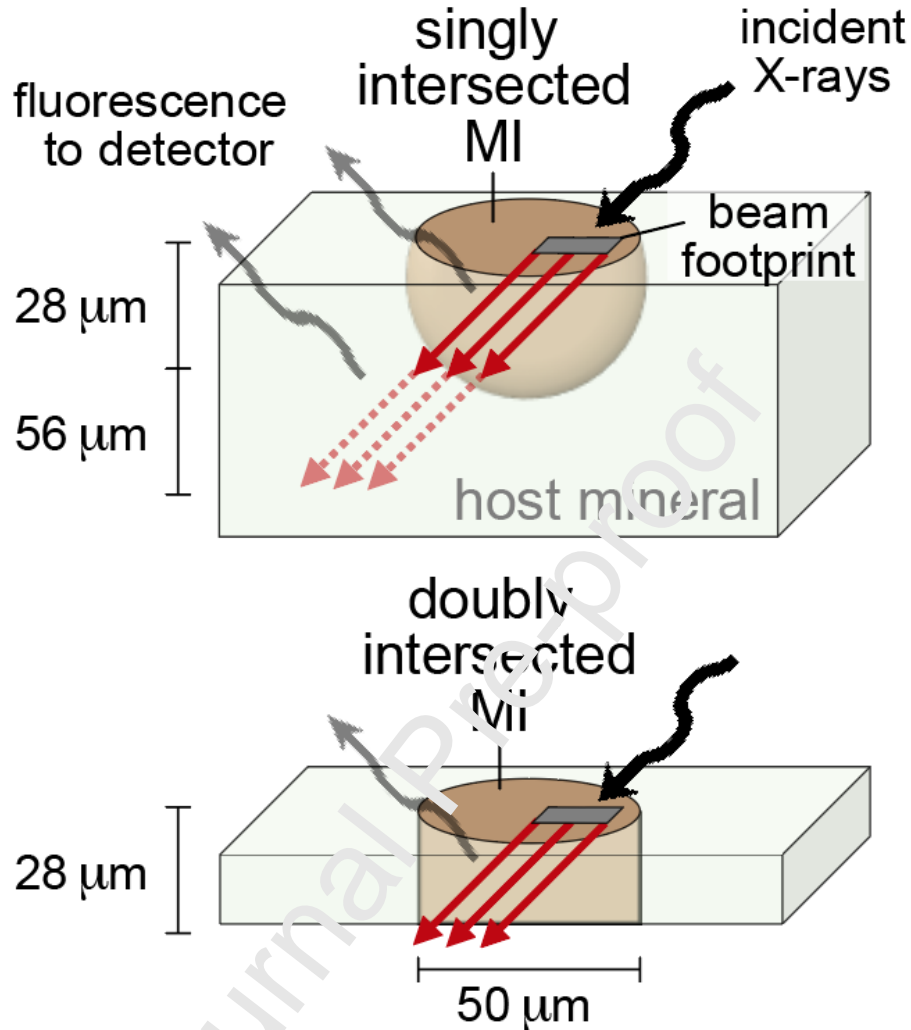


Fig. 6: Schematic illustration of Fe K-edge XANES analysis of an olivine-hosted melt inclusion, highlighting the geometrical considerations and X-ray penetration depths for a typical basalt. Solid and dashed red lines indicate the depth at which 37% and 86%, respectively, of the X-rays at 7118eV are absorbed ( $1/e$  and  $1/e^2$ , respectively). Figure modified from Lerner et al., submitted, and provided courtesy of Allan Lerner and Michelle Muth.

Spectral contamination from Fe in other phases must be rigorously monitored and avoided. 2D mapping and line transects across the inclusion-host interfaces above the Fe-edge provide one mechanism to X-ray image the glass, enabling selection of a contamination-free area. Following acquisition, each spectrum must be carefully assessed for evidence of contamination, which often manifests as increased structure near

the main absorption edge, due to increased short-range ordering in minerals, relative to glass (e.g. Fig. S2 in Kelley and Cottrell, 2009). Principal component regression over the edge and post-edge energy range (7125–7300 eV) can also be used to identify contaminated spectra, since one of the principal components will be correlated with the extent of host contamination (Hartley et al., 2017).

To extract the formal valance of Fe from XANES spectra, several processing methods have been proposed. All techniques benefit from the collection of high-quality spectra on standards and unknowns. Because XANES is an empirical “fingerprinting” technique, the essential requirement is that standards and unknowns be treated identically, and the calibration technique must either be insensitive to composition, or the calibration glasses must have well-matched matrices to the unknowns. Beyond that requirement, many options for spectral fitting and analysis are available. The three most common are detailed here:

i. Ratio of intensities, or peak height ratio method

The relative intensity of the two pre-edge peaks is used to calibrate for  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratio (e.g. Berry et al., 2003; Cottrell et al., 2009; Wilke et al., 2001; Wilke et al., 2005; Zhang et al., 2016). Advantages of this method include that the peak height ratio remains sensitive to changes in  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratio even as  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios exceeds 0.5, and that the ratio is less sensitive to glass composition (Zhang et al., 2016).

ii. The centroid method

The pre-edge regions of edge-step normalized spectra are fit with combinations of mathematical functions, which are then used to calculate the centroid, or area-weighted average energy, of the background-subtracted pre-edge peaks. The centroid varies non-linearly with  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratio and the centroid loses sensitivity as  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios increase beyond  $\sim 0.6$ . This method has the advantages of being highly precise for the determination of formal valance (e.g., Cottrell et al., 2009; Cottrell and Kelley, 2011, 2013; Moussallam et al., 2014, 2016, 2019a; Fig. 7) and being less sensitive to coordination changes (e.g. Berry et al., 2003; Cottrell et al., 2009; Wilke et al., 2001; Wilke et al., 2005; Zhang et al., 2016). This method has been shown to be composition

dependent (Cottrell et al., 2009; Dauphas et al., 2014; Zhang et al., 2016) and so care with the calibration must be taken.

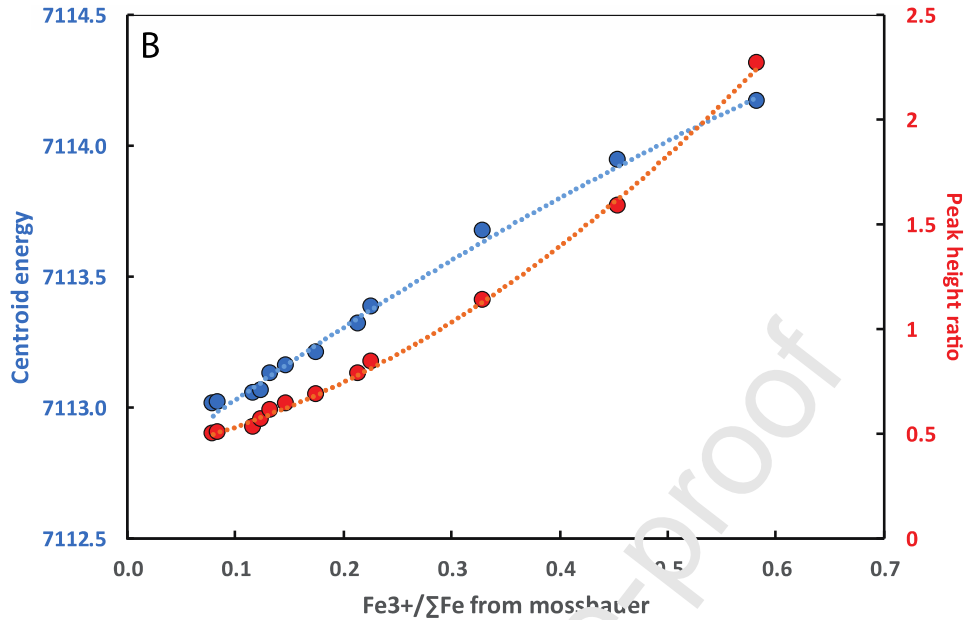


Fig. 7: Calibration curve for the centroid position (blue) and peak height ratio (red) determined by XANES compared with the  $\text{Fe}^{3+}/\Sigma\text{Fe}$  ratios of the Smithsonian basaltic standard glasses determined by Mössbauer spectroscopy (data from Moussallam et al., 2019a).

### iii. The principal component regression method

Principal component regression (PCR) identifies spectral features corresponding to the maximum variance in the dataset through conventional principal component analysis (PCA). The principal components are linearly correlated with  $\text{Fe}^{3+}/\Sigma\text{Fe}$ , meaning that reference spectra can be used to generate a linear mixing model to determine  $\text{Fe}^{3+}/\Sigma\text{Fe}$  in unknowns (e.g., Farges *et al.*, 2004; Shorttle *et al.*, 2015; Dyar et al., 2016; Hartley *et al.*, 2017). An advantage of the PCR method over the critical pre-edge region of 7105–7119 eV is that it uses all redox-sensitive features of the spectra, including the absolute position of the main absorption edge, and hence is theoretically most sensitive to small differences in  $\text{Fe}^{3+}/\Sigma\text{Fe}$  between spectra. The disadvantage of PCA is that it may ascribe spectral changes to formal valence that might instead be due to coordination changes (for example, due to composition).

Calibrations for andesites, basalts, and hydrous basaltic glasses have proven to be statistically indistinguishable (Dauphas et al., 2014, Zhang et al., 2016, 2018; Cottrell et al 2018) provided there is no beam damage (Cottrell et al., 2018). Beam damage refers to radiation-induced changes in the sample by interaction with a monochromatic X-ray beam, such as oxidation/reduction of a cation and/or element migration. The extent of X-ray-induced photo-oxidation or reduction of Fe in silicate glasses is dependent on the glass composition, water content, and the photon flux density (Goncalves Ferreira et al., 2013; Cottrell *et al.*, 2018). Flux density can be diminished via attenuation of the beam, but is also efficiently achieved via defocusing the beam to larger spot size. The former has the advantage of maintaining high spatial resolution, while the latter has the advantage of maintaining, or increasing, the signal/noise ratio. While it is ideal to minimize beam damage, it may also be possible to account for beam damage using time-dependent corrections (Lerner et al., submitted). Fig. 8 shows the effect of using different beam attenuation conditions on the extent of induced beam damage during XANES analyzes of a water-rich basaltic glass. Inappropriate analytical conditions may result in extensive beam damage of unknowns, and hence unreliable results.

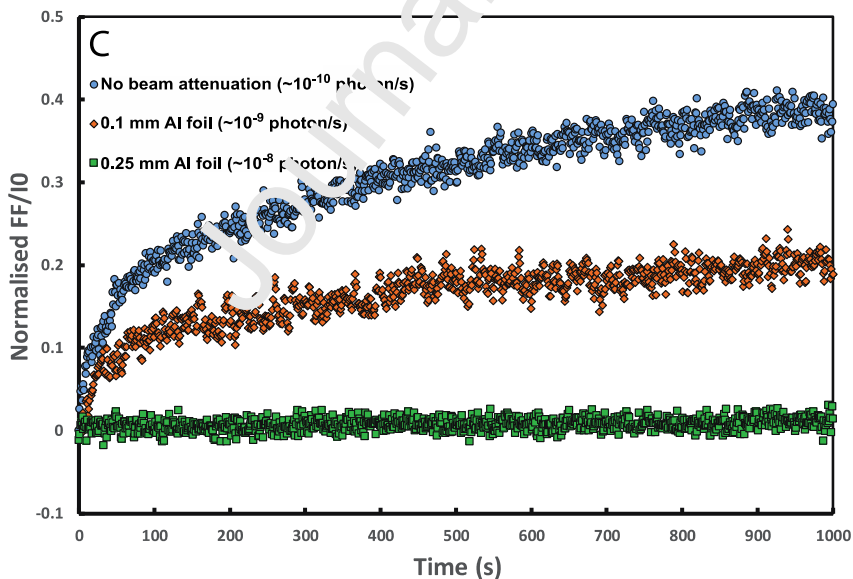


Fig. 8: Three independent time-series analyses of a hydrated (5.2 wt.% H<sub>2</sub>O) basalt under three different beam attenuation conditions. Plotted is normalized fluoresced intensity (FF) over the incident flux (I<sub>0</sub>), with the monochromator set to the position of the higher energy pre-edge multiplet, integrated over 1 s intervals at a spot size of 2.5×1.2 μm

(modified from Moussallam et al., 2019a). At high photon flux density (blue circles), the intensity of the high energy pre-edge peak increases with time, whereas this is not the case at low flux density, once the beam has been attenuated, here down to 1% of its original flux (using a 0.25 mm Al foil).

*Recommended practice (XANES):*

Type of data produced:

Valence state of element of interest (examples detailed here are for Fe K-edge studies for the determination of  $\text{Fe}^{3+}/\sum\text{Fe}$ )

Sample requirements:

Solid (examples detailed here are for glasses). For melt inclusions hosted in iron-bearing minerals, prepare a double polished wafer, leaving a clear path through the glass (Fig. 2 and 6). It is best not to subject your inclusions to any other analytical technique using an X-ray, electron, ion beam or laser prior to XANES analysis. The valence of Fe in the glass can be modified by such techniques especially if the inclusion is water rich. XANES analysis should therefore be one of the first techniques you subject your inclusion to.

Analytical conditions:

Fe K-edge spectra are generally acquired from 7000 to 7350 eV, or higher, with the pre-edge region (7110-7118 eV) acquired at higher energy resolution than the pre- or post-edge regions. Every XANES study should begin with a series of tests to define the analytical conditions under which the samples can be analyzed with acceptable signal/noise and minimum beam damage. Tests should be performed on natural or synthetic glasses that have the same composition and the same (or higher) water content as the unknowns, such as monitoring for time-dependent or flux-density-dependent (i.e. spot size) changes. As a result, specific analytical conditions will vary based on individual studies/samples.

Analytical details:

Standards or reference materials of similar composition should be analyzed during the same analytical session as your unknown to obtain a valid calibration. The accuracy of the XANES measurement is only as good as that of independent method used to determine the  $\text{Fe}^{3+}/\sum\text{Fe}$  of the standards. The precision with which  $\text{Fe}^{3+}/\sum\text{Fe}$  ratios or coordination can be inferred will depend on the quality of the standard calibration and the quality of the spectra acquired and must be determined at each analytical session. Contamination from Fe-bearing materials during XANES analysis of the melt inclusion must be avoided through the judicious choice of analysis points (via mapping or line profiles) and all scans should be screened for evidence of contamination.

Reporting requirements:

- Report the synchrotron, beamline, beam dimensions, monochromator, mode, detector, energy step sizes, dwell time, incident photon flux, photon density at the sample surface and geometry of the setup.
- Report results of your beam damage tests.
- Report calibration standards used and an energy reference position for a widely-available standard, such as Fe-foil or other freely available reference material.
- Report the precision with which the spectral parameters used to quantify Fe oxidation state can be fit/extracted and propagate this precision through the calibration curve to obtain overall precision. Check precision via replicate analysis of a standard throughout the analytical session.
- We encourage publication of raw and edge step-normalized spectra from all unknowns and standards as supplementary information.
- Report the full composition, including volatile contents, of your unknowns and standards.

#### 2.4. Secondary ion mass spectrometry

Secondary Ion Mass Spectrometry (SIMS) is a microanalytical technique that utilizes a finely focussed beam of primary ions ( $O^-$  or  $Cs^+$  are most common for geochemical applications) to sputter secondary ions from the surface of a solid substance. These secondary ions are subsequently analyzed using a specially adapted mass spectrometer. As such, SIMS provides an extremely versatile tool for analysis of glassy melt inclusions that have experienced little or no PFC.

In addition to quantitative trace element (e.g., REE and other incompatible elements; e.g. Sobolev and Shimizu, 1993; Sobolev, 1996) and volatile (e.g.,  $H_2O$ ,  $CO_2$ , S, Cl, F, Br; Sisson and Layne, 1993; Hauri et al 2002a, Hauri 2002b ; Bracco Gartner 2019; Cadoux et al., 2017) analysis, a specific strength of SIMS is sub-per mil precision and reproducibility for a wide variety of stable isotope ratio determinations ( $\delta D$ ,  $\delta^7Li$ ,  $\delta^{11}B$ ,  $\delta^{34}S$ ,  $\delta^{37}Cl$ ; Hauri, 2002b; Layne 2006; Manzini et al., 2017a) and radiogenic isotopes ( $^{204}Pb/^{206}Pb/^{207}Pb/^{208}Pb$ , Layne and Shimizu 1998a, 1998b; Kobayashi et al 2004).

In general, a lateral spatial resolution of 10  $\mu m$  (with sputtered pit depths of less than a few  $\mu m$ ) may be achieved for individual analyses (total sample size  $< \sim 10$  ng). *In situ* SIMS microanalysis is thus compatible with the size range of many melt inclusion populations. Samples commonly require only simple preparation of a flat polished surface that exposes the melt inclusions, thus preserving information on both host minerals and textural context - although samples and mounting media must be compatible with the ultra-high vacuum of the SIMS sample chamber. Therefore, many SIMS



facilities now encourage sample preparation in indium mounts for certain applications. A thin conductive layer, usually ultra-pure Au, is applied to the sample surface to mitigate charging during analysis. Balanced electron flooding may also be required during analysis if using Cs<sup>+</sup> primary ion beams.

For determination of volatiles (F, Cl, S and especially H<sub>2</sub>O and CO<sub>2</sub>; Hauri et al., 2002a,b; Koga et al., 2003), or for light stable isotopes of trace element analytes (e.g.,  $\delta^{11}\text{B}$ , Chaussidon et al., 1997; Straub and Layne 2002), an intrinsic advantage of SIMS is the ability to combine pre-sputtering of the sample surface with an appropriately restricted effective field of view (Field Aperture) for ions entering the mass spectrometer. This approach can be used to reduce extraneous signal from surface contamination to an insubstantial level – in fact, often well below the comparable “blank” levels that limit some other mass spectrometric approaches. For CO<sub>2</sub> measurements, the melt inclusion will need to be exposed avoiding diamond paste solvents or any carbon-bearing polishing disks. Corundum polishing mats will be preferred and care will be taken to analyze a glassy area far from any cracks, holes or partially-open bubble since all these surface defects are source of contamination.

SIMS instruments are designed to resolve the complex mass spectra of secondary ions produced by the ion beam sputtering of solid materials, using energy filtering and/or mass resolution approaches (Layne, 2006). Degree of ionization to simple (generally monoatomic) secondary ions during sputtering varies by element, primary beam and major element matrix. As a consequence, quantification requires comparison to reference materials of similar bulk composition to the sample, and the use of appropriate and well characterized reference materials is an important consideration in all forms of SIMS microanalysis. Matrix matching reference materials are required to calibrate for the combined instrumental mass fractionation (IMF) effects related to mass dependence in production of analyte secondary ions by sample sputtering, and to mass dependent effects in the ion detectors.

Many analyses are readily accomplished using smaller format (e.g., Cameca f-series) SIMS instruments (for example,  $\delta^{11}\text{B}$ ; Chaussidon et al 1997). However, larger format (e.g., Cameca 1270/1280/1300HR) instruments have significant advantages for some

analyses, especially those where a trace element analyte is used for isotope ratio analysis; for example,  $\delta^7\text{Li}$  (Bouvier et al., 2008),  $\delta^{11}\text{B}$  (Rose et al., 2001; Straub and Layne 2002) or  $\delta^{37}\text{Cl}$  (Layne et al, 2009; Bouvier et al., 2019). The multi-collection arrays of larger format instruments can also become invaluable for the determination of  $\delta^{37}\text{Cl}$  (Manzini et al 2017a),  $\delta^{18}\text{O}$  (e.g., Hartley et al., 2012; Manzini et al., 2019) or  $\delta^{34}\text{S}$  (Chaussidon et al., 1989; Cabral et al., 2013) and both precision and accuracy for Pb isotope analysis can be improved (e.g supplementary material in Rose-Koga et al., 2012).

Due to the gradual removal of material by sputtering, SIMS also inherently accumulates a time-resolved depth profile of the sample, allowing the selective elimination of signals from defects or micro inclusions. Image acquisition of elements via large geometry SIMS is also possible by rastering a large area (up to 500  $\mu\text{m}^2$ ), and accumulation of image layers allows 3D imaging of melt inclusions (Florentin et al., 2018).

Imaging and diffusion profiles between melt inclusions and their host are more precise when using a Cameca NanoSIMS instrument. Indeed, due to a different geometry and ion optical design, NanoSIMS can achieve superior lateral spatial resolution, typically 100–200 nm, compared to typically 10  $\mu\text{m}$ , possibly down to 3 microns (Decraene et al 2021), for other SIMS instruments. NanoSIMS has been used, for example, to map the volatile distribution in and around melt inclusions (Hauri et al., 2011; Le Voyer et al., 2014). Similarly, to estimate residence time or ascent rate, exceptionally fine scale diffusion profiles can be obtained for volatile elements (e.g. Lloyd et al., 2014; Newcombe et al., 2014; Moussallam et al., 2019b) or trace elements (e.g. Manzini et al., 2017b).

Trace elements analysis could be done by SIMS and laser ablation mass spectrometer (LA-ICP-MS). Kent (2008) detailed the advantages and disadvantages of both techniques for such measurements. To summarize, both techniques could achieve similar precision. Detection limit is usually better with the SIMS, however the new generation of LA-ICP-MS (e.g., coupled with sector field spectrometer Element XR MS) can achieve low detection limit for trace elements, allowing measurements of trace elements in olivine for example (e.g., Bussweiler et al., 2019). Acquisition time is faster for LA-ICP-MS measurements than for SIMS. Cost-wise, LA-ICP-MS is more often less expensive and

easier to access than SIMS. The main disadvantage of LA-ICP-MS is a deeper spot, also sometime larger than for the SIMS (5-20 microns diameter for SIMS vs. 10-50 microns diameter for LA-ICP-MS). If LA-ICP-MS is the method chosen for trace element analysis, then all other analysis required for the project must be done before.

There are a number of recent or on-going improvements in SIMS analysis that may contribute to the expansion of frontiers of melt inclusion research. Progressive improvement in SIMS capability for lateral imaging of trace elements has been left generally unexplored for applications such as assessing diffusion profiles between melt inclusions and host minerals. New finer spatial resolution and denser primary sources (e.g., Hyperion II™ RF O<sup>-</sup> source) have the potential for applications to ultra-small inclusions, improved measurements of diffusion profiles by step traverse or imaging, and the informative assessment of microlites and other inhomogeneities within individual melt inclusions, as well as better precision on stable isotopes of trace elements ( $\delta^7\text{Li}$ ,  $\delta^{11}\text{B}$ ). Also, development of more sensitive detectors (for example, Faraday cup associated with  $10^{12}\ \Omega$  resistor) will allow a better precision on some stable isotopic systems (e.g.,  $\delta^{37}\text{Cl}$ ,  $\delta^{34}\text{S}$ ) over a large range of composition of the targeted analyte.

*Recommended practice:*

Type of data produced:

Mostly concentrations of volatile elements and isotope compositions. Possibly trace elements composition.

Sample requirements:

Solid (glass or minerals) with a flat polished surface. Samples should be pushed in indium mount. High vacuum pre-preparation is especially important for the analysis of CO<sub>2</sub> or  $\delta\text{D}$  (Hauri et al 2002a), and preparation in an indium (instead of epoxy) mount is encouraged (mandatory in certain SIMS hosting institutions). Samples should be kept at least overnight in the airlock of the SIMS or several days in an oven at 70°C prior to measurements.

Analytical conditions:

1) Provision of well characterized matrix-specific reference materials for the calibration of elemental concentrations and instrumental mass fractionation (IMF). A piece of the

appropriate standard material(s) (acting as secondary standard) should be placed in each sample mount, in order to monitor possible instrumental drift or possible mount conductivity trouble. 2) Elimination of sample surface contamination and other exotic contributions through pre-sputtering and other means, including pre-preparation in high vacuum where necessary. 3) Calibration of accuracy and sensitivity (or relative sensitivity) of ion detectors (whether single or multiple array) over an adequate dynamic range. This last consideration is especially important for stable isotope determinations.

#### Reporting requirements:

- Report the instrument manufacturer, model used for analyses.
- Report the primary beam used ( $O^-$ ,  $O^{2-}$ ,  $Cs^+$ ...), the use of electron gun or not and the intensity of the primary beam. The values of the contrast aperture and field aperture and the mass resolving power (MRP) should also be given and varies between concentration measurements and isotope measurements.
- Report the counting time on each mass of elements, the pre-sputtering time and the deadtime applied. For comparison with other studies the useful yield for the element of interest could be reported.
- Report calibration standards used, detection limits, precisions and accuracy.

#### 2.5. *Electron Microprobe*

Electron probe microanalysis (EPMA) is used to measure major and some volatile elements (S, Cl, F) *in situ* in melt inclusions and their hosts. Since this analytical technique requires carbon coating the sample to make the surface conductive, it is best when possible to carbon coat the sample and conduct EPMA analyses after  $CO_2$  has been analyzed by SIMS. Beam damage can be significant during EPMA of both mafic and rhyolitic composition, especially for hydrous glasses, resulting in mobile element migration (Na, K, and H; e.g. Morgan and London 1996; Humphreys et al., 2006), elevated concentrations of immobile elements (Si, Al; e.g. Morgan and London 1996), and redox changes to Fe and S (e.g. Fialin et al., 2001; 2004; Fialin and Wagner, 2012). Therefore, the analytical conditions and routine must be carefully chosen. For instance, time-dependent intensity corrections can be used to correct for changes in element concentrations during analysis by extrapolation back to time zero (Nielsen & Sigurdsson, 1981). Also, using mean atomic number (MAN) background (uses the measured relationship between background counts and MAN, rather than measured off-peak

backgrounds, to calculate the background; Donovan & Tingle 1996) reduces analysis time and beam damage.

Using lower intensities, 2 to 4 nA, avoids migration but then count rates are low (e.g. Morgan and London, 1996). An alternative is to use two different beam conditions at a single location by analyzing Na, K, Si and Al at a first condition of 8–10 nA, and then the rest of the major elements and volatiles at a higher current of 50 nA (e.g., Ruscitto et al., 2011). If limited in time and/or only allowed one beam condition, an 8 nA beam current, an accelerating voltage of 15 kV and a 20  $\mu\text{m}$  defocused beam is recommended for glass analysis as repeated analysis (more than 1500 measurements) of the anhydrous basaltic standard VG-A99 show no significant sodium loss (Oladottir *et al.* 2011). Other studies have shown that for hydrous basaltic MI from arc samples, a substantial Na migration happens even at 10 nA (e.g. Vigouroux et al., 2008; Ruscitto et al., 2011). In this case, either time-dependent intensity corrections must be used, or a lower current of 4 nA is required to avoid sodium migration, and the latter results in a signal that is twice as low and longer counting times are required (on the order of 4 times longer). A beam size beyond  $\sim 20 \mu\text{m}$  will result in the wavelength dispersive spectrometers, which measure the X-ray intensities, going out of focus. Typical analytical uncertainties ( $1\sigma$ ) in these conditions, obtained from replicate measurements of the basaltic glass standard VG-A99 (Jarosewich et al. 1979) are typically less than 3% for FeO and K<sub>2</sub>O, 5% for Na<sub>2</sub>O and P<sub>2</sub>O<sub>5</sub>, 30% for MnO and 2% for the other oxides. A test for the effect of beam defocusing on the analytical reproducibility consists of comparing analyses done with 10 and 20  $\mu\text{m}$  beam size in a single melt inclusion.

Chlorine, sulfur and fluorine analyses are best performed at higher currents, 40–80 nA, to ensure higher count rates, and with a 10–20  $\mu\text{m}$  defocused beam and given the low concentrations of these volatiles, they need to be treated as trace elements, with longer counting times and/or higher sample current. Details information about analytical procedure of the volatile element measurements are given elsewhere (e.g., Rose-Koga et al., 2017 and 2020). Depending on the counting times of Cl, S and F, detection limits can reach  $\sim 50$ , 50, and 150 ppm, respectively. Longer counting time with high sample current can lead to lower than 10ppm detection limits for Cl for example (Sobolev et al., 2011). The total analytical error for S, Cl, and F including precision and accuracy of

measurements, is typically of 20% (relative uncertainty) for S, and 30% for Cl and F over the range of concentrations found in inclusions. There is excellent agreement between EPMA and SIMS measurements for F concentrations above 150 ppm and on 10 widely available referenced standards (Rose-Koga et al., 2020; Fig. 9).

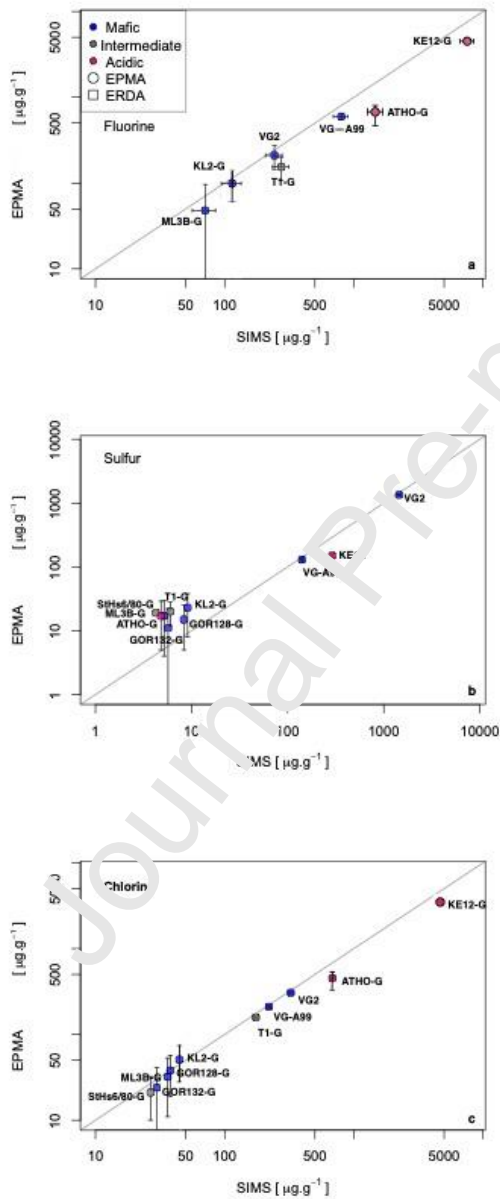


Fig. 9: log-log plot of concentrations of F (a), S (b), and Cl (c) measured by SIMS vs. that measured by EPMA (circles). Standards are categorized according to their  $\text{SiO}_2$  contents into mafic, intermediate, and acidic. The solid line is a one-to-one slope indicating the coherence of the fit, and the dashed lines are 20% slope variation to help

reading the figure. The square symbols are ERDA measurements (modified from Rose-Koga et al., 2020). The high SiO<sub>2</sub> standards do not fall on the same slope as standards with mafic and intermediate compositions (Rose-Koga et al., 2020).

Precision and accuracy can be improved for EPMA at low concentrations (e.g., in MORB or ultra-depleted inclusions) by using two or more spectrometers simultaneously for the same element. Large area analyzing crystals on some microprobes also provide lower detection limits and better precision. While analyzing elements with low concentrations (<100 ppm), it is also useful to run a “blank” by analyzing a material that has ≈0 ppm of the element(s) of interest to ensure that sample surfaces are not contaminated and that backgrounds are chosen correctly. A clear spot in the host crystal is readily available and is a good choice for many incompatible elements. SIMS analytical precision as well as detection limits on Cl, S, F are usually smaller (< 5% relative) and lower (< 10 ppm typically), but using EPMA has the advantage of being more accessible and less expensive. Sulfur can occur in multiple oxidation states in a melt (Carroll and Rutherford, 1988; Jugo et al., 2010), and therefore the choice of standards and S K<sub>α</sub> peak position measurement need to be considered for each sample suite because the peak position of S K<sub>α</sub> shifts with changes in oxidation state (e.g., Wallace & Carmichael, 1994). This wavelength shift makes it possible to use EPMA to measure the S oxidation state of melt inclusions. For such measurements, the spatial resolution is ~50 μm<sup>2</sup> with an error of ±0.05 on S<sup>6+</sup>/S<sub>total</sub> (e.g., Rowe et al., 2007). It has been shown that changes in the oxidation state of multivalent elements such as Fe and S may occur under the electron beam. Moving the beam position incrementally at a rate of 1 μm/min seems to avoid the apparent increase in λ(SK<sub>α</sub>) (Wallace & Carmichael, 1994; Rowe et al., 2007).

Although not a direct measurement “volatiles by difference” (VBD), an estimate of the H<sub>2</sub>O+CO<sub>2</sub> content of the glass, can be calculated from the difference between 100 wt% and the analytical total (e.g., Devine et al., 1995, Humphreys et al., 2006, Hughes et al., 2019). For very high CO<sub>2</sub>, the CO<sub>2</sub> could be as much as 30% of the VBD value (e.g. Ross Island basanites, Rasmussen et al. 2017), in other cases authors have often assumed that the CO<sub>2</sub> content was almost always so low in comparison to H<sub>2</sub>O content, that VBD was an effective estimate of H<sub>2</sub>O content

*Recommended practice:*Type of data produced:

Concentrations of major and minor elements (including volatile elements S, Cl, F)

Sample requirements:

Solid (glass or minerals) with a flat polished surface. The area to be analyzed must be exposed on the sample surface. Mount samples in indium (rather than epoxy) if you plan to measure more volatile element by SIMS, otherwise epoxy is fine. Perform SIMS analysis before EPMA to avoid carbon contamination. Best results obtained if MI is greater than about 10 microns in diameter to avoid including host phase in the analysis. MI should not contain multiple solid phases (but may contain a vapor bubble).

Analytical conditions:

Analytical conditions will vary depending on what is being analyzed (glass versus mineral), mineral type and element. Use analytical conditions that are appropriate and analyze elements in an order that minimizes modifications, such as diffusion of Na, during exposure to the electron beam. Calibrate using a standard that closely matches the unknown to be analyzed is not mandatory because modern matrix correction methods are so good that this is less of a concern than it used to be. Instead we recommend that one analyze a standard like VG-2 glass as an unknown periodically during a run to confirm accuracy and detect and correct for drift.

Analytical details:

Analyze multiple spots if the MI is large enough (greater than about 25 microns).

Measure the host composition in 2-3 different locations close (< 20 microns) to the melt inclusion. This may not be required for MI in quartz, depending on the question/problem being addressed

If doing transects to determine zoning of the host phase, collect two transects radial to the melt inclusion (90° from one another).

Reporting requirements:

Recently thorough guidelines for reporting EPMA results were recently published (Llovet et al., 2021). The recommendations are for applications in material science and engineering and most of them apply to Earth science as well. In the following we suggest a more streamlined version of the requirements.

- Report the instrument manufacturer and model of the electron microprobe used for analyses.
- Report calibration standards used and analytical conditions for each element analyzed (beam current, accelerating voltage, beam diameter, counting time, analytical uncertainties).



- Report the size and shape of each MI analyzed and include a photomicrograph of each MI in supplementary data. On the photomicrograph place a mark to indicate the location of each analysis within the MI and the surrounding host phase. Use the same identifier on the photo and in the data tables to allow easy comparison (i.e., a given analysis might have an identifier such as: 08102019-A-IV-1 where:
  - 08102019** is the sample number (here it is identified based on the date it was collected – August 10, 2019.
  - A** is the phenocryst or crystal in sample “08102019”
  - IV** is the label for the MI in phenocryst “A” in sample “08102019”
  - 1** is the first analysis of MI “IV” in phenocryst “A” in sample “08102019”

## 2.6. LA-ICP-MS

Laser Ablation-Inductively Coupled Plasma-Mass Spectrometry (LA-ICP-MS) is perhaps the most easily accessible and frequently used method for micro-analysis of minor and trace elements in melt inclusions and their mineral hosts. This method involves pulsing a UV wavelength laser (213 Nd-YAG or 193 nm ArF are most common) at a set frequency to ablate a small spot (tens to hundreds of  $\mu\text{m}$ ) in a sample. The ablated material is swept away in a carrier gas (commonly He, Ar, or a mixture of the two) and transported to an inductively coupled plasma for ionization, and analysis by mass spectrometry. We note that if SIMS analysis were performed on the MI using the cesium (Cs) source, then Cs will be implanted in the sample (Mourey et al., 2017) and laser data on Cs trace element measurements should be discarded. Advantages of this method over others (e.g., SIMS) include relatively low cost, rapid sample throughput, less matrix sensitivity, and a large suite of analyzable elements. Another major advantage is that LA-ICP-MS allows simultaneous analysis of elements over a 9-10 order of magnitude concentration range, thus permitting analysis of major (wt. %), minor and trace (ppb to ppm) elements at the same time. Yet, this method of analysis is particularly destructive to the sample, and so should be the final analytical method used in any planned sequence of analyses on a melt inclusion.

A background of the ICP-MS signals with the laser off is usually collected at the beginning of each analysis (~30-40 s), and an average of this background is then subtracted from the average count intensity on the sample for each element. Background-subtracted intensities are then typically normalized to the signal of an internal standard

element whose concentration may either be inferred from stoichiometry or independently determined using another analytical method (e.g., for glasses,  $^{47}\text{Ti}$ ,  $^{43}\text{Ca}$ , and  $^{29}\text{Si}$  are commonly used; Kelley et al., 2003; Lytle et al., 2012; Jenner & O'Neill, 2012). The technique is calibrated by analysis of a suite of standard glasses of known composition within the same analytical session as the unknowns. The effect of variable concentrations of the internal standard element from one sample or standard to the next is factored out of the normalized signal intensity through multiplying by its concentration. These modified intensities may then be referenced against known element concentrations in a suite of standards to build a working calibration curve that allows quantification of element concentrations in unknowns. For glasses many useful reference standards are now available (e.g., NIST, USGS, MPI-DING). Calibration methods vary among laboratories (e.g., a single-point calibration [Jenner & O'Neill, 2012] vs. a curve built from multiple reference glasses [Lloyd et al., 2013; Kelley et al., 2003]), but for inter-laboratory bias assessment, a recommended practice should be to report the analysis of at least one reference standard run as an unknown. Some commercial software packages (e.g., GLITTER, IOLITE, Lametrace) and free software (AMS; Mutchler et al., 2008) are available to assist with data management and calculations. Most commercial laser systems allow users to adjust the spot size, either using a set of fixed-diameter round apertures or a rectangular spot whose dimensions may be controlled dynamically. In general, the larger the ablated area, the higher the signal intensity, so for melt inclusions, one larger spot will generate data with lower standard deviation for low-abundance elements than two smaller spots (e.g. Kent and Ungerer, 2005; Kent, 2008).

Because of the aggressive rate of sample consumption via laser ablation, sample thickness limits the duration of useable data. For thin samples, such as wafers prepared for FTIR or XANES, ablation time may be extended by slowing the repetition rate of the laser (e.g., from 10 Hz to 5 Hz; Kelley & Cottrell, 2012) to avoid growing through the wafer. Awareness of heterogeneities with depth in the sample is also important for LA-ICP-MS analysis, and spots should be placed to avoid vapor bubbles, co-included phases, and the host crystal if at all possible.

The limit in size for the smallest melt inclusions analyzed by LA-ICP-MS for trace elements, are typically in the 30-50  $\mu\text{m}$  range (possibly down to 10  $\mu\text{m}$ ; e.g., Rottier et

al., 2019; Chang and Audétat, 2019, Zhang et al., 2018) and reported precisions and accuracy in this case are better than 10-15% (at the  $2\sigma$  limit), with detection limits sufficient for measurements of most depleted basaltic compositions similar for example to the composition of BIR-1G standard (i.e. in the ng/g levels; e.g., Kent and Ungerer 2005; Bussweiler et al., 2019).

In some cases, especially with partially to completely crystallized melt inclusions, it is necessary to analyze melt inclusions that are unexposed to avoid preferentially removing some portion of the heterogeneous melt inclusion contents during polishing to expose the melt inclusion at the mineral surface (Severs et al., 2007). In this case, the melt inclusion plus some amount of host phase that is above, peripheral to, and below the melt inclusion will be sampled. Then, the host contribution can be mathematically subtracted if the concentration of one element in the MI is known, and the composition of the host is known (see for example Halter et al., 2002 for the different data treatment methods). For this reason, at least one clean LA-ICP-MS analysis of the host mineral should accompany any melt inclusion analysis, in the event that it is necessary to reconstruct the melt composition by subtracting the contribution from the host.

*Recommended practice:*

Type of data produced:

Concentrations of major, minor and trace elements.

Sample requirements:

Solid (glass or minerals) with a flat polished surface; specific mounting media are not proscribed because these don't affect the performance of the laser, and most laser systems will accept a variety of common mounts including 1" round mounts typical for EPMA or SIMS analysis, and standard petrographic thin sections. Best results obtained if melt inclusion is greater than about 50 microns in diameter to ensure sufficient signal intensity and to avoid including host phase in the analysis. In the best case, melt inclusion should not contain multiple solid phases (but may contain a vapor bubble), although crystallized inclusions can be analyzed with meaningful results if the entire volume of the inclusion is ablated in bulk during analysis. The area to be analyzed may either be exposed on the sample surface or be unexposed below it, within a volume that the laser will ablate through time.

Analytical conditions:

Analytical conditions will vary depending on what is being analyzed (glass versus mineral), mineral type and element. Spot size is one of the most commonly adjusted instrument settings during LA-ICP-MS analysis, and we recommend for smaller melt inclusions (for example  $<50\mu\text{m}$ ) to analyze a single, large spot instead of 2-3 smaller spots because the larger spot will produce greater signal intensity and enable better determination of the lowest-abundance elements. For thin samples (e.g., those that have been wafered for FTIR or XANES analysis), it can be advantageous to decrease the laser repeat rate (e.g., from 10 Hz to 5 Hz). Although this decreases the signal intensity, it increases the duration of ablation within the sample and ensures a quantifiable plateau in the spectrum ( $\sim 20$  seconds is a fair rule of thumb). Calibrate using standards that are similar to the unknowns to avoid matrix effects.

#### Analytical details:

Analyze one biggest spot size or multiple spots if the MIs are large enough ( $> \sim 100\ \mu\text{m}$ ). Measure the host composition close ( $< 20$  microns) to the melt inclusion. This is particularly important if there is accidental contamination of the inclusion spectrum with the host mineral, and also for determining partitioning if desired.

For quantification of LA-ICP-MS data, you must either have previous analysis of an internal standard element by another method (e.g., Ca or Ti by EPMA), or you must be able to assume a stoichiometric concentration of an analyzed element in the host mineral. If doing transects to determine zoning of the host phase, collect two transects radial to the melt inclusion ( $90^\circ$  from one another). If it is possible to customize the dimensions of the laser (e.g., with a rectangular, rotatable aperture), align the long axis of the rectangle perpendicular to the transect to afford the highest spatial resolution.

#### Reporting requirements:

- Report the instrument manufacturer and model of the ICP-MS and the manufacturer, model, and wavelength of the laser ablation system.
- Report calibration standards used, reference or report the concentrations used for calibration, and tabulate analytical conditions for each element analyzed (isotope, dwell time, resolution).
- Report the energy output of the laser in units of fluence ( $\text{mJ/pulse}/\mu\text{m}^2$ ).
- Report any methods used to correct for interferences.
- Report the reproducibility of multiple spots, if applicable, and the analysis of a reference glass analyzed as an unknown.

### *2.7. Radiogenic isotopes in melt inclusions*

Analysis of radiogenic isotope ratios in melt inclusions is challenging because their size and elemental concentrations limit the available amount of the element of interest. In the late 90s, a pioneering study by Saal et al (1998) used *in situ* SIMS techniques to determine Pb isotope compositions (including  $^{208}\text{Pb}/^{206}\text{Pb}$  and  $^{207}\text{Pb}/^{206}\text{Pb}$ ) of melt inclusions from three Polynesian lavas, which were shown to span 50% of the variation in Pb composition in worldwide OIBs. Subsequent studies analyzed melt inclusions from ocean island and subduction-related settings, revealing increased isotope variability compared to the host lava compositions (e.g., Yurimoto et al., 2004; MacLennan, 2008; Rose-Koga et al., 2012; Schiavi et al., 2012; Nikogosian et al., 2016; Rose-Koga et al., 2017). *In situ* techniques were further developed for Sr isotopes in melt inclusions by LA-multicollector (MC)-ICP-MS (Jackson et al., 2006), and a subsequent paper by Sobolev et al. (2011) reported combined Sr-Pb isotope data obtained by laser ablation. These studies have highlighted the strength of using radiogenic isotopes in individual melt inclusions to study mantle heterogeneity and reveal processes unrecognized in bulk lavas.

In 2009, the first Sr isotope data obtained from combined wet chemistry and TIMS analysis were reported for olivine-hosted melt inclusions from Iceland (Harlou et al., 2009). This approach allows interference-free isotope measurements, and hence yields a significant improvement in precision compared to *in situ* measurements. The authors evaluated the use of micro-milling and showed that entrainment of a small amount of host olivine alongside the inclusion has a negligible effect on the measured isotope composition. It was, however, not until after 2015 that the analytical capabilities were optimized for combined measurement of  $^{87}\text{Sr}/^{86}\text{Sr}$  and  $^{143}\text{Nd}/^{144}\text{Nd}$  ratios in olivine-hosted melt inclusions by TIMS (Koornneef et al., 2015; Reinhard et al., 2018) and, ultimately, combined analyses of Sr, Nd and Pb isotopes including  $^{208}\text{Pb}/^{204}\text{Pb}$  and  $^{207}\text{Pb}/^{204}\text{Pb}$  ratios on individual inclusions (Koornneef et al., 2019). The optimizations included development of miniaturized, ultra-low blank chemical separation procedures combined with analytical techniques that use more sensitive amplifiers in the Faraday detection system of the TIMS (Koornneef et al., 2014). Use of these amplifiers, equipped with  $10^{13} \Omega$  resistors in their feedback loop, results in 10-fold increase in precision when analyzing sub-nanogram samples at low ion currents ( $<2 \times 10^{-13}$  A). The techniques allow

precise and accurate analyses of Sr-Nd-Pb isotope ratios in individual melt inclusions, provided they contain >1 ng Sr, >30 pg Nd and >200 pg Pb. The capability of MC-ICP-MS for the determination of radiogenic isotopes in melt inclusions (>1 ng Sr, >0.5 ng Nd) has also been demonstrated recently (Genske et al., 2019, Stracke et al., 2019). Current developments include optimizations of the ion-exchange chromatography procedure in order to yield residual matrix fractions that can be analyzed for trace element ratios by conventional ICP-MS methods (Bracco Gartner et al., 2019).

The analytical developments open up new research directions that include intra-oceanic subduction zones, intraplate and mid-ocean ridge settings, and will likely lead to significant advances in our understanding of the processes that create isotopic variability in mantle-derived melts.

*Recommended practice for analysis of radiogenic isotopes (TIMS / MC-ICP-MS):*

Type of data produced:

Isotope ratios (i.e. radiogenic isotope over stable isotope of an element). Multiple isotope systems (e.g. Sr, Nd, Pb) can be analyzed in a single sample.

Sample requirements:

Melt inclusions should be selected so that the amount of the element of interest is sufficient with respect to potential blank contributions. The inclusion-bearing grain should be prepared so that the inclusion is effectively isolated (i.e. external contributions are negligible); this may necessitate micro-drilling or -milling to remove unwanted parts of the host grain, as well as leaching of the host grain to remove any adhering phases. Wet chemistry techniques (sample digestion) and ion-exchange chromatography are employed to isolate the element(s) of interest. These steps require ultra-pure reagents and minimal handling steps to minimize blank contributions. Representative aliquots of reference materials (closely matching the unknown samples) should be included throughout the procedure. Blanks should be actively monitored and cover the total procedure. If blank corrections are to be made, it is imperative to evaluate individual blank contributions, e.g. from sample preparation, reagents, ion-exchange chromatography, loading on TIMS filament, and their representativeness.

Analytical conditions:

Analyses are typically performed by TIMS or MC-ICP-MS equipped with  $10^{11}$   $\Omega$  (for Sr and spiked-Pb fractions) and a mix of  $10^{11}$  and  $10^{13}$   $\Omega$  (for Nd and natural-Pb fractions) resistors in the feedback loop of Faraday cup amplifiers. Samples are generally run to exhaustion, ideally at the highest signal intensity for an acceptable number of

analytical cycles. Cup configurations should be set so that any potential interfering isotopes are monitored. Standard reference materials (e.g. NIST SRM 987 for Sr) are used to monitor the repeatability and intermediate precision of measurements. For Sr and Nd, isotopic measurements can be corrected internally for instrumental mass fractionation. Double spike inversion for Pb analyses is performed offline, using publicly-available data reduction programs.

#### Analytical details:

Multiple inclusions in a single grain (e.g. MIA) or compositionally similar inclusions/grains may be pooled to attain enough element of interest and/or the desired analytical precision.

Total procedural blanks can be corrected for using elemental abundances determined through isotope dilution by means of single (Sr and Nd) and double spike techniques (Pb).

Isotope ratios can be corrected for radioactive ingrowth of daughter isotopes over time by conventional age corrections.

#### Reporting requirements:

- Report the manufacturer and model of the analytical instrument used.
- Report reference materials used and analytical conditions for each isotope system analyzed (cup configuration, average signal intensity for each isotope, number of analytical cycles, propagated uncertainties).
- Report the repeatability and intermediate precision of measurements on standard reference materials. It is advised to avoid usage of terms not defined by ISO (e.g. “external precision”).
- Report the elemental contributions for analyses that include inclusion and (part of the) host grain, ideally quantified using reported volume, density and elemental concentrations in both phases.
- If an age correction was applied, report analyzed isotope ratios, calculated initial ratios and employed decay constants.

### **3. Compositional corrections**

A melt inclusion will record the composition of the trapped melt if the melt inclusion remains a chemically and physically isolated system following entrapment. Following entrapment, however, a melt inclusion may experience modifications in response to changing P-T-X-fO<sub>2</sub> conditions in the magma, post-entrapment crystallization (PEC) of the host mineral, thermoelastic deformation, plastic deformation and chemical exchange resulting from diffusive re-equilibration with host olivine (and other minerals; e.g.,

Watson, 1976; Qin et al., 1992; Tait, 1992; Zhang, 1998; Sobolev and Danyushevsky, 1994; Sobolev and Chaussidon, 1996; Danyushevsky et al., 2000; Gaetani and Watson, 2000; Danyushevsky et al., 2002a; Portnyagin et al., 2008; Gaetani et al., 2012; Chen et al., 2013). These modifications must be identified to correct for their effects to reconstruct the original melt inclusion compositions. However, not all processes are reversible and therefore one may not always be able to correct for their effects (e.g. Schiavi et al., 2016). Plastic deformation (e.g. Zhang, 1998) is an example of an irreversible process. Hereafter we discuss the PEC corrections for olivine-hosted melt inclusions.

### *3.1. For major elements*

In the case of olivine-hosted melt inclusions where olivine is the only crystallizing phase, the Fe-Mg contents of the trapped melt can be determined based on the equilibrium distribution coefficient  $K_d$  relating the partitioning of Fe and Mg between olivine and melt (Roeder and Emslie, 1970). This correction (first applied to melt inclusion by Anderson, 1974) is described in detail in the following paragraph and affects mainly MgO, FeO<sub>T</sub> and SiO<sub>2</sub>. All other elements (major, minor, volatile and incompatible elements) are affected to the same degree because they do not enter into the host phase and thus their concentrations are all diluted by the PEC-correction. Between formation at depth and eruption at the surface, an olivine-hosted melt inclusion may undergo crystallization in response to, for example, cooling or diffusive H<sub>2</sub>O loss. A layer of olivine crystallizing along the melt inclusion wall will modify the major element composition of the residual melt, particularly affecting Fe and Mg contents. This PEC can be corrected for numerically (e.g., Sobolev and Chaussidon 1996; Sobolev 1996; Danyushevsky et al., 2002b; Danyushevsky and Plechov, 2011). This involves adding small increments of equilibrium olivine back into the measured melt inclusion composition until the Fe-Mg partition coefficient  $K_d$  reaches the equilibrium value. The equilibrium value for the Mg-Fe exchange coefficient between olivine and liquid,  $K_d$ , is known to depend on T, P, H<sub>2</sub>O, and alkali content (e.g. Ford et al., 1983; Sack et al. 1987; Toplis, 2005). As an accurate knowledge of  $K_d$  is required for PEC correction, we recommend using a  $K_d$  model accounting for as many of these parameters as possible



(e.g. Toplis, 2005, where T and P are inputs to the model and you calculate an “expected Kd”). In addition, because the concentration of  $\text{Fe}^{2+}$  depends on the oxygen fugacity, the  $\text{Fe}^{3+}/\text{Fe}_{\text{tot}}$  ratio is needed for the PEC calculation and has to be assessed (e.g. Kress and Carmichael, 1991).

We acknowledge there are several ways of correcting for this PEC, but the general procedure is to calculate the  $K_d = (\text{Fe}/\text{Mg})_{\text{olivine}}/(\text{Fe}/\text{Mg})_{\text{melt}}$  of a melt inclusion and recalculate this Kd after each increment of olivine addition. The value of  $(\text{Fe}/\text{Mg})_{\text{olivine}}$  should come from analysis of the olivine directly adjacent to the melt inclusion (but nonetheless, not in the rim of post-entrapment crystallization), so it is important to check for olivine zoning (e.g. Ruscitto et al., 2011) and when possible, to analyze along two orthogonal Fe-Mg profiles radially to the inclusion. The process of olivine addition stops when calculated Kd = “expected Kd”. The mean mass of olivine added can therefore be calculated and PEC correction applied. PEC is highly variable, from a few percent to more than 20% (e.g. Rasmussen et al., 2017). The most commonly used software to perform this PEC correction is Petrolog3 (now on version 3; Danyushevsky and Plechov, 2011). It is important to recognize that Petrolog3 is a tool that incorporates many different model choices, meaning that simply stating a PEC correction was carried out using Petrolog3 is too vague. It is advisable to report which models were used for mineral-melt equilibrium, the  $\text{Fe}^{3+}/\Sigma\text{Fe}$  of the melt,  $\text{FeO}_T$  etc. as implemented in Petrolog3.

A corollary to this recommendation is that the raw data, not normalized to 100 wt. % should be reported in the supplementary material of every melt inclusion study. Some post-entrapment processes are more challenging to correct. For example, the chemical composition of an olivine-hosted melt inclusion is susceptible to Fe-Mg exchange reaction via Fe-Mg olivine/ melt equilibrium and interdiffusion in olivine (Gaetani and Watson, 2000; Danyushevsky et al. 2000). This process can be assessed by analyzing the host olivine to look for broad compositional gradients adjacent to the melt inclusion (e.g., Fig. 5 of Gaetani and Watson, 2000) or by comparing the  $\text{FeO}_T$  contents of associated lavas with the  $\text{FeO}_T$  of the melt inclusions as a function of MgO (e.g., Danyushevsky et al., 2000). Danyushevsky et al. (2000) proposed a correction scheme to deal with this diffusive exchange that can be implemented in Petrolog3.

One alternative to correcting for PEC and diffusive Fe-Mg exchange is to compare melt inclusion compositions using pseudo-ternary projection schemes (O'Hara, 1968; Walker et al., 1979). In this case, inclusion compositions are recalculated into mineral components (typically olivine, plagioclase, clinopyroxene, and quartz). Projecting the recalculated inclusions from the olivine apex eliminates the effects of PEC, and combining Fe and Mg on a molar basis eliminates the influence of Fe-Mg exchange. For melt inclusions hosted in quartz, PEC correction as defined above for olivine hosted melt inclusions, would imply adding SiO<sub>2</sub> but criteria for knowing when to stop is difficult to assess because the quartz host is a single-component system, therefore the composition of the instantaneous host will always match that of the host (e.g. Kress and Ghiorso, 2004). Theoretically, reverse crystallization calculations for plagioclase and clinopyroxene melt inclusions are available using *Petrolog3* and PEC correction could be possible. Also, for orthopyroxene and feldspar hosted melt inclusions, both types of melt inclusion can be corrected for PEC (see details in Kress and Ghiorso, 2004).

### *3.2. For H<sub>2</sub>O and CO<sub>2</sub>: information from these volatile and bubble treatment*

While melt inclusion CO<sub>2</sub>-H<sub>2</sub>O concentration data (with bubble and with no CO<sub>2</sub> bubble restoration) provide a “vapor saturation pressure”, such pressures do not necessarily convert directly into entrapment depth. Vapor saturation pressures yield a minimum entrapment depth ( $\approx$  minimum pressure of crystallization; e.g. Anderson et al., 1989) unless it can be shown that the melts were vapor saturated at the time of trapping. However, in the absence of independent evidence that the melts were volatile saturated at the time of trapping, such as the presence of melt and fluid inclusions that were trapped simultaneously, there is no basis for concluding that melt inclusions were vapor saturated during entrapment, and thus pressures determined from the MI will be minimum entrapment pressures. Additionally, because rapid H diffusion through olivine can re-equilibrate melt inclusions following entrapment, CO<sub>2</sub>-H<sub>2</sub>O vapor saturation pressures may have been further modified to reflect the external melt H<sub>2</sub>O content during the final storage conditions prior to rapid ascent and eruption, so the pressure determined in that case is the last equilibration pressure (e.g. Mann et al., 2013) rather than initial entrapment conditions.

While the solubilities of all common volatile components in silicate melts vary with pressure, CO<sub>2</sub> solubility is especially sensitive to pressure (e.g., Liu et al., 2005; Behrens et al., 2004, 2009; Shishkina et al., 2014). When melts are trapped inside growing crystals, the melt could have already degassed/exsolved some fraction of its initial CO<sub>2</sub> and therefore not reflect the CO<sub>2</sub> concentration of the original magma at its source region. In this case, the CO<sub>2</sub> concentration reflects that of the melt at the pressure of trapping. Alternatively, the CO<sub>2</sub> content could be well below the saturation value so that, even though the solubility is pressure-dependent, the melt may not have reached volatile saturation before being trapped at some lower pressure.

Following trapping, some portion of the volatile components in the melt may exsolve to form a separate phase (e.g. Roedder, 1979; Anderson and Brown, 1993; Kamenetsky & Kamenetsky, 2010; Moore et al. 2015). It is very common for olivine-hosted melt inclusions to have a single, CO<sub>2</sub>-rich vapor bubble. The post-entrapment decrease of pressure in inclusions that leads to bubble formation has two main causes: crystallization of olivine along the inclusion-host interface, and the greater thermal expansion of melt compared to olivine, which causes the melt to contract more than the host phase (cavity) during cooling (Roedder, 1979; Anderson and Brown, 1993). Other factors contributing to bubble formation include melt density changes during post-entrapment crystallization, diffusive loss of H from inclusions, and elastic deformation of the host mineral during pressure changes. The magnitude of the two main factors (crystallization and differential shrinkage of the included melt and host) are such that it is common for a bubble to occupy about 1 to 5 volume percent of the inclusion, and it is important to note that much of the total volume expansion takes place rapidly during eruption and quenching as the included melt cools to its glass transition temperature (Riker, 2005; Moore et al., 2015). The presence of MIAs that all contain the same proportions of melt and vapor provides strong evidence that bubbles formed after trapping (Roedder, 1984). In this case, it is reasonable to conclude that the composition of the melt that was trapped is represented by the bulk composition of the inclusion (glass + bubble).

In general, determining the bulk volatile concentration of melt inclusions in which some portion of the volatile components have fractionated into a separate vapor phase requires

the same approach that would be used to account for the presence of daughter crystals or post-entrapment crystallization: experimental reheating, *in situ* microanalysis and mass balance calculations, and/or numerical modeling (Moore et al., 2015; Aster et al., 2016; Tuohy et al., 2016; Esposito et al., 2016). The approach of experimental reheating involves re-dissolving the vapor bubble back into the melt and then quenching so that the glass can be directly analyzed for CO<sub>2</sub> (Mironov et al., 2015; Wallace et al., 2015). For the mass balance approach (e.g., Esposito et al., 2008; 2011; Hartley et al., 2014; Moore et al., 2015), it is necessary to determine the dissolved volatile concentrations in the glass, the room temperature density of CO<sub>2</sub> in the bubble, and the relative proportions of the glass and the bubble. The density of CO<sub>2</sub> in the bubble can be determined using Raman spectroscopy (e.g., Moore et al., 2015 and described in section 2.1.1.; Wieser et al., 2020), and the volume proportion occupied by the bubble can be determined petrographically or by using X-ray tomography (e.g. Richard et al., 2018). The numerical approach involves modeling of bubble formation and CO<sub>2</sub> exsolution as a function of PEC (Anderson & Brown, 1993; Steele-Maclean et al., 2011; Wallace et al., 2015; Aster et al., 2016). Moore et al. (2018) discuss some of the relative strengths and weaknesses of different techniques applied to primitive olivine-hosted melt inclusions that contain CO<sub>2</sub>-rich bubbles. Applying multiple methods whenever possible and looking for consistency between the different results provides confidence that the initial CO<sub>2</sub> concentrations of melt inclusions thus determined is correct.

In some cases, the accuracy of the mass balance calculation used to determine total CO<sub>2</sub> could be influenced by the presence of solid phases such as carbonate crystals or native S on the wall of the bubble (e.g. Esposito et al., 2016). However, the amount of CO<sub>2</sub> sequestered in carbonates may not be significant in most cases. When melt inclusions are heated long enough to destabilize most or all of the carbonate, the total CO<sub>2</sub> mass balance does not change significantly, but it does result in diffusive H<sub>2</sub>O loss, as verified by D/H fractionation (Pamukcu and Gaetani, unpublished data).

A number of studies have employed the Ideal Gas Law or some other equation of state to calculate the density of the vapor within bubbles and then used their observed volume to calculate the mass of CO<sub>2</sub> (e.g. Shaw et al., 2008, 2010). This method, however, does not account for (disequilibrium) expansion of the bubble during quenching, and

systematically overestimates the CO<sub>2</sub> content of bubbles compared to *in situ* Raman analysis (Moore et al., 2015).

An alternative method to reconstruct entrapped CO<sub>2</sub> contents is to use a calculated bubble volume, rather than the observed volume, for the equation-of-state calculation (e.g., Riker, 2005; MacLennan, 2017; Rasmussen et al., 2020). The premise of this method is that the rapid cooling rates upon eruption, expected for most samples, leads to a phase of cooling where CO<sub>2</sub> addition to the vapor bubble has effectively ceased (i.e., CO<sub>2</sub> closed) while the vapor bubble may continue to expand (Anderson and Brown, 1993). Therefore, the equation-of-state calculation must use a modeled volume of the vapor bubble at the time CO<sub>2</sub> addition to the vapor bubble stopped. Several calculated-volume approaches assume bubble growth occurs in two stages: one pre-eruptive stage where vapor-melt equilibrium exists and a second stage of rapid cooling upon ascent and eruption where bubble growth occurs without significant CO<sub>2</sub> addition (e.g., Riker, 2005; Aster et al., 2016). However, in many cases, it is likely that CO<sub>2</sub> addition to vapor bubbles can occur during magma ascent and quench (Rasmussen et al., 2020). Other calculated-volume approaches model the vapor bubble at the time CO<sub>2</sub> closes (MacLennan, 2017; Rasmussen et al., 2020). An open-source Python code named MIMiC was presented by Rasmussen et al. (2020) that corrects melt inclusions for post-entrapment processes, including vapor-bubble growth. They showed that their calculated-volume approach yields CO<sub>2</sub> reconstructions similar to expected values based on data from rehomogenized melt inclusions from the same samples.

Finally, some host mineral grains may contain MIAs that include melt inclusions with a range of bubble sizes (Hartley et al., 2014; Moore et al., 2015) approaching 100 volume percent of the inclusion (Roedder et al., 1963; i.e., CO<sub>2</sub>-rich fluid inclusions with a volumetrically minor glassy rim). While this occurrence provides strong evidence that the melts were volatile-saturated at the time of trapping, these larger melt inclusion bubbles, if not associated with decrepitation of the melt inclusion, likely represent heterogeneously entrapped inclusions (e.g., Steele-MacInnis et al. 2017). In this case, the bulk composition of the inclusions would overestimate the dissolved CO<sub>2</sub> concentration of the melt at the time of entrapment. However, as described by Steele-MacInnis et al. (2017),

the presence of this co-trapped fluid may better preserve the CO<sub>2</sub> concentration of the glass since the pressure drop due to PEC will be less.

### 3.3. For trace elements

Similar to major elements that are compatible in the host mineral, compatible trace element abundances will be affected by PEC of melt inclusions. Models for the evolution of trace element concentrations during PEC highlight that the type of process considered for PEC (i.e., equilibrium versus fractional) has a major influence on the post-entrapment (PE) contents of melt inclusions (Figure 10 a-f; Baudouin et al., 2020).

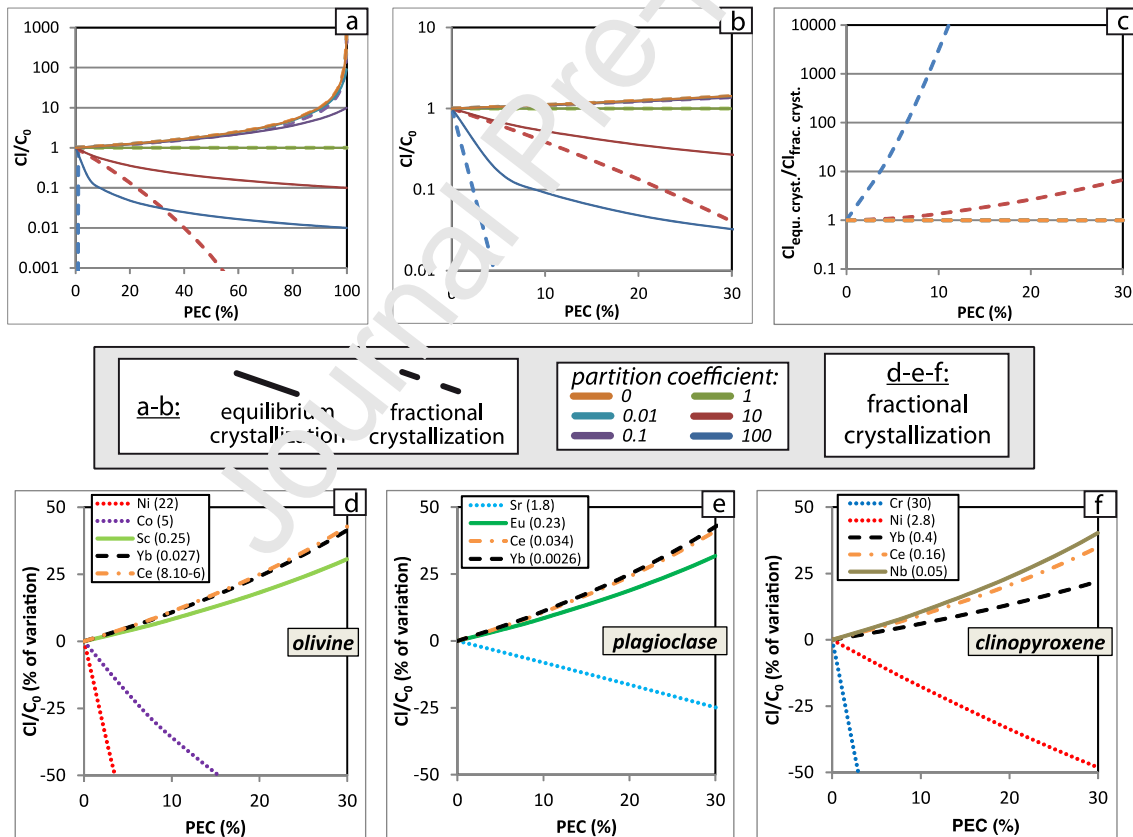


Fig. 10: Evolution of trace elements contents during PEC. a-b) present the evolution of the melt inclusion content (Cl) relative to initial content (C<sub>0</sub>) for elements with various partition coefficients. In c-e) the variation is presented in percent of variation relative to

$C_0$ . In a-b) both equilibration crystallization (solid lines), and fractional crystallization (dashed lines) models are presented for theoretical elements with various partition coefficients (from  $D=0$  to  $D=100$ ). In c-e) an equilibrium crystallization model is used, and specific elements are considered for PEC of melt inclusions in olivine (c), plagioclase (d), and clinopyroxene (e). Considered partition coefficients are presented between brackets for each element, and are from Laubier et al. (2014) for all elements except Ce in olivine from Sun and Liang (2013), and Cr in clinopyroxene from Bacon and Druiitt (1988). Eu partition coefficient value is for redox conditions buffered with NNO.

Compatible elements are depleted in the melt as soon as crystallization begins, this depletion being extreme when considering a fractional crystallization model (Figure 10a-b). Incompatible element contents are significantly affected only after extensive crystallization (e.g., the concentration of a perfectly incompatible element only increases by a factor of 1.4 - thus by 40% - after 30% of crystallization, Figure 10b), and only varies marginally depending on the considered crystallization model in the first ~50% of crystallization (Fig. 10a). The choice of the crystallization model to consider (equilibrium or fractional; Fig 10c) should therefore be described when quantifying post-entrapment variation of compatible elements. The compositional gradients adjacent to melt inclusions are proof that fractional crystallization occurs. These are not produced by equilibrium crystallization. During equilibrium crystallization, the melt would remain in equilibrium with the host and PEC would be impossible to identify. Therefore, for the correction of the compatible element composition (for example Ni in olivine Fig. 10d, Sr in plagioclase Fig. 10e), we advise that fractional crystallization equations should be used.

Accordingly, the evolution of key compatible and incompatible elements during PE evolution of melt inclusions hosted in olivine, plagioclase, and clinopyroxene are presented in Fig. 10d-f, and highlight that PEC corrections should be applied when considering compatible element contents.

For incompatible and compatible trace elements, the reliability of the melt inclusion to represent the composition of the trapped mantle melt depends on the diffusion transport of these elements through their host crystal during magma ascent, eruption and cooling (e.g., Gaetani and Watson, 2000). The effect of PEC on both compatible and incompatible elements is a common process happening on potentially short timescales. For PEC of only a few percent, the effects on incompatible elements will be small.

Diffusive reequilibration with external melt will require much longer timescales and is only a factor for compatible elements (e.g. Cottrell et al., 2002). Experiments on REE diffusion in natural olivine (Cherniak et al., 2010) have shown that melt inclusions trapped in olivine (50  $\mu\text{m}$  and 1 mm radii, respectively) will preserve their REE composition for a few decades to tens of thousands of years, which encompasses the duration of melt inclusion residence and transport through the crust. Faster REE diffusion are also described (Spandler et al., 2007), which are possibly due to “fast path” diffusion along dislocation cores. As a community we do not particularly see the kind of fast diffusion they advocate in natural systems, although we acknowledge that such a process might exist. Incompatible element variations are more restricted but, regardless of the extent of PEC, we recommend PEC corrections for incompatible elements (as well as for major and compatible elements).

*Recommended practice:*

- Report raw data (no PEC correction, not normalized to 100 wt. %; we provide a template of a table as an example; Table S1)
- Report the method used for PEC correction of major element compositions
- Report the value of  $K_d$  used (in case of olivine-hosted melt inclusions)
- Coupling of  $\text{CO}_2$  and  $\text{H}_2\text{O}$  in order to identify at least H loss. Report the method use for initial volatile reconstruction if attempted
- We recommend PEC corrections for incompatible elements

**Conclusions**

The study of melt inclusions has evolved over the past 2-3 decades to become a mature and commonly used method to characterize a wide variety of igneous and volcanic processes. However, to date there has been little concerted effort to develop a set of guidelines to assist the beginning, and even experienced, researcher on the proper protocols to follow. As a community effort to constructively develop guidelines for the documentation, collection and reporting of data from melt inclusion studies, we provide recommendations to all scientists studying melt inclusions in an effort to systematize data collection and reporting to facilitate comparison and evaluation of reported melt inclusion data. We are aware that these guidelines increase the amount of information that must be reported and will lead to an increase in the amount of text and images required, and this



additional information can be provided mainly in the supplementary material of a publication. We encourage reviewers to request that images of melt inclusions be included, that raw data not be normalized to 100%, and that analytical details for each method be described in detail. We also encourage journal editors to accept the consequent size of supplementary material that will be submitted in support of the conclusions and results presented in manuscripts. Data should also be added to various online databases, such as EarthChem (<http://www.earthchem.org/portal>) or Georock (<http://georoc.mpch-mainz.gwdg.de/georoc/>) for chemistry data or Puli for IR spectra (<http://www.puli.mfgi.hu/>).

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#### Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Fig. 1: (A) picture of two glassy olivine-hosted melt inclusions from the Ambae volcano. Inside both inclusions, we can see the very circular bubble and the squarish spinel. (B) Sometimes the melt adopts the faceted crystal shape of the host mineral giving this Sommata melt inclusion this “raisin” aspect. (C) BSE image of a crystallized inclusions from Mount Shasta, with microcrystals (m), several small bubbles (b) and matrix glass, (D) picture in transmitted light of a devitrified melt inclusion from Pan de Azucar volcano (pictures B, C and D from Le Voyer, PhD 2009).

Fig. 2: Idealized flow-chart for melt inclusion (MI) sample analyses given sample preparation and analysis-induced damage considerations. Depending on the intended research, particular steps may be skipped. Samples can be re-polished to remove upper surfaces that were damaged by various techniques (EPMA, SIMS, LA-ICPMS). See text

for references and for more details on preparation and best practices for MI sample selection, MI homogenization, each for analytical technique. Melt inclusions in inset photos are from Kīlauea Volcano's 2018 Lower East Rift Zone eruption.

Fig. 3: Raman spectra after frequency-temperature correction (a) of the aluminosilicate framework vibration range and (b) of the OH-stretching range (“water band”).

Fig. 4: H<sub>2</sub>O and CO<sub>2</sub> absorption bands in FTIR spectra of hydrous rhyolitic (top) and basanitic (bottom) melt inclusions. In the rhyolite spectrum, the 5200 cm<sup>-1</sup> (molecular H<sub>2</sub>O) and 4500 cm<sup>-1</sup> (OH) bands can be seen, but the mid-IR fundamental OH stretching band (3550 cm<sup>-1</sup>), which gives total H<sub>2</sub>O, is oversaturated. The 2350 cm<sup>-1</sup> band (molecular CO<sub>2</sub>) can also be seen. In the basanite spectrum, total H<sub>2</sub>O is much lower and therefore can be seen at 3550 cm<sup>-1</sup>. The doublet at 1515 and 1430 cm<sup>-1</sup> is for dissolved carbonate, which is the primary solution mechanism for CO<sub>2</sub> in mafic compositions. Data are from Roberge et al. (2013) and Rasmussen et al. (2017).

Fig. 5: Edge-step normalized XANES spectra of basaltic standard glasses (Smithsonian catalog number NMNH 117393) equilibrated from 2.5 log units below, to 4.5 log units above, the QFM buffer. Spectra of most oxidized and most reduced glasses are highlighted in red and blue, respectively (modified from Cottrell et al., 2009, with permission).

Fig. 6: Schematic illustration of Fe K-edge XANES analysis of an olivine-hosted melt inclusion, highlighting the geometrical considerations and X-ray penetration depths for a typical basalt. Solid and dashed red lines indicate the depth at which 37% and 86%, respectively, of the X-rays at 7118 eV are absorbed (1/e and 1/e<sup>2</sup>, respectively). Figure modified from Lerner et al., submitted, and provided courtesy of Allan Lerner and Michelle Muth.

Fig. 7: Calibration curve for the centroid position (blue) and peak height ratio (red) determined by XANES compared with the Fe<sup>3+</sup>/ΣFe ratios of the Smithsonian basaltic standard glasses determined by Mössbauer spectroscopy (data from Moussallam et al., 2019a).

Fig. 8: Three independent time-series analyses of a hydrated (5.2 wt.% H<sub>2</sub>O) basalt under three different beam attenuation conditions. Plotted is normalized fluoresced intensity (FF) over the incident flux (IO), with the monochromator set to the position of the higher energy pre-edge multiplet, integrated over 1 s intervals at a spot size of 2.5×1.2 μm (modified from Moussallam et al., 2019a). At high photon flux density (blue circles), the intensity of the high energy pre-edge peak increases with time, whereas this is not the case at low flux density, once the beam has been attenuated, here down to 1% of its original flux (using a 0.25 mm Al foil).

Fig. 9: Log-Log plot of concentrations of F (a), S (b), and Cl (c) measured by SIMS vs. that measured by EPMA (circles). Standards are categorized according to their SiO<sub>2</sub> contents into mafic, intermediate, and acidic. The solid line is a one-to-one slope

indicating the coherence of the fit, and the dashed lines are 20% slope variation to help reading the figure. The square symbols are ERDA measurements (modified from Rose-Koga et al., 2020). The high SiO<sub>2</sub> standards do not fall on the same slope as standards with mafic and intermediate compositions (Rose-Koga et al., 2020).

Fig. 10: Evolution of trace elements contents during PEC. a-b) present the evolution of the melt inclusion content (CI) relative to initial content (C<sub>0</sub>) for elements with various partition coefficients. In c-e) the variation is presented in percent of variation relative to C<sub>0</sub>. In a-b) both equilibration crystallization (solid lines), and fractional crystallization (dashed lines) models are presented for theoretical elements with various partition coefficients (from D= 0 to D=100). In c-e) an equilibrium crystallization model is used, and specific elements are considered for PEC of melt inclusions in olivine (c), plagioclase (d), and clinopyroxene (e). Considered partition coefficients are presented between brackets for each element, and are from Laubier et al. (2014) for all elements except Ce in olivine from Sun and Liang (2013), and Cr in clinopyroxene from Bacon and Druitt (1988). Eu partition coefficient value is for redox conditions buffered with NNO.

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